

# The Coroner's Autopsy: Do we deserve better?

A report of the National Confidential Enquiry into Patient Outcome and Death (2006)



## Foreword

NCEPOD operates under the umbrella of the National Patient Safety Agency (NPSA) as an independent confidential enquiry. The main aim of NCEPOD is to improve the quality and safety of patient care and review of autopsy reports has been a core part of previous NCEPOD studies of deaths following medical and surgical interventions.

Following a proposal from the Royal College of Pathologists, NCEPOD has reviewed, in detail, the autopsy reports produced for the coroners; this includes both deaths in hospitals and in the community. This report is timely. There has never been a comprehensive review of the autopsy process and reports of deaths at the request of coroners. It is the first time that NCEPOD has requested data directly from coroners and we were delighted to obtain such a positive response with 88% (121/137) of all coronial jurisdictions contributing data. We are very grateful to all those who submitted data and to those coroners and pathologists who took part as advisors.

Because of increasing disquiet with fitness for purpose of the coronial system, legislation is now being prepared to change the structure of the system. NCEPOD hopes that the results and conclusions of this study will be a major contribution to the discussion over changes to the coronial system.

**Professor T Treasure**

*NCEPOD Chairman*

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**Disclaimer**

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# Recommendations

## Principal recommendations

### Information available to pathologist prior to autopsy

#### Case history

#### External examination

#### Evisceration of bodies

#### Internal examination

#### Tissue retention

#### Causes of death

#### Clinicopathological correlation

#### The mortuary

## **Principal recommendations**

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*Government should consider and agree the fundamental purposes of the coronial autopsy. An ideal opportunity exists to do this during the passage through Parliament of the Bill for reform of the coroner's system as recently announced.*

*There should be nationally uniform criteria and standards for investigation of reported deaths. This includes the diagnostic level of investigation at autopsy and the definition of what a postmortem examination comprises.*

*There should be regular (independent) peer review of coronial autopsy reports and processes to maintain consistency of agreed standards and accountability, and all pathologists and coroners - in training and as continuing professional development - should review the autopsy reports and related documents of their peers.*

## **Information available to pathologist prior to autopsy**

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*Specific written requests for investigations, made by a coroner, should be followed, or an account rendered in the autopsy report as to why this was not addressed.*

*The information provided by coroners' offices to pathologists should be in a standardised format that includes an agreed minimum clinical and scene of death dataset, including date of birth and occupation of deceased. Such information should be communicated in writing.*

## **Case history**

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*A clinical and case history should be included in an autopsy report and should state the provenance of the information.*

## **External examination**

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*The height and weight should both be measured, the BMI calculated, and the data given in the report.*

*In all deaths, the report must clearly document external injuries or the absence of such injuries.*

## **Evisceration of bodies**

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*Before evisceration of a body, the pathologist must inspect the body first. This is to confirm identity, to observe any external features that might modify the process of examination and to consider the possible need for a forensic examination.*

## **Internal examination**

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*Normally a complete autopsy should be performed, with all organs including the brain examined. Limited autopsies - upon request - should be carefully considered on a case by case basis and when complete examination is essential to determine the cause of death the pathologist must insist upon that. If an organ system is not examined, consideration and account should be made of the potential information lost, in the context of the deceased's clinical pathology.*

*Decomposed bodies should be thoroughly examined (i.e. external and internal examinations) to identify significant injuries, primary pathologies and comorbidities, and toxicology should be performed as appropriate.*

## **Tissue retention**

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*Autopsy reports must clearly indicate whether or not tissues were retained, and what they comprise, if retained.*

*There should be national criteria and standards on organ and tissue retention for*

*histopathology in coronial autopsies, in order to provide convincing evidence of the cause of death.*

*Deaths in persons known or suspected to abuse alcohol and/or cases associated with drug toxicity should be properly investigated.*

### **Causes of death**

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*Sudden unexpected deaths suspected to be related to cardiomyopathy and arrhythmias (i.e. SADS) should be investigated according to best practice autopsy guidelines.*

*Deaths suspected to be related to epilepsy should be investigated properly, according to the Department of Health National Service Framework for Mental Health action plan: "Improving services for people with epilepsy".*

*Deaths following medical interventions and complications require detailed investigation and consideration, and should not be summarised merely as (e.g.) 'ischaemic heart disease' or other underlying comorbidity. If the procedure contributed to the death, then this should be indicated in the cause of death sequence.*

### **Clinicopathological correlation**

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*There should be a clinicopathological correlation in each report that reviews the case and robustness of the conclusions based on the available evidence.*

### **The mortuary**

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*Pathologists should wear protective clothing over appropriate scrub suits, not over their day clothes.*

*All mortuaries should be quality accredited.*

*The approach to infectious disease management in mortuaries should be reviewed and standardised.*



# 1. Introduction

## Introduction

### What happens when someone dies

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When someone dies in the United Kingdom (UK) (excluding Scotland for the purpose of this survey) the death needs to be registered with the state and formal documents issued so that funeral arrangements can take place. In order to register, there must be a recorded cause of death. In the majority of cases, a medical practitioner is able to sign a medical certificate indicating, to the best of his/her knowledge and belief, the cause or causes of death. The medical practitioner is also indicating that, as far as he/she is aware, there are no features in the death that suggest foul play, an accident or a scenario that makes the death appear to be suspicious or unnatural (i.e. not the result of a natural disease)<sup>1</sup>.

However, a doctor may not know the cause of death, or there may be factors that suggest an unnatural death. Alternatively, a doctor may complete a medical certificate of the cause of death, which the Registrar of Birth and Deaths regards as not natural or appropriate. In these cases, in England, Wales, Northern Ireland and the offshore islands, the death is referred to a coroner who then decides whether or not to investigate the case further. Following discussion with the reporting doctor and any knowledgeable or interested parties, the coroner forms a view on this. The coroner may decide, in discussion with the reporting doctor, that there is sufficient information to permit a natural cause of death to be recorded and registered. If following discussion between the coroner and the doctor the death is regarded as 'natural', the coroner may issue a certificate (referred to as Pink Form A) that enables the Registrar of Deaths to register the death, without autopsy. If the cause of death is unknown, the coroner may arrange for an autopsy to be performed by a registered medical practitioner (nearly always a pathologist) who will write a report for the coroner that gives a cause of death and if the cause of death is 'natural', the coroner may issue a certificate (referred to as Pink Form B) that allows the death to be registered following the autopsy (but without inquest). A coroner may also decide to hold an inquest into the death at some later date.

### How autopsies take place

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Autopsies in England, Wales, Northern Ireland (NI) and the offshore islands take place under two main circumstances: the coronial autopsy and the consented autopsy. That requested by a coroner - under circumstances indicated above and described in more detail in 'The Coronial System' - does not require consent from the next of kin. Its purpose is simply to determine a cause of death. In governance terms, it is nothing to do with the National Health Service or hospital practice. Conversely, consented autopsies take place when a clinician requests consent from the next of kin for an examination after death. The clinician will have already signed, or be about to sign, a medical certificate of cause of death. The purpose of the consented autopsy is then to study the conditions that the person suffered from, in order to better understand the medical and pathological chain of events that led to death. These consented autopsies are

usually performed in hospital mortuaries and come under NHS governance regulations.

Currently, about 55% of deaths in England and Wales are certified directly by doctors and 45% are directly referred to a coroner<sup>2</sup>. If the coroner accepts the case for investigation, he/she provides the cause of death for registration purposes, usually confirming the pathologist's cause of death as the basis for this. The net result is that in 2005, 22% (114,600) of the people who died in England and Wales (513,000) were examined after death through a coronial autopsy<sup>2</sup>. On average there are 14,500 deaths in Northern Ireland per annum and in 2005 approximately 1,500 autopsies were performed for the coroner in Northern Ireland<sup>3</sup>. In terms of the offshore islands, there are approximately 2129 deaths per annum with, on average, 300 coronial autopsies being performed<sup>4-6</sup>.

### Audit of the autopsy

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In the UK, the overall quality of the coronial process has never been audited. One of the key components of this process is the coronial autopsy and the resulting report. The autopsy report is a source of information to inform and assist a detailed investigation of individual cases by coroners and their staff, particularly those that are subject to a public inquest within the coronial system, or for cases that go on to become the object of civil claims. A small and unknown proportion of coronial autopsy cases are discussed at mortality meetings within hospitals as part of clinical governance<sup>7</sup>, where the autopsy report often reveals information about the deceased that was unknown or unrecognised to clinical staff prior to death. Beyond anecdotal observation there has been no national overview of the quality of coronial (or other adult) autopsies and the associated reports<sup>8,9</sup>.

### The value of the autopsy

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Increasingly, with advances in diagnostic procedures<sup>10</sup> the value of the autopsy has been challenged over the years. Even so, studies from all over the world have revealed the true value of autopsies by highlighting rates of discrepancies between clinical and postmortem diagnoses, and their use as an educational tool for the medical profession<sup>8</sup>.

A recently published meta-analysis examining English language articles published between 1980 and 2004 which studied discrepancies between clinical and postmortem diagnoses showed that there has been little improvement in the overall rate of discrepancies between the 1960s and 2005. The authors concluded that 50% of autopsies produce findings unsuspected before death and at least a third of all death certificates are likely to be incorrect<sup>11</sup>. This figure has been supported by other investigators examining the accuracy of death certificates<sup>12</sup>. The office responsible for registering all deaths is the General Registrar's Office (GRO). In governance terms, it answers to the Office for National Statistics (ONS), which analyses causes of death and publishes data. The ONS comes under the auspices of Her Majesty's Treasury (not the NHS).

Individual studies examining the rates of discrepancies between clinical and autopsy diagnoses vary. In the United States, Goldman et al (1983)<sup>13</sup> examined 100 randomly selected autopsies from each of the academic years 1960, 1970 and 1980 to determine whether advances in diagnostic procedures have reduced the value of autopsies. They found that in all three decades, about 10% of the autopsies revealed a major diagnosis that if known before death "might have led to a change in therapy and prolonged survival". It was concluded that advances in diagnostic technology had not reduced the value of the autopsy. A similar study examining

missed clinical diagnoses in trauma patients dying in an American intensive care unit (2002)<sup>14</sup> found a missed major diagnosis that may have affected outcome if recognised clinically in 3% of cases in their sample. Another autopsy study examining critically ill patients in a UK teaching hospital found major missed diagnoses in 39% of cases<sup>15</sup>. In Australia, a systematic review of reports from 1996-2002 found autopsies detected, on average, 23.5% of clinically missed diagnoses involving the principal or underlying cause of death<sup>16</sup>. Finally, a Japanese study found that in 1,044 patients autopsied between 1983 and 1997, 7% of cases had a clinical diagnosis that differed from the autopsy findings<sup>17</sup>.

These studies all highlight the value of autopsies in not only providing an accurate cause of death but also their value as an educational tool, serving to advance the understanding of diseases and disease processes. However, it is important to note that the purpose of the coronial autopsy, within the confines of the coronial system, is only to provide a cause of death. One reason why there is necessarily more interest in the coronial system now is because the numbers of consented autopsies have declined dramatically over the last 20 years. In adult practice, coronial autopsies now comprise >95% of all adult autopsies in England & Wales and Northern Ireland. It raises the question, posed throughout this report, of whether the coronial system is the appropriate vehicle to bear all the other potential roles of an autopsy such as education, a deeper understanding of disease processes, and questions from the family.

## NCEPOD

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NCEPOD has, since 1989, reviewed samples of hospital deaths, 15 of which have included evaluations of autopsy reports. Some of the evaluations have been critical of the standard of coronial autopsy practice<sup>18,19</sup> and other confidential enquiries have drawn the same conclusions<sup>20,21</sup>. Of the reports produced by NCEPOD during this time that have included reviews of available autopsy reports; in all cases the majority of the autopsies being performed at the request of a coroner. The reviews have been undertaken by actively practising pathologists and the conclusions and recommendations emerging have mirrored many of the concerns that come out of this current study.

The first NCEPOD report (1989)<sup>22</sup>, which focussed on perioperative deaths in children, found a high standard of autopsy reports with 114/170 (67%) cases being of 'high' or 'very high' grade and only 6/170 (4%) being 'unacceptable'. The only criticism was that an autopsy was not sought by a clinician or coroner, yet the clinical evidence for the cause of death was inadequate based on premortem information (the clinical records being available).

In the next report, on adult deaths (1990)<sup>23</sup>, many of the issues for the next 15 years of NCEPOD reports were established:

- New information about the patients' diseases and prognosis came from the autopsy in 32% of cases.
- The surgical team were informed of the date and time of the autopsy in only 31% of cases, and therefore many did not attend the autopsy.
- Information was fed back to the clinical team in only 78% of cases.
- Clinical history was given in the autopsy report in 76% of cases.
- Tissue samples for histopathology were retained in only 13% of the coronial autopsy cases; this increased to the range 19-55% in the various subsequent reports.

- A clinicopathological correlation was provided to explain the death in 39% of all autopsies.
- The coronial autopsies were graded as 'poor' or 'unacceptable' in 25% of cases.

In reports published between 1992 and 1995, the key issues over coronial autopsies on perioperative deaths were:

- The number of postmortem examinations should be increased.
- They provided valuable audit by confirming surgical findings.
- The overall quality of the autopsies was good, but more clinicopathological correlation and greater precision in statement of the cause of death were desirable.
- The widely used preprinted proformas for coronial autopsies limited the space available for description and interpretation.
- Wider observance of the Royal College of Pathologists Guidelines for Post-mortem reports<sup>24</sup> would improve the quality of the examinations.
- The cranial cavity should be examined in all coronial autopsy examinations: in the 1995 report, 7% of the cases reviewed did not have the head opened.
- Critically, 'contacts between the Royal College of Pathologists and the Coroners' Society of England and Wales should be developed to address issues of common interest'.
- Introduction of a system of audit, which includes coroners' autopsies, should be considered.

In 1998, the NCEPOD report<sup>25</sup> considered certain surgical procedures and the final key message was that "variation in coronial practice makes it impossible to build a single logical framework for deciding whether a case should be referred to a coroner".

By the time of the report published in 2001<sup>26</sup>, the decline in the rate of consented ('hospital') autopsies was marked, with 95% of the cases considered coming from coronial authorisation. The quality of the autopsy reports was satisfactory or better in 69%, but the overall quality was not as good as in previous years. Lack of histopathology examination detracted significantly from the quality of the autopsy reports in 28% of cases. Encouragingly, the proportion of cause of death statements that included the operation had risen to 76% of cases.

The most recent of the NCEPOD general reviews of perioperative deaths, including pathology, was published in 2002<sup>18</sup>. The key issues that emerged were imperfect communication between clinicians and pathologists, and between pathologists and coroners. Particularly in the transfer, quality and completeness of information concerning events leading up to a death. Regular multidisciplinary audit mortality meetings were endorsed, in part to enable continuing professional development for pathologists as well as enabling clinical review.

Inconsistency in the way individual coroners order autopsies was criticised, particularly considering the demands of the large numbers of deaths being reported to them. There was a call for changing the coronial system and a strong plea that autopsies, like all other branches of medicine, should be subject to the formal scrutiny of external audit by interested groups including clinicians. Finally, the problem for coroners in identifying appropriately specialist

pathologists for certain cases (particularly paediatric) was noted.

The previous NCEPOD autopsy reviews have not been representative of all deaths occurring in the population, since deaths in the community and (until recently) non-perioperative deaths have not been within the NCEPOD remit. This changed in 2004, when the remit of NCEPOD was broadened to encompass all deaths that were not, related to pregnancy, or from homicide related to mental illness. This was reflected in the name change, from National Confidential Enquiry into Perioperative Death, to National Confidential Enquiry into Patient Outcome and Death.

### **The present study**

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This study is more truly representative since it is a review of the autopsy reports of all deaths accepted for autopsy by a coroner across the participating states over a particular period, whether occurring in hospitals, nursing homes or elsewhere in the community, the only exception being suspected homicide cases. A further significant change in the review process was the inclusion of coroners as well as pathologists among the Advisors. Since by virtue of the coronial system, the autopsy report is currently intended only for the coroner, it was considered useful to obtain their assessments of the product in conjunction with those of pathologists. Previous NCEPOD reports have included organisational questions that pertained to operations and medical practices, such as provision and staffing of operating theatres. For the present study, a similar investigation was made into some aspects of the provision of facilities and staffing of the mortuaries wherein the autopsies take place.

To understand the context of this report and its findings, the following sections provide a brief overview of the current legislative framework for coroners and the role of pathologists in the coronial process.

# 1. Introduction

## The coronial system

In 2005 in England and Wales, 513,000 deaths were registered, of which 232,400 (45%) were reported to coroners. This proportion of reported deaths is an increase of 7,000 (3.1%) from the figure reported in 2004<sup>2</sup>.

### Governance of the coronial system

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In England, Wales, Northern Ireland and the offshore islands there are approximately 120 coronial jurisdictions (ongoing amalgamations change the exact number, there were 137 at the time of running this study). The coroners and their deputies, are appointed by local authorities and are answerable to the Lord Chief Justice. They are managed by the Dept of Constitutional Affairs. Thus, coroners, the coronial system, and coronial autopsies are independent of the NHS, even though they are concerned with causes of death in patients who, for the most part, have been managed in the NHS.

The number of autopsy examinations as a proportion of deaths being reported to coroners has declined over recent years, (49% of deaths reported to coroners were autopsied in 2005 compared to 51% in 2003). It should be noted that the 49% of cases reported to them for which coroners request an autopsy is an average. There is enormous variation nationally; among the jurisdictions with over 1000 cases referred to them per year, the range is actually 28-77%<sup>2</sup>.

As a proportion of all registered deaths, the autopsy rate through the coronial system is 22% in England and Wales, but notably, in Northern Ireland it is less than half that in England and Wales, at 9%. In Scotland, where the Procurator Fiscal takes the role of the coroner for medicolegal investigations, the rate is about 10%, as it is in other English speaking countries that have taken their legal framework from the UK (e.g. North America, Australia, and New Zealand). Only certain central European countries (Austria, Hungary) have traditionally had a higher autopsy rate than England and Wales. Therefore it is appropriate that a survey of the outcomes of this autopsy process be undertaken.

In England and Wales, the number of inquests into death has remained relatively stable, with approximately one in eight deaths being reported to coroners resulting in inquest. The most common verdicts following inquest have consistently been found to be accident/misadventure (35%), natural causes, (23%) and suicide (12%); the remaining 30% include death from industrial disease, an open verdict, and all other verdicts<sup>2</sup>.

# 1. Introduction

## Why autopsies are performed

The Coroners Act 1988<sup>27</sup> (the Act), sections 8, 19 and 20, prescribes that in England and Wales' coroners shall investigate the body of a person lying within their jurisdictions where they have reasonable cause to suspect that the deceased:

- has died a violent or an unnatural death;
- has died a sudden death of which the cause is unknown; or,
- has died in prison or in such a place or in such circumstances as to require an inquest under any other Act.

A coroner will request an autopsy if there is reasonable cause to suspect that the person has died a sudden death where the cause of death is unknown, i.e. a medical practitioner does not feel able to provide a natural cause of death, 'to the best of his knowledge and belief' or because they have not seen the patient for more than two weeks<sup>1</sup>.

For the purpose of the investigation, a coroner will decide whether an autopsy is necessary and, if so, direct any qualified (i.e. fully registered) medical practitioner to make an autopsy of the body, and to report the results of the examination to the coroner in writing. For the format of that autopsy report, Section 10 of the Coroners Rules 1984<sup>28</sup> states that:

"The person making a post-mortem examination shall report to the coroner in the form set out in Schedule 2 or in a form to the like effect".

A copy of Schedule 2 is provided as an Appendix. It lists the information that should be contained within an autopsy report. (The equivalent Schedule in the Northern Ireland coronial system is similar to that of England and Wales. Minor deviations as noted in the Statutory Rules and Orders of Northern Ireland<sup>29</sup> are highlighted where applicable throughout this report). (The offshore islands also have their own equivalent of the Coroners Rules<sup>4,5</sup>).

## What is the autopsy for?

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The coronial autopsy examination should identify how the deceased came by his/her death in cases where an unnatural death is suspected. For natural causes of death, under existing legislation, the standard of proof required is only the 'balance of probability', rather than 'beyond reasonable doubt' as required under criminal law, and for certain categories of coroners' verdicts (suicide, unlawful killing). In summary, the purpose of the coronial autopsy is to assist the coroner in carrying out their duties in establishing who the deceased was, and how, when and where the deceased came by their death. In most cases, the level of diagnostic accuracy is expected to be 'probably true' rather than 'accurate beyond reasonable doubt'. It is important to recognise that under existing legislation, the purpose of the coronial autopsy is interpreted differently by coroners as well as pathologists. At the basic level, it is to identify or exclude unnatural or violent deaths (e.g. homicide) and provide a cause of death. At the other end of the

spectrum, others may consider that the purpose of the coronial autopsy is to confirm or refute clinical diagnoses, and/or to ensure that the autopsy report meets 'best practice' guidelines (discussed further under 'The Royal College of Pathologists' section).

The autopsy results may obviate the need for an inquest, as occurs in nearly 90% of cases (England and Wales) where the cause of death proves to be 'natural' rather than 'unnatural'. There is no statutory definition of what constitutes a natural cause of death, however, it is generally taken to be the consequences of old age or a disease that did not (for example) involve a third party, drug toxicity, industrial complications, trauma, self injury, or medical malpractice. Over the years there has been accumulated guidance on the types of death scenarios that should be reported to a coroner, with such lists printed in the books of medical certificates of causes of death, and recent advice from the General Registrar's Office<sup>1</sup>. The conditions described therein constitute the conditions considered potentially unnatural and are to be investigated by the coroner.

If an inquest is to take place, the resulting autopsy report may become part of the evidence. Often, but not always, this is supported by the presence of the pathologist at the inquest. The Act prescribes that at inquest, the coroner shall set out, so far as such particulars have been proved, who the deceased was and how, when and where the deceased came by his/her death.

The communication between coroners and pathologists is critical to the operation of the system for autopsies, and comes under scrutiny in several places within this report. The size of coronial jurisdictions and numbers of deaths reported varies hugely<sup>2</sup>, and in the busier jurisdictions there are officers employed on behalf of the coroner in most aspects of referred cases. They apply the policies laid down by the coroner and do most of the communicating with pathologists.

There are approximately 700-800 pathologists in the UK who perform autopsies for coroners but there is no central register. The Royal College of Pathologists is the professional body that supports pathologists, and a summary of their work is provided in the next section.



# 1. Introduction

## The Royal College of Pathologists

The Royal College of Pathologists (RCPATH) is a "professional membership organisation... concerned with all matters relating to the science and practice of pathology"<sup>30</sup>. The RCPATH has previously outlined a number of problems with the current coronial system<sup>24</sup>, some of which are discussed here.

First, the RCPATH contend that there is often a lack of adequate information presented to pathologists before the autopsy, and that in most cases the pathologist will not have the patient's medical records, a finding which has been supported by previous research<sup>31</sup>.

Second, the RCPATH has expressed the view that performing many autopsies in a short space of time can lead to an inadequate amount of time being spent on problematic cases. Attitudes of pathologists have also been highlighted as a problem, as they may be under pressure to provide a cause of death quickly, leading to inadequate investigations into the cause of death. These findings have been replicated by the Shipman Inquiry<sup>32</sup>, which also highlighted the issue of histology, whereby the coroner may not allow for histology to be taken, even where the pathologist feels it is necessary. Following The Royal Liverpool Inquiry<sup>33</sup> and the Bristol Royal Infirmary Inquiry<sup>34</sup> there has been a 'sea change' in the attitude of most coroners to retaining tissues for analysis, with increasing restriction. The attitude of government toward all autopsy tissue retention also changed and the specific regulations governing tissue retention at a coronial autopsy were amended with effect from June 2005 (see 'Tissue retention' in the 'Results' section).

Third, the RCPATH has highlighted a lack of audit leading to a possible fall in standards. They argue that it is important to have a baseline overview of what is actually done at autopsy.

In the late 1990s, the RCPATH commissioned the development of practice guidelines for autopsies of all kinds (i.e. coronial as well as consented hospital autopsies) which was published in 2002. The minimum data set applicable to all autopsy examinations includes:

- Demographic details;
- Type of autopsy;
- Clinical history;
- External description;
- Internal organ examination;
- Histological report (if histology is taken);
- Summary of findings;
- Clinicopathological correlation;
- Cause of death<sup>24</sup>.

These guidelines are for 'best practice' and have no direct influence on how coronial autopsies are performed. The RCPATH recognises that in addition to providing a cause of death, autopsies that are conducted within and outside the coronial system are useful to gain further understanding of disease, to evaluate the effects of treatment and to identify other information about the deceased which may be relevant to the death. Autopsies may incidentally be used for audit of clinical care, teaching, and are occasionally used in research.

### Role of the RCPATH

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The RCPATH is a professional and advisory body; it is not a regulatory body that has powers to enforce standards of practice in any area of pathology. It produces best practice guidelines, across all areas of pathology, that derive from expert groups which are then subject to consultation with the membership and other relevant bodies, to arrive at a consensus. These guidelines are not binding upon practitioners, but are incorporated into specialist practice development and reviews (e.g. cancer networks). It has a Professional Standards Unit (PSU) that can become involved in investigating pathologists and departments where allegations of substandard practice have been made, usually at the invitation of medical directors of trusts and other hospitals. It organises a Continuing Professional Development (CPD) scheme for pathologists, which is voluntary, to document practice and continuing education in the specialist fields. This can be part of the regular appraisal of pathologists by their employers.

However, coronial autopsy practice is, by definition, privately contracted work that lies outside the National Health Service (NHS). Whether the pathologist is employed by a hospital trust, a medical school, or is an independent practitioner, the standards of practice in performing coronial autopsies do not come under the clinical governance prescriptions of the NHS. Thus this activity is essentially unaudited (the autopsy reports belong only to the coroner and may not be disclosed without the consent of the coroner<sup>27</sup>), is not part of the annual appraisal (as long as the time taken doing coronial autopsies does not conflict with agreed job plans), and does not come under CPD inspection. Further, the RCPATH PSU has specifically indicated that, for the moment, coronial autopsy practice is not within its remit for investigation.

### Initiating this study

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In 2004, NCEPOD received a proposal from the RCPATH, which called for a study to examine the quality of coronial autopsy reports. It will be the first of its kind to audit the quality of the product of coronial autopsies: the autopsy report. NCEPOD hope that the findings from this study will be useful to coroners, pathologists and other key stakeholders, particularly during the proposed coronial reform<sup>35</sup>; and that the results of the study will be used as a baseline for future quality audits, be that on a local, national or international level.

# 1. Introduction

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## 2. Aim of study and method

### Aim of the study

The aim of this study was to assess the quality of coronial autopsy reports, and indirectly the quality of autopsies, requested by coroners under the England, Wales, Northern Ireland, Guernsey, Jersey and the Isle of Man coronial systems.

The specific objectives of the study were:

- To assess the quality of coronial autopsy reports in conjunction with the written information relating to the death as presented to pathologists by coroners;
- To obtain a baseline overview of the standard to which coronial autopsy reports are currently being reported, and indirectly, the standard to which they are being performed;
- To assess how issues raised by a death are being addressed in the coronial autopsy;
- To highlight the variations in practices and explore reasons for these variations (e.g.: coroners' requests and expectations; pathologists' workloads; mortuary facilities);
- To evaluate the correctness of pathologists' Office of National Statistics (ONS) cause of death formulations in terms of structure and content;
- To make recommendations regarding quality of autopsy reports.

## 2. Aim of study and method

### **Method**

Peer review of individual autopsy reports and information from organisational questionnaires were used to inform the study. The two components of the study are described in the following sections.

## 2. Aim of study and method

### Peer review of autopsy reports

Twenty one currently practising coroners and 'autopsy-active' (>50 autopsies per year) pathologists (herein referred to as advisors) were selected specifically for the study to assess the quality of individual autopsy reports, along with the written documentation that was supplied to NCEPOD by the coroners' offices (referred to as 'supporting documentation'). For each case, the advisors completed an assessment form (with free text additions) developed specifically for this study. A copy of the assessment form is available as an Appendix. The assessment form was based on previous NCEPOD autopsy assessment forms, the Coroners Act 1988<sup>1</sup>, and the 2002 Royal College of Pathologists' Guidelines for Autopsy Practice<sup>2</sup>.

Before the full scale study commenced, the advisors attended a training session arranged by NCEPOD, where they were provided with a written Advisor Manual (available as an Appendix) and training. This enabled the group to discuss and practice the assessment process for a sample of individual cases using the assessment form.

During the study, the advisors met regularly in small groups and each case was initially reviewed by one advisor only. This individual review was then followed by a short presentation of each case to the multidisciplinary team for discussion from all advisors.

It is important to acknowledge the limitations of this methodology and its possibility to impact on the findings. The advisors could not duplicate the autopsy and did not have access to any clinical notes of the deceased. They are commenting on the autopsy reports and related information. Thus there may be underestimation of the deficiencies in the present system.

## **2. Aim of study and method**

### **Organisational questionnaire**

An organisational questionnaire was disseminated to all mortuaries where coronial autopsies were performed in the participating countries. The organisational questionnaire was designed to gather information about the mortuary facilities available to pathologists when performing coronial autopsies. A copy is provided as an Appendix.



## 2. Aim of study and method

### Sample

The study sample comprised all coronial autopsy cases where the autopsy was performed during a one week (7 day) retrospective period in early 2005, in England, Wales, Northern Ireland, Guernsey, Jersey and the Isle of Man. The date was chosen to exclude any weeks with a bank holiday and the date was notified retrospectively to avoid bias in the study week. It was also before the implementation (in June 2005) of the important changes to the Coroners Rules 1984 that placed greater restriction on the taking of material for histology and toxicology etc.

NCEPOD allowed 11 weeks from the chosen study week before the data were requested from coroners' offices. This was to ensure sufficient time for the majority of autopsy reports to be completed and submitted to the coroner concerned. The data were accepted until the end of January 2006.

The only exclusion that applied to the study was suspected homicide cases. Paediatric cases were included in the sample population.

Based on 2003 data published in 2004, it was estimated that on average 2,300 coronial autopsies would be performed per week<sup>3</sup>. Taking into account a 1.6% decline in autopsy rate (based on the average rate of decline in coronial autopsies between 1993 and 2003) and the excluded homicide cases, it was anticipated that the study sample would consist of approximately 2,260 coronial autopsy cases.

## 2. Aim of study and method

### Case identification & data collection

Cases were identified by coronial staff from all coroners' offices in the participating countries. The following data were requested from coroners' offices for each case included in the study:

- The autopsy report.
- Supporting documentation. This referred to any documents that were issued to the pathologists by the coroner prior to the autopsy taking place. This may include (but was not limited to):
  - written instruction to the pathologist to perform an autopsy;
  - coroner's summary report;
  - police report (e.g. sudden death report, scene examination);
  - ambulance service forms;
  - clinical summary or copies of medical notes (if available on the coroner's file).

NCEPOD were unable to take cognisance of any oral information provided to the pathologists, e.g. by the attendance of a coroner's officer at the autopsy who passes oral information to the pathologist.

## 2. Aim of study and method

### **Data analysis**

All data were anonymised on receipt by NCEPOD and a unique number was given to each case and each mortuary. All identifiers pertaining to the deceased, next of kin, pathologist, coroner, hospital and mortuary were removed from the data before being reviewed by the advisors.

Data from both the assessment forms and the organisational questionnaires were abstracted by NCEPOD researchers and analysed using Microsoft Excel and Access to inform the study.

## 2. Aim of study and method

### References

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## 3. Results of study

### Results of study

This section presents and discusses the results of the study. Where areas of potential improvement have been identified, recommendations are made. Where practicable, the results have been presented in the order by which a case is investigated: from the information that is available to the pathologist prior to autopsy, the autopsy process itself including facilities available during the autopsy, and to production of the final written autopsy report. Accordingly, this chapter contains information from both assessment forms and the organisational questionnaires.

Alongside the results, there is also discussion of the main issues that have emerged. References are made to existing guidelines or legislation, where they exist, and to the relevant literature. There are also illustrative vignettes which depict particular cases that highlight certain issues. Inevitably, many vignettes illustrate what the advisors consider to be poor practice and indicate generic means of preventing such events in future. However, some cases have been included where the advisors considered that good practice was evident. The recommendations for remedial action are placed at the ends of each section.

Finally, in the 'Overview and discussion' section, the emerging themes are drawn together and some over-arching recommendations are proposed. Because the coronial and death certification systems of England and Wales are currently awaiting new legislation and reform<sup>1</sup>, these recommendations could have a broader application than just intended for coroners and pathologists.

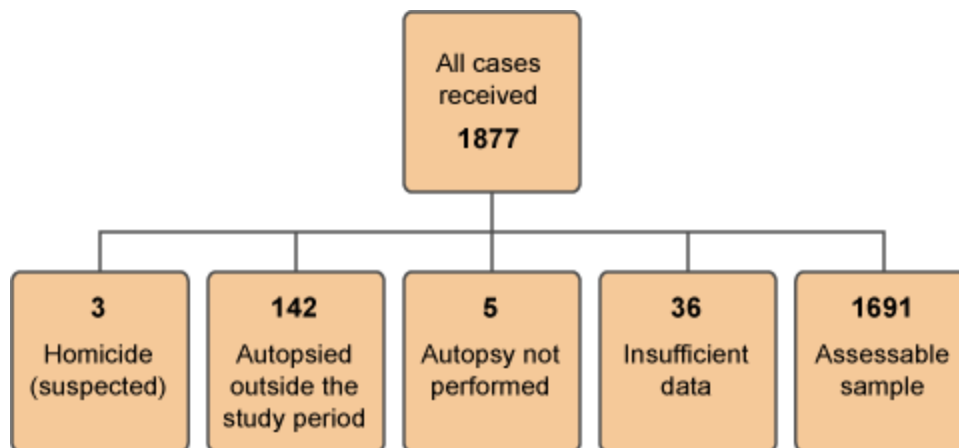
### 3. Results of study

#### Participation

A total of 1,877 cases were reported to NCEPOD from 121 coronial jurisdictions across the participating countries, equating to an 88% (121/137) participation rate. Coroners that did not submit cases to NCEPOD were followed up with a reminder letter. Sixteen jurisdictions did not participate. Only one of the 16 jurisdictions that did not participate in the study advised NCEPOD of their wish not to participate. The remaining jurisdictions did not reply to any correspondence.

One hundred and eighty six cases were excluded because they did not meet the study criteria. Three cases were suspected homicides, 142 cases were autopsied outside the study period, and five cases were excluded because an autopsy was not actually performed. A further 36 cases were excluded because NCEPOD did not receive the full autopsy report and therefore those cases were unable to be assessed. This left a total of 1,691 assessable cases for the study sample (Figure 1).

**Figure 1: Flow chart showing summary of cases received**



NCEPOD acknowledge the possibility that a sample bias may have been introduced into the study due to voluntary participation by coroners and that some cases relevant to the study week may not have been forwarded to NCEPOD. As the coroners' staff were responsible for identifying the cases to be included in the study, there was no way of checking that all cases autopsied during the study week were in fact forwarded to NCEPOD for inclusion. In a few cases the coronial investigation may not have been concluded at the time the data were requested and the lack of information may have resulted in those cases being inadvertently left out of the sample population. (Although some jurisdictions ensured that such cases were forwarded to NCEPOD once the coronial investigation was concluded).

A total of 265 organisational questionnaires were sent to all mortuaries that performed coronial autopsies. Of these, 207 questionnaires were returned (of which, 14 were returned incomplete with a note indicating that coronial autopsies were not undertaken at the mortuary). Therefore, 193 questionnaires were used for analysis, a response rate of 77%. Those mortuaries who had not returned their questionnaire by the stated deadline were followed up with a reminder letter.

### 3. Results of study

#### Overview of sample population

This section provides a brief overview of the sample population including:

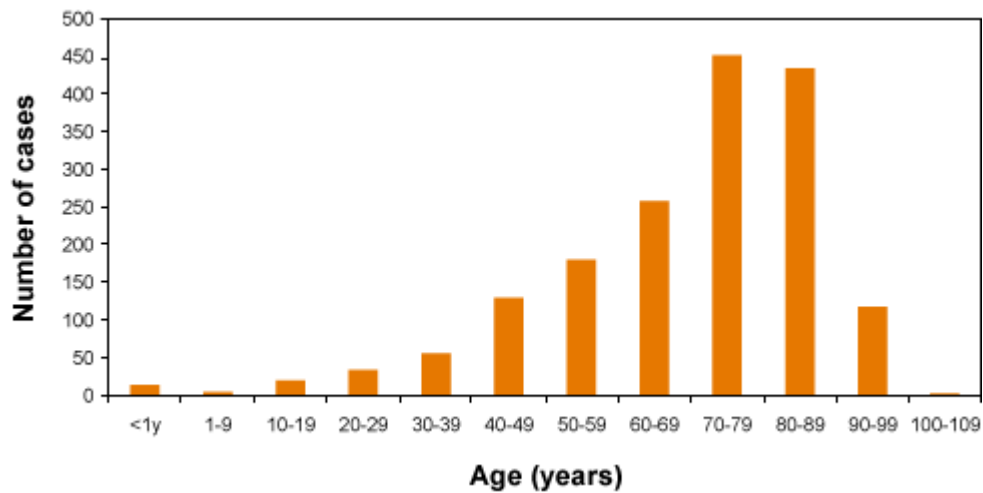
- Age and sex;
- Category of death;
- Overall quality of the autopsy reports;
- Description of the mortuaries for which organisational data were available.

#### Age and sex

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The sample comprised 58% (979/1,691) males and 42% (712/1,691) females with a median age of 74 years. The minimum age was three days and the maximum age was 101 years. Figure 2 shows a distribution of the age of the sample in 10 year bands.

**Figure 2: Age of sample in 10 year bands**



#### Category of death

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The varying categories of death in this sample population are presented in Table 1. This categorisation represents the advisors' view as to the type of death for each case, rather than the complete cause of death as noted on the autopsy report.

<b>Table 1: Category of death (advisors' view)</b>		
	<b>n=</b>	<b>%</b>
Natural cause of death in community*	929	55
Natural cause of death in hospital	351	21
Intentional self harm (suicide)	50	3
Other	55	3
Unascertained	44	3
Associated with a road traffic collision	41	2
Associated with medical intervention	20	1
Alcohol related cause of death	23	1
Natural cause of death (location not stated)	38	2
Industrial related cause of death	31	2
Associated with illicit drug overdose/poisoning	16	1
Mishap in hospital (e.g. fall)	2	0.5
Associated with fire	5	0.5
Associated with immersion	4	0.5
Sudden infant death syndrome (SIDS)	4	0.5
Multiple causes of death (including epilepsy)	78	4
<b>TOTAL</b>	<b>1691</b>	<b>100.0</b>

\* Community refers to any place that is not a hospital, i.e. this category would include deaths in nursing / residential care homes etc.

As expected, by far the largest single group (55%) was that of natural deaths in the community. This was followed by natural deaths in hospital (21%).

### **Overall quality of the autopsy reports**

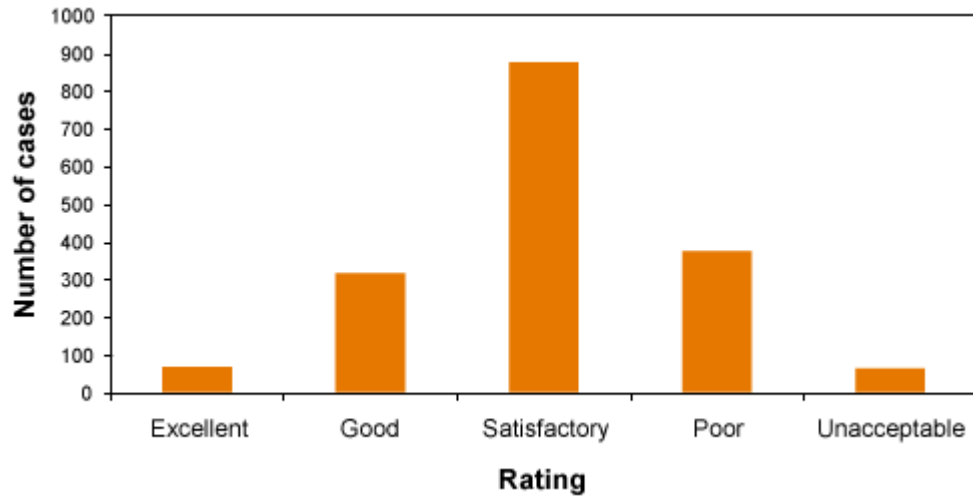
For each case, the advisors were asked to assess the overall quality of the autopsy report using a five point scale: excellent, good, satisfactory, poor or unacceptable. In marking the overall quality of the autopsy report, the advisors could consult their Advisor Manual and were asked to bear in mind the RCPATH Guidelines on Autopsy Practice<sup>2</sup>.

Just over half of the reports 52% (873/1,691) were considered satisfactory by the advisors (Figure 3), 19% (315/1,691) were good and 4% (67/1,691) were excellent. Over a quarter were marked as poor or unacceptable. Proportionately, there were more reports rated as being 'unacceptable' for those cases being performed in a local authority mortuary (21/214 for local authority mortuary cases versus 42/1,477 for hospital mortuary cases).



Throughout the report, the overall quality of the autopsy report has been correlated with a number of different features noted in the autopsy reports and the organisational questionnaires.

**Figure 3: Overall quality of autopsy reports**



### Mortuary types

The majority of autopsies 87% (1,477/1,691) were performed in a hospital or combined mortuary. The remaining 13% (214/1,691) were performed in a local authority (public) mortuary.

From the organisational questionnaire 92% (177/192) of mortuaries were said to be based within hospital premises (one mortuary location was not specified). Respondents were then asked to indicate on the questionnaire whether the mortuary was a: 1) hospital mortuary; 2) local authority public mortuary; or 3) combined hospital and public mortuary. A combined mortuary would be defined as a mortuary run and funded by both a hospital and a local authority, and receiving cases through both the hospital and local authority. In many instances mortuaries were defined as more than one type and so for the purpose of further analysis these data were recoded (Figure 4). Therefore any subsequent analysis using mortuary type used the recoded data; hospital and combined mortuaries have been grouped together, (175/191) and analysed against local authority mortuaries (16/191). Two mortuaries did not answer this question (Table 2).

	Organisational questionnaire data	Recoded data
Hospital mortuary	171 (92%)	43 (23%)
Local authority mortuary	41 (23%)	16 (8%)
Combined mortuary	131 (69%)	132 (69%)
<b>TOTAL</b>		<b>191</b>
Not answered		2

## 3. Results of study

### Assessment form results

The following sections of this report present data obtained from the assessment form (i.e. the advisors' assessment of each of the 1,691 cases). This includes an assessment of the:

- Supporting documentation; and,
- Autopsy report (demographic data, clinical history, external and internal examination, tissue retention, cause of death formulation).

Data from the organisational questionnaire has been interspersed in the relevant sections. When correlating the mortuary data with assessment form data, only those cases where an organisational questionnaire had been returned from the mortuary in which the autopsy was performed (80%, 1,360/1,691) have been used.

### 3. Results of study

#### Information available to pathologist prior to autopsy

In addition to individual autopsy reports, the coroners were requested to forward any additional documentation (kept on the coroner's file) that was available to the pathologist prior to the autopsy taking place (this was referred to as 'supporting documentation'). This enabled the advisors to inspect what the pathologists were provided with about each case through the coroner's office. Obviously, these data are critical to advising a pathologist about what sort of disease processes they might expect to find in each case; or that the underlying processes are simply not known.

Presented in this section is:

- Types of supporting documentation supplied alongside the autopsy cases;
- Details contained within the supporting documentation;
- Specific investigational requests;
- Overall quality of the supporting documentation;
- How coronial autopsies were requested.

#### Types of supporting documentation

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More than 2,958 pieces of supporting documentation were forwarded alongside 1,535 autopsy cases. Many combinations of supporting documentation were received, and in 53% (888/1,691) of the cases received at least two pieces of supporting documentation. Most commonly, it was a coroner's summary report (available for 57% of cases) or a sudden death report (available for 47% of cases). Table 3 shows the number and type of supporting documents that were forwarded to NCEPOD. In 156 cases, no supporting documentation was forwarded alongside the autopsy report.

	<i>n</i> =	% of cases
Formal request	326	21
Sudden death report	797	52
Coroner's summary	966	63
Medical extracts	79	5*
Ambulance forms	97	6
Other	693	45

*\*When stratified for deaths in hospital, the proportion of cases where medical extracts formed part of the supporting documentation rose to 23%.*

Supporting documentation that was categorised as 'Other' included:

- 'Report of death' to a coroner from the hospital in which the deceased had died;
- Correspondence between coronial staff and general (or other treating) practitioners;
- Checklists (for coronial use);
- Witness statements;
- Police incident logs;
- Other documents containing the circumstances of the death;
- Other documents containing the deceased's past medical history;
- Organ retention and tissue disposal consent forms.

A number of other documents were also forwarded to NCEPOD, but these were identified to have been generated after the autopsy had taken place, and were therefore not available to the pathologist prior to the autopsy taking place. These included:

- Histology and toxicology (and other ancillary investigational) reports;
- 'Preliminary' cause of death forms (from pathologists to coroners);
- Body release forms;
- Notices to Registrar's Office;
- Documentation relating to inquests;
- Covering letters from pathologists to coroners.

NCEPOD acknowledge that pathologists may have in fact had more information available to them when conducting the autopsy than was forwarded to NCEPOD. For example, pathologists may have received verbal information either over the phone or in person from coroners' office staff, hospital or police personnel. Furthermore, many pathologists may have had access to the deceased's medical casenotes. Obviously, due to the nature of the study, NCEPOD was unable to assess the quality of this information, or how such information may have impacted on the quality of the autopsy.

#### **Details contained within the supporting documentation**

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For each case, the supporting documentation was examined to identify whether or not it contained the following details (Table 4):

<b>Table 4:</b> Details contained within the supporting documentation ( <i>n</i> =1535)		
	<i>n</i> =	%
Deceased's date of birth	1480	96
General / treating practitioner details	1151	75
Deceased's occupation*	686	45
Specific clinicopathological questions relating to the death (directed from the coroner to the pathologist) **	119	8
Specific investigational requests or instructions (directed from the coroner to the pathologist)	35	2

\* A comment that the deceased was retired was not considered to be a sufficient answer to this question, unless the supporting documentation contained the deceased's previous occupation(s). This figure excludes child cases ( $\leq 16$  years). When stratified for sex, the deceased's occupation was more commonly available in male cases (51%, 448/881).

\*\* For example: "query pulmonary embolism", "query myocardial infarction".

Four percent of cases did not have the deceased's date of birth, which was surprising as it was expected that all persons would have some documentation available by the time an autopsy is requested (which is usually 24 hours or more after the death). The exceptions would be unidentified persons, and none of the cases in the study were of such a status.

The supporting documentation in 43 of the 410 hospital cases was selected at random and retrospectively reviewed to identify whether or not the name of the consultant in charge of the patient prior to death was present (hospital cases were all those cases categorised by the advisors as: 1) natural death in hospital, 2) associated to medical intervention and 3) mishap in hospital). In 23% (10/43) of this sample, the name of the consultant in charge was noted on the supporting documentation. Looking at the autopsy reports in the same sample of cases, it was noted that the consultant's name was present in 40% (17/43) of cases. Presumably, the pathologist had sought this information directly from the hospital in which the patient died, rather than gleaning it from the coroner's information. The significance is that if pathologists have questions for the clinician with overall responsibility for the deceased patient, they need to be able to contact the treating clinicians. The doctor informing the coroner of a death is usually not the consultant but a more junior doctor, and most of the coroner's summary forms do not have a box specifically for the name and contact details of the clinician in charge.

### Specific investigational requests

Thirty five cases were identified where the coroner had made a specific investigational request for the pathologist. Nine of these were requests for the pathologist to take blood for toxicology. Six requests were made for the pathologist to limit the autopsy to the thoracic cavity (in five of these cases that request was honoured). Other common requests included a direction for the pathologists to take or not take histology. In one particular case, the coroner had made a specific important investigational request to the pathologist, which was not performed (case study 1).

## Case study 1

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*An elderly resident died in a nursing home. In the history provided by the coroner (through his officer) was the following statement and request:*

*"It has been brought to my attention that the Public Health Department (PHD) are investigating this nursing home on the suspicion that residents could be dying from viral meningitis... The PHD has therefore requested that lung samples are obtained and also that blood is taken in order to grow cultures".*

*The autopsy report documented the following gross pathologies:*

*Leptomeninges - normal*

*Lungs - patchy consolidation and purulent exudation*

*The cause of death was stated as:*

*1a. Bronchopneumonia*

*There was no statement to indicate whether any tissue or fluid samples had been taken; and if not, why not. It is possible that, through discussion with the coroner in person, by telephone, or writing, the issue was resolved and by agreement nothing more needed to be done. But the advisors believed that a statement should have been included in the autopsy report. One might question why 'lung' and 'blood' samples were specified by the coroner, when meningeal and blood samples would be more appropriate. This could also have been addressed in the report.*

In another case, there was a request from the coroner to consider head injury (case study 2).

## Case study 2

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*An elderly resident of a nursing home had a complex history of immobility, type 2 diabetes, visual problems and recent falls, culminating in a fall from bed with a resulting grazed head. A few days later, the patient was admitted to hospital with drowsiness and general deterioration. Pneumonia was diagnosed and a CT scan showed a large acute-on-chronic subdural haematoma. The neurosurgeons did not consider the patient suitable for surgery and the patient died a week later.*

*There was no history provided in the autopsy report, but the coroner's history specifically raised the question of whether the subdural haematoma was contributory to the death.*

*The autopsy found that there was 54g of clotted blood and a chronic subdural membrane present over the right side of the brain, with an intact skull. There was also a well described old infarct in the brain, along with cerebral atrophy. The heart showed hypertrophy with old fibrosis, and coronary artery stenosis up to 70%. The lungs showed thromboembolism filling the pulmonary arteries; and residual thrombus was present in the veins of both lower limbs. The other organs were within normal limits for age. There was no clinicopathological correlation in the report and there was a comment in the report that "Death was due to natural causes". The cause of death given was:*

*1a. Pulmonary embolism*

*1b. Lower limb phlebothrombus*

## 2. Diabetes mellitus

*There was nothing in the report addressing the circumstances raised by the coroner.*

### Recommendation

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*Specific written requests for investigations, made by a coroner, should be followed, or an account rendered in the autopsy report as to why this was not addressed.*

### Overall quality of the supporting documentation

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Overall, the advisors considered the supporting documentation to be good, satisfactory or unsatisfactory in 16% (239/1,535), 71% (1,090/1,535) and 13% (206/1,535) of the cases respectively.

The advisors commonly marked the supporting documentation as 'unsatisfactory' because important case information that would have been available prior to the autopsy (as noted in the autopsy report) was absent in the supporting documentation. Other comments noted by the advisors where the supporting documentation was considered 'unsatisfactory' included (minima numbers are provided in parenthesis - these reflect the number of cases where the advisors made special notes as free text additions):

- Alcohol abuse not mentioned (4 cases);
- Drug usage, both prescribed and non-prescribed, i.e. illicit (28 cases);
- Schizophrenia, dementia, epilepsy not mentioned (3 cases);
- Significant medical history, including operations and diabetes, not mentioned (50 cases);
- The occupation of the deceased, including asbestos exposure or previous diagnosis of mesothelioma, not mentioned (13 cases);
- Not enough data on hanging or trauma related to death (15 cases);
- Information just too brief or muddled (59 cases);
- Information handwritten and illegible (4 cases).

There are no details contained within the Coroners Act or Rules that describe what information should be given to a pathologist when an autopsy is requested. The information chain is potentially complex, with data provided to the coroner or his/her officer from one or more sources (general practitioner, hospital doctor, police, ambulance staff, relatives etc), and then summarised into the documentation under discussion and conveyed to the pathologist.

A study assessing quality of information supplied to pathologists was conducted by Sampson et al in 1999<sup>3</sup>. They assessed the amount and quality of information supplied to pathologists before all autopsies performed over a one year period for the coronial jurisdiction of South Yorkshire (UK). Assessing the South Yorkshire Police's Sudden Death Reports for 656 autopsy cases, the authors found that 75.8% were deemed to be suboptimal or insufficient based on a set of standards defined by seven essential criteria (name; age/date of birth; date of death; where

body found; occupation; relevant medical history; and position of body). The authors concluded that the quality of information supplied to pathologists prior to an autopsy taking place may be "suboptimal", which could affect the thoroughness of the autopsy itself.

One of the standard texts on the coronial system<sup>4</sup>, notes that the pathologist is 'entirely reliant' on information provided by others, and goes on to provide a checklist of significant demographic, occupational, medical and scene of death data items that should go into the history provided. The advisors concur that it is most important that this information be as comprehensive as possible, within the resources available for the coroner and his/her officers. Otherwise the autopsy may be compromised in outcome and quality from the outset (case study 3).

### **Case study 3**

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*The history presented to the coroner in the case of the death of an elderly person was:*

*"In '93 suffered depression after [spouse] died. '96 macular degeneration, had TIA [transient ischaemic attack] in '97 further one in 2001, suffered hypertension in 2001, had a skin lesion removed in 2004. At place of death was found [a probiotic] of which a quantity was missing."*

*The autopsy found nothing significant externally, apart from blood oozing from the nose. Internally the heart was normal with coronary artery stenosis at a maximum of 40% in one artery and there was early bronchopneumonia in the right lung. The abdominal organs were normal and tablets/capsules were not seen in the stomach. The brain was normal and no significant abnormalities were seen in the musculo-skeletal system.*

*At the end of the autopsy report there is a further statement:*

*" I have received further information [a witness statement was available as part of the supporting documentation] that the deceased was found with a plastic bag over [the] head...in the absence of this information at the time of the post mortem I was unable to carry out some investigation which would have been done in the presence of this information. ... Toxicology was not taken. I was not able to examine the bag. In my view there is no alternative but to submit a cause of death as: 1a. Unascertained."*

*The advisors noted a serious problem of communication between the scene of death observers, the coroner's office and the pathologist, leading to lost opportunities in the proper investigation of suspected suicide.*

*The study could not examine the organisational issues around the training and supervision of coroners' officers. Although there is a Coroners Officer Association<sup>5</sup>, there is not a universal standardised training for officers. How the officers glean information relevant to a death evidently varies, as does how much they pass on to the pathologist. One possibility that might be considered is that coroners' officers pass on to the pathologist, without editing, all information from their enquiries.*

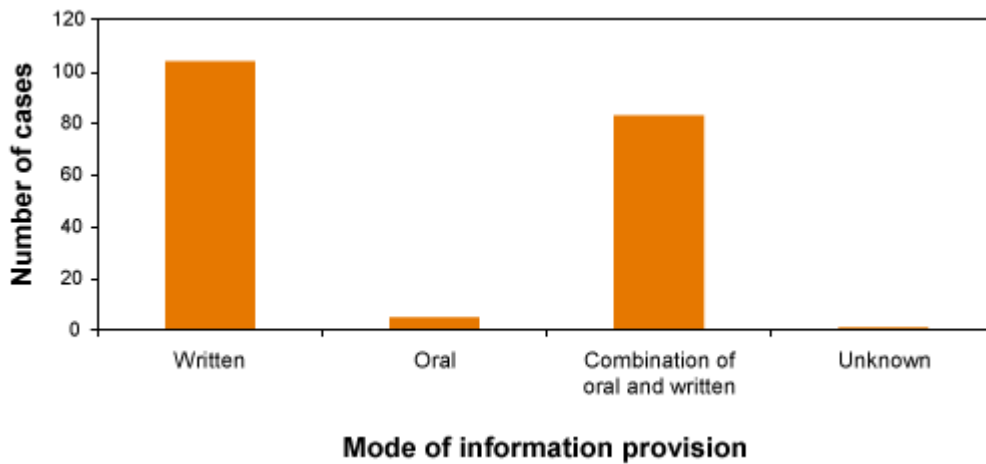


## How were coronial autopsies requested?

Most mortuaries are not located near coroners' offices; if they are, the coroner or his/her officer can actually discuss the case with the pathologist in person, observe the autopsy, and hear directly the pathologist's opinion as to the cause of death - if the latter can provide one at the time of the examination. However, for the majority of coronial autopsies, information about each case and the request to perform an autopsy needs to be transmitted to the pathologist reliably, from a distance. Faxes, combined with phone calls, are accepted now (posting a written request would take too long).

On the organisational questionnaire, NCEPOD asked how case information was usually provided. Mortuaries could answer as either written, oral, or as a combination of both written and oral. Results show that in the majority of mortuaries (97%, 187/193) information for the autopsy are either written, or were a combination of written and oral. In 2.5% (5/193) of mortuaries instruction for autopsy are given orally only (Figure 4).

**Figure 4: How autopsy case information is usually given to pathologists**



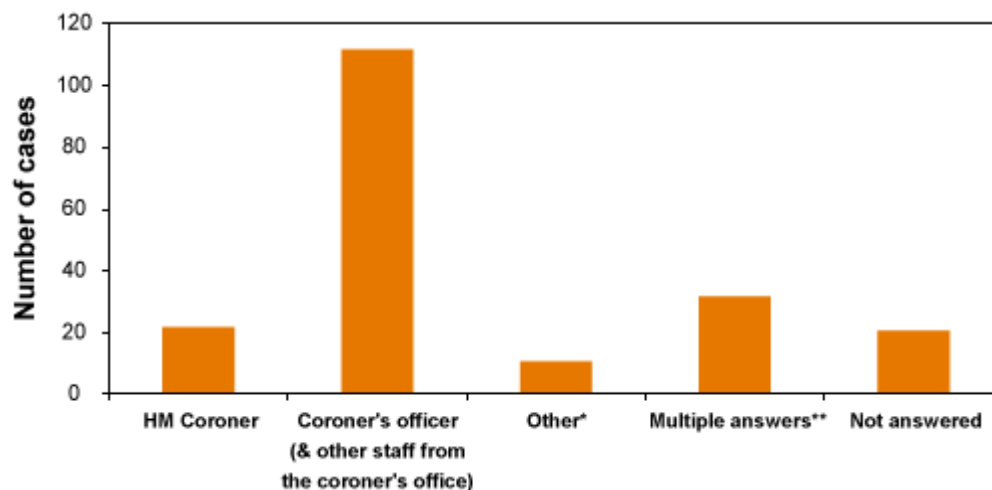
Reviewing the cases where an organisational questionnaire had been returned from the mortuary in which the autopsy was performed, it was noted with some surprise that in only 22% (30/1,360) of cases did a written request specifically to perform an autopsy form part of the supporting documentation. It may be, however, that the coroner's office reports were faxed to the mortuary and these were considered to constitute a written request for autopsy, although they were not categorised as such for this study.

These data were also correlated with mortuary type (local authority or hospital). Results showed that a higher proportion of pathologists were being given information orally in local authority mortuaries than in hospital mortuaries. Correspondingly, written only information was used more in hospital mortuaries than in local authority mortuaries (Table 5).

<b>Table 5: Mode of information provision by mortuary type</b>		
	<b>Hospital/combined mortuary</b>	<b>Local authority mortuary</b>
Written	96	7
Oral	3	2
Combination of written and oral	75	7
<b>TOTAL</b>	<b>174</b>	<b>16</b>
Not answered	1	

The data were examined with regard to who usually requests the pathologist to perform an autopsy. This question was answered with varying combinations, but most commonly 64% (111/173) of staff from the coroner's office (or specifically the coroner's officer) gave the request. In 12% (21/173) of mortuaries, it was specified that only the coroner him/herself usually requests a pathologist to perform an autopsy (Figure 5).

**Figure 5: Who requested an autopsy?**



\* 'Other' answers include bereavement officer, hospital consent form or clinician, police or police forms, and pathologist.

\*\* Multiple answers include HM Coroner and other; Coroner's officer and other; and HM Coroner and Coroner's officer.

As discussed in 'Overall quality of the supporting documentation', the advisors considered that the information that is provided to pathologists from coroners, and the method by which it is provided, is extremely important to assist the pathologist in carrying out his/her duties to the best of his/her ability. Many of the pathologist advisors could not believe that, in 2005, information and instruction about cases for autopsy were being conveyed solely by oral communication. The examination of a deceased person has such important implications for the stated cause of death for the individual, family and society that this communication process must be better standardised. Communication should be in writing and preferably typed as in some cases it was illegible.

In light of the observed quality of the supporting documentation in this study, and of the

information obtained from the organisational questionnaire, the following recommendation is made:

### **Recommendation**

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*The information provided by coroners' offices to pathologists should be in a standardised format that includes an agreed minimum clinical and scene of death dataset, including date of birth and occupation of deceased. Such information should be communicated in writing.*

## 3. Results of study

### **Autopsy report**

Schedule 2 of the Coroners Rules<sup>6</sup> lists the data that should be in the report made to a coroner by a pathologist who has performed an autopsy. The traditional autopsy report that pathologists use from training onwards has a somewhat different structure: demographic data, history of the patient's illness, external examination, internal examination, additional investigations (e.g. histopathology of tissue samples), cause of death, clinicopathological correlation. In this section, that order is followed for presentation of the results and discussion.

## 3. Results of study

### Demographic data

The first part of an autopsy report indicates the demographic data: who the patient was, how old they were, when and where they died, and many other important data (Table 6). The following sections relate to the information as presented in the autopsy report as a stand alone document, and not the supporting documentation (i.e. this is material that the pathologist him/herself presents in the report).

<b>Table 6:</b> Information contained within the autopsy report (answers may be multiple <i>n</i> =1691)		
	<b><i>n</i>=</b>	<b>% of cases</b>
Name of the deceased	1691	100
Pathologist's name	1689	100
Date of autopsy	1675	99
Sex	1667	99
Location of autopsy	1655	98
Date of death	1624	96
Autopsy report number	1535	91
Age	1445	85
Location of death	1287	76
Date of birth	1230	73
Address of deceased	1218	72
Pathologist's appointment	1187	70
Time of death	1143	68
Coroner instructing (or jurisdiction)	1078	64
Pathologist's qualifications*	1071	63
Other persons present at the autopsy	610	36
Time of autopsy	594	35
Statement of presence (or absence) of pacemaker	561	33
Deceased identified by **	516	31
Mode of identification ***	474	28
Hospital / NHS number	355	21

\* *What is a pathologist? Most of those performing the autopsies were consultants, most but not all of whom were members/fellows of the Royal College of Pathologists; there were also specialist registrars and senior house officers performing autopsies and signing reports (described in more detail later). A proportion of pathologists were forensic specialists, but the study did not distinguish those belonging to a forensic department from those who were independent, self-employed practitioners.*

\*\* *This refers to a named person who identified the body to the pathologist.*

\*\*\* *This refers to the method by which the pathologist identified the body, for example, by wrist band.*

From the autopsy report, a note was made of the pathologists' appointment (Table 7).

<b>Table 7: Pathologists' appointment</b>		
	<b><i>n</i>=</b>	<b>%</b>
Consultant histopathologist	1002	59.3
Forensic pathologist	122	7.2
Specialist registrar or senior house officer	54	3.2
Associate specialist histopathologist	10	<1
Consultant neuropathologist	8	<1
Consultant paediatric pathologist	5	<1
Not stated	490	29.0
<b>TOTAL</b>	<b>1691</b>	<b>100</b>

## 3. Results of study

### Case history

After the demographic data, an autopsy report usually contains a case history which describes the past and recent known medical history of the patient including medications, and the events that occurred around the time of death. Case history, if present, as documented in the report by the pathologist is not necessarily based on that from the coroner.

Of all cases assessed, 79% (1,340/1,691) contained a case or clinical history within the autopsy report. This figure is slightly lower than the figures quoted in previous NCEPOD reports (which have fluctuated between 84% and 89% in reports published by NCEPOD since 2000. However, the previous NCEPOD reports only included hospital deaths, which may account for a higher proportion of cases with a clinical history being included in the autopsy report as they may have more complex scenarios of death). In those reports without a history present, a common statement was "history as provided by the coroner".

The RCPATH guidelines<sup>2</sup> state that a history should always be included. However, the data requirements for an autopsy report as listed in Schedule 2 of the Coroners Rules do not include a history of the case. Nonetheless, a standard text on coronial autopsies states: "The report should contain a clinical history to make clear the context of the examination...However there is some variance as to the level of detail that may be thought appropriate"<sup>4</sup>.

Of the reports that did include a history, 72% (971/1,340) were essentially identical to that provided by the supporting documentation (where supporting documentation was available). Of these, it was considered that in 167 cases, additional history would have been useful to make clear the context of the autopsy. As the advisors discussed throughout the study, there is no agreement among pathologists or coroners as to the effort a pathologist should take to determine and record relevant history beyond that provided by the coroner. It is evident that in some of the reports the pathologists did make this effort, and the outcome in terms of quality grading was positive (Table 8). One standard text on the coronial system also makes the point about pathologists repeating, word for word, the entire history given them from the coroner. It continues "this is quite unnecessary and can lead to problems when any of these details are disputed by the family"<sup>4</sup>.

In only 10% (128/1,340) of reports, that included a history, did the history specify clinical questions to be addressed at the autopsy. This has not been an official recommendation from any organisation to date, but makes sense as it indicates the perceived issues raised by the death as seen through the eyes of the coroner and clinicians, and enables the pathologist to reflect on whether the autopsy has answered them satisfactorily. The autopsy may, of course, raise additional issues as well as resolving those considered at the time of death, and the whole case is then summarised and explained in the clinicopathological correlation. Two examples where a clinical question was included as part of the autopsy report's history are presented (case studies 4 and 5).



#### Case study 4

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A middle-aged female had a 25 year history of multiple sclerosis which had left her chair bound. She had been seen recently by her GP for vulval thrush and she also reported recent tiredness and breathlessness. She collapsed at home and although a doctor was called and cardiopulmonary resuscitation was attempted she died at home. The clinical question posed by the coroner was "Query cardiac event?"

The autopsy diagnosis was:

- 1a. Hypertensive and ischaemic heart disease
2. Multiple sclerosis

The autopsy findings were well described; the conclusions coherent; and the query answered.

#### Case study 5

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The deceased was found at 08:30 in the morning in the downstairs toilet, slumped on the floor, after returning from a night shift. The ambulance staff suggested that the deceased had had a cardiac arrest, fallen off the toilet and banged their head on the washing machine. The coroner asked "Query if head injury is cause of death".

The autopsy diagnosis was:

- 1a. Pulmonary embolism
- 1b. Deep vein thrombosis

The organs were all well described; deep vein thrombosis confirmed in the calf muscle and a head injury excluded.

Overall, the advisors rated the history to be good or satisfactory in 89% of the reports (Table 8).

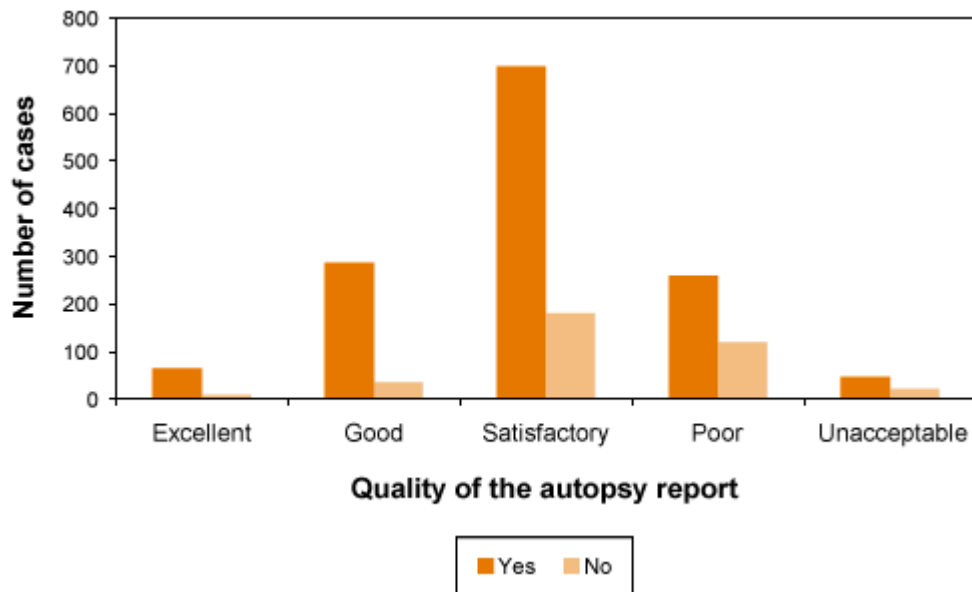
<b>Table 8: Quality of the history as presented in the autopsy report</b>		
	<b>n=</b>	<b>%</b>
Good	242	18
Satisfactory	957	71
Unsatisfactory	141	11
<b>TOTAL</b>	<b>1340</b>	<b>100</b>
Not answered	351	

Advisors commonly noted the following reasons where a history was marked as unsatisfactory. The autopsy report:

- omitted important past medical history (including medications);
- omitted information that was available in the supporting documentation;
- omitted important occupational history / exposure;
- was generally too brief, which gave insufficient details about the circumstances of the death.

When the overall quality of the history was stratified with location of autopsy (local authority or hospital mortuary), no difference was observed in terms of quality. When examining the advisors' opinion of the overall quality of the autopsy report in cases that did or did not contain a history, proportionately more reports were being marked as good or excellent if they contained a history (Figure 6).

**Figure 6: Quality of the autopsy report in cases that did or did not include a history**



The RCPATH recommend in their 2002 Guidelines on Autopsy Practice that an autopsy report should include a minimum dataset, which will normally include clinical history and how it was obtained. The equivalent autopsy practice guidelines in America (developed by the Autopsy Committee of the College of American Pathologists) also recommend that a clinical history is included in the autopsy report. Their guidelines state:

"Writing a clinical history summary enables the pathologist to address specific concerns and questions of the clinical staff regarding a patient's disease processes. Items to be considered include the following: age, gender, ethnic origin, occupation, established medical conditions and diagnoses, risk factors or characteristics pertinent to the disease processes identified, hospitalisations, surgeries, medications and pertinent laboratory data"<sup>7</sup>.

Like RCPATH and the College of American Pathologists, NCEPOD considers a history to be useful for readers of the autopsy report to help them to understand the context of the autopsy in the absence of any prior knowledge about the death.

Autopsy reports potentially have a wide readership. Their quality and utility in the eyes of the readers can only be enhanced by including the medical history. In a few coronial jurisdictions, however, even the pathologist is not authorised to retain a copy of the report. The Coroners Rule 10(2) states that "unless authorised by the coroner, the person making a postmortem

examination shall not supply a copy of his report to any person other than the coroner." Despite this, and in most jurisdictions, there is an increasing tendency for dissemination of reports to interested parties; as well as the pathologist, this includes relevant clinicians in hospital and general practice, families, and confidential enquiries. This is usually done with generic permission of coroners, the main exceptions being in cases where a potentially contentious inquest is forthcoming and the coroner wishes to have clinical reports unaffected (if that is possible) by detailed knowledge of the autopsy report. There has been no formal survey of families' attitudes to autopsy reports, but anecdotally the advisors felt that many families appreciate comprehensive reports that better assist them in understanding what happened and thereby to come to terms with the death.

However, there are acknowledged problems in the incorporation of a history in an autopsy report. It may be factually incorrect in minor or major aspects, as is inevitable in the process of collating information from many possible sources. Although this study found that the great majority of histories merely copied that which was presented by the coroner's office, some pathologists did provide more from their own examination of other records and from discussing the case with clinicians. It is easy to get facts wrong, as typographical errors or as misunderstandings, or because the actual source records are incorrect. Dates of operation, the precise procedure performed, laboratory data - all these may be stated incorrectly in the history. If the family observes such unintended errors and considers them significant, it can undermine confidence in the whole autopsy report and its conclusions. This leads to problems disentangling the facts at inquest, and even complaints against clinicians and health care units. A partial resolution to this issue is to indicate clearly the provenance of the history as presented; whether it came solely from the coroner's office, or with contributions from other named persons, or from the medical records etc.

Twenty one percent of the autopsy reports in this study had no history, which is congruent with the knowledge that many instructing coroners do not wish to have the history within the autopsy report. In situations where this pertains, a compromise is recommended: that the history is presented on a separate page to that of the main body of the report. This will allow the coroner to detach the history from the main body of the report if required.

### **Recommendation**

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*A clinical and case history should be included in an autopsy report and should state the provenance of the information.*

### 3. Results of study

#### External examination

Before the body for autopsy is opened it is usually inspected externally and various features recorded. The first and most important item is the identity of the patient, and in only 28% (474/1,691) of the reports was the mode of identification specifically noted. Usually this is done by wrist bands on the deceased giving name, date of birth and date of death; and if the death occurred in hospital, the hospital number. (In previous times, visual identification by the coroner's officer was a usual mode of identification; this is enshrined in Schedule 2 of the Coroners Rules). It is ultimately the pathologist's responsibility to ensure that he/she is examining the correct body.

For each of the 1,691 cases, the external examination as presented in the report was assessed for overall quality, and the presence or absence of the deceased's height, weight and nourishment was noted.

#### Height, weight and nourishment

Overall 68% (1,148/1,691) of cases recorded the deceased's height, 55% (933/1,691) recorded the deceased's weight and 66% (1,110/1,691) made a note of the deceased's nourishment status. A statement on 'nourishment' (but not height and weight) is one of the requirements listed in Schedule 2 for an autopsy report. Table 9 shows the differing combinations of height, weight and nourishment.

<b>Table 9: Height, weight and nourishment details</b>		
	<b><i>n</i>=</b>	<b>%</b>
All	561	33
Height & weight	316	19
Height & nourishment	201	12
Weight & nourishment	32	2
Height only	70	4
Weight only	24	1
Nourishment only	316	19
None	171	10
<b>TOTAL</b>	<b>1691</b>	<b>100</b>

Where available, these data were correlated with the organisational questionnaire, it was found that in the 93% (419/452) of cases where the autopsy report did not include the height of the deceased, the pathologist could have actually done so as the mortuary reported that all bodies are routinely measured. Similarly, in 50% (292/589) of the cases where the autopsy report did not include the weight of the deceased this was possible as the mortuary reported that all bodies are routinely weighed. From the organisational questionnaire 97% (188/193) of the mortuaries were reported to measure all bodies for height, and 73% (140/193) of the mortuaries were reported to have scales to weigh bodies).

Arguably, measuring body dimensions is more important than weighing most or all of the organs within. Height and weight can provide the body mass index (BMI: weight in kilograms divided by the square of the height in metres). This is a significant indicator of health status at either extreme. Obesity is a risk factor for many diseases (liver, lung, thromboembolic, musculo-skeletal etc) and appreciation of the BMI can focus attention on the real pattern of disease progression in an individual. The size of the heart is correlated with body size, and the BMI enables a better appreciation of the significance of what might appear to be a rather large or small heart<sup>8</sup>. BMI is also a measure of malnutrition. Comparison of BMI over time (e.g. from admission to death in a hospital case) fills in more detail over the progress of a debilitating disease. In an age of increasing concern over the care of the elderly, establishing the BMI in the old and potentially frail can provide evidence of how well they have been caring for themselves or have been cared for. BMI is more objective than a subjective comment about the deceased's nourishment (as required under Schedule 2 of the Coroners Rules 1984), and it was considered that this may be a more useful method of recording an individual's health status.

In the 2000 NCEPOD report<sup>9</sup>, a key point was that height and weight should always be recorded for consideration in assessing the relative size of internal organs. Then, the proportion of reports that stated weight was 45%; in the present study this had risen to 54% (910/1,691), although again one must remind the reader that the samples are not exactly the same in that the previous NCEPOD report related only to perioperative deaths.

### **Recommendation**

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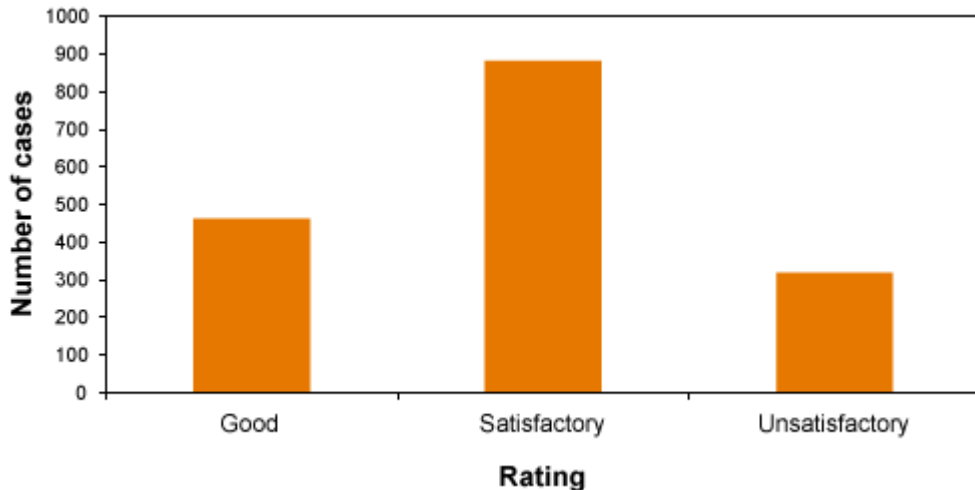
*The height and weight should both be measured, the BMI calculated, and the data given in the report.*

### **External appearance and identification features**

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In 98% of cases (1,658/1,691) a description of external appearances and some identification features was recorded. Of such cases, the advisors made the following quality assessments (Figure 7).

**Figure 7: Quality of the description of the external appearances and identification features**



The advisors commonly noted the following reasons for grading the external description as unsatisfactory:

- No mention of injury or trauma (or lack thereof);
- No mention of needle marks etc. in known intravenous drug users;
- Poor description of identification features;
- Inadequate or no description of surgery in cases that had recently undergone an operative procedure;
- Inadequate description of decomposed cases;
- Overall, poor, brief and no listing of important negatives in the context of the case.

The first point above accounted for approximately 70% of the cases marked as unsatisfactory. The advisors considered that it was very important, especially for deaths in the community, that all marks of injury and trauma should be accurately described, or in cases where no marks of injury or trauma are identified, that there is a statement to that effect. In the study period, nearly 80% of all the deaths occurred in the community, including many where the person was found dead without any witness observation. Following the remit of the coroner according to the Act, a major purpose of the autopsy is to investigate possible unnatural death, including traumatic. Since traumatic deaths usually produce external evidence to the fact, pathologists examining these deaths in particular should document the presence or absence of injuries, to assure the coroner and the family and the public that, at this level at least, there is, or is not, evidence of potential injury or foul play. A similar argument will be adduced when the issue is whether or not to open the skull and examine the brain in all deaths that come to autopsy (which is discussed in the section entitled 'Internal examination').

Section 8.7.5 of the RCPATH guidelines state that, in best practice, the external description should include:

"Measurements of significant surface features, scars, operations sites, bruises etc. with a clear description of the site...The presence or absence of injuries to the eyes, genitalia and anus should be recorded".

In light of the results of this study, and the best practice guidelines set out by RCPATH, the following recommendation is made.

**Recommendation**

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*In all deaths, the report must clearly document external injuries or the absence of such injuries.*

### 3. Results of study

#### Evisceration of bodies

To perform an autopsy, the body has to be opened and the organs removed for detailed examination and dissection. This is the process of evisceration, a process that is carried out either by the pathologist or by the technical staff in the mortuary. After examination, the organs are replaced in the body (unless any significant parts are being retained for later examination) and the body reconstructed.

The organisational questionnaire examined two important aspects of this process:

- Who performed the evisceration?
- Whether or not it was mandatory for the pathologist to inspect the body before evisceration.

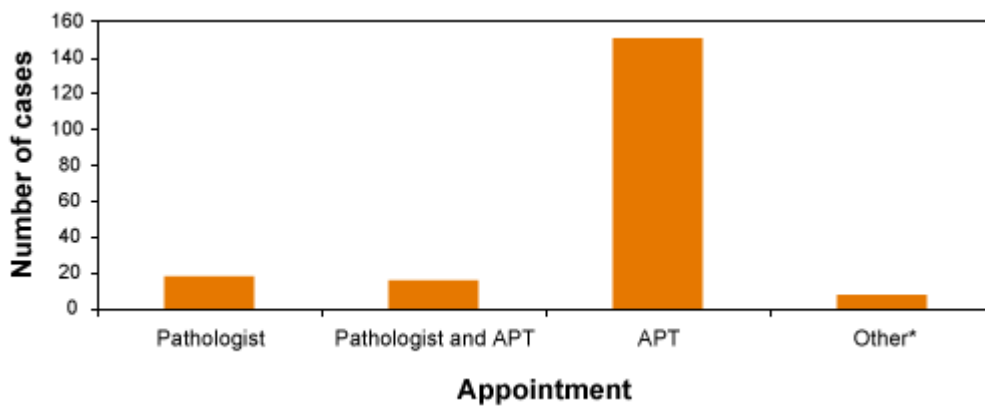
Correlating these results with the assessment forms, NCEPOD considered whether the above points impact on the quality of the autopsy report itself.

#### Who performed the evisceration?

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In 77% (149/193) of mortuaries the anatomical pathology technologist (APT) performed the evisceration, and in only 18% (34/193) of mortuaries was the pathologist normally involved with the evisceration of the bodies (Figure 8).

**Figure 8: Who performed the evisceration?**



*\* Responses on the organisational questionnaire marked as 'other' included: trainee pathologist or Resident Medical Officer. Some mortuaries made a note indicating that the person who carries out the evisceration "varies according to pathologist and case".*

The factors determining who performed the evisceration are multiple, and although not specifically explored in the questionnaire, may include:

- Pressure of time, if the pathologist has several cases to examine;
- Whether the case is performed by a pathologist in training;



- Whether the case is used for APT training;
- Risk of infection.

These data were also correlated with the mortuary type (Table 10).

<b>Table 10: Mortuary type by who performed the evisceration</b>		
	<b>Hospital/combined mortuary</b>	<b>Local authority mortuary</b>
Pathologist	16	2
Pathologist and APT	15	1
APT	136	13
Other	8	0
<b>Total</b>	<b>175</b>	<b>16</b>

### **Did pathologists see the bodies before evisceration?**

To pursue this governance aspect over autopsy performance, NCEPOD asked whether it was 'mandatory for a pathologist to see the body prior to evisceration'. In one third, 33% (63/193) of all mortuaries, it was standard practice that the pathologist was not obliged to inspect the body externally before the evisceration and organ removal commences.

Although the numbers were small, in local authority mortuaries bodies were more likely to be checked by pathologists first, compared with hospital mortuaries (Table 11).

<b>Table 11: Type of mortuary by whether or not it is mandatory for the pathologist to see the body prior to evisceration</b>		
	<b>Hospital/combined mortuary</b>	<b>Local authority mortuary</b>
Yes	114	14
No	60	2
Unknown	1	0
<b>Total</b>	<b>175</b>	<b>16</b>

These data have important implications. Only the pathologist can make the decision not to examine the body before evisceration. However, it is the responsibility of the pathologist to identify the body prior to autopsy and check that the correct body is being examined. Although the coroner and his/her officers are responsible for ensuring that the chain of evidence of identification is secure, with appropriate delegation to medical systems of body labelling (if in hospital) and to the APTs for further confirmations, ultimately it is the pathologist who is accountable if the labelling is misidentified and the wrong body is opened.

In section 11 of the RCPATH Guidelines (2002), it states that:

"Under no circumstances should an APT commence opening the body before the pathologist has checked the identity, and examined the external surface of the body nor until the pathologist is satisfied that there are no suspicious circumstances, that the death has not occurred in relation to recent surgery and that there are no allegations of suboptimal care"<sup>3</sup>.

APTs are increasingly skilled health care workers and are properly and appropriately employed for this work. The RCPATH Guidelines are clear that, following inspection of the body prior to evisceration, the responsible pathologist must either be in the mortuary whilst the evisceration is being done by an APT, or be available to attend promptly should unexpected and significant gross findings become apparent during the process.

There are further guidelines that, ideally, the pathologist, or in conjunction with the APT, should make the main skin incision and remove the organs so that all abnormalities are inspected and palpated. This particularly applies to postoperative deaths, suicides, accidents and perinatal deaths. Furthermore the APT should not remove the brain from the skull before consultation with the pathologist. One standard text on the coronial system also emphasises that evisceration of the body organs 'should only be done under the direct supervision of the pathologist'<sup>4</sup>.

In the mortuaries with a smaller workload (<=52 cases per annum), it was more often mandatory for the pathologist to inspect the body prior to evisceration (75%), than mortuaries with a larger workload (Table 12). On the organisational questionnaire, it was indicated how many coronial autopsies were performed in the mortuary between 1st April 2004 - 31st March 2005, and the data were subsequently categorised into four groups: 52 or less a year (less than one case per week); 53 - 520 a year (10 a week); 521 - 999 a year; and more than 1000 a year. The raw data are presented as an Appendix.

<b>Table 12: Mortuary workload by whether it was mandatory for the pathologist to see the body prior to evisceration</b>				
	<b>Cases per annum</b>			
	<b>&lt;=52</b>	<b>53 - 520</b>	<b>521 - 999</b>	<b>&gt;=1000</b>
Yes	6	66	51	6
No	2	31	25	5
Unknown	0	1	0	0
<b>Total</b>	<b>8</b>	<b>98</b>	<b>76</b>	<b>11</b>

#### **Did these details about the evisceration process affect the quality of the autopsy report?**

The study produced evidence that the advisors' judgement as to the quality of the autopsy report was influenced by whether or not the pathologist examined the body first. Correlation between the assessment form and the organisational questionnaire showed that the external descriptions (as described in the autopsy report) were of better quality where pathologists inspected the body

first (Table 13). And similarly, the overall quality of the autopsy report was slightly better in cases where the pathologist inspected the body first (Table 14).

<b>Table 13:</b> Quality of the external description by whether it was mandatory for a pathologist to see the body before evisceration ( <i>n</i> =1338)			
	<b>Quality of external description</b>		
	<b>Good</b>	<b>Satisfactory</b>	<b>Unsatisfactory</b>
Yes	261	401	138
No	120	302	115
Unknown	0	0	1
<b>Total</b>	<b>381</b>	<b>703</b>	<b>254</b>

<b>Table 14:</b> Overall quality of the autopsy report in cases where it was and it was not mandatory for the pathologist to see the body prior to evisceration ( <i>n</i> =1338)					
	<b>Quality of autopsy report</b>				
	<b>Excellent</b>	<b>Good</b>	<b>Satisfactory</b>	<b>Poor</b>	<b>Unacceptable</b>
Yes	43	188	396	149	24
No	18	81	284	138	16
Unknown	0	0	1	0	0
<b>Total</b>	<b>61</b>	<b>269</b>	<b>681</b>	<b>287</b>	<b>40</b>

From personal experience some advisors were aware of instances where evisceration of the internal organs had taken place even the day before the autopsy was performed, let alone earlier on the day of examination. Apart from the potential of an examination on the wrong body, the result is the potential obscuring of critical external marks on the body as well as of the internal arrangements of the organs and their contents (e.g. pulmonary emboli dislodged, operative procedures rendered uninterpretable). The modes of opening the body and the internal examination will often be influenced by external markings (e.g. surgical procedures) and it is important that the responsible pathologist makes the appropriate decisions to maximise the utility of the procedure.

Finally, external markings might suggest that a forensic rather than a non-forensically qualified pathologist is required for a particular case because of the possibility of third party involvement in the death. Whilst the APTs are increasingly skilled and qualified, and in the process of applying to become a formal profession with Health Professions Council regulation<sup>10</sup>, these considerations should not be left to their discretion in the absence of the pathologist.

The reasons for this significant non-compliance with recommended best autopsy practice were not explored specifically in the study, but may be conjectured to relate mainly to saving time per case for both pathologist and APT:

- To save time if the pathologist has to travel to a mortuary away from his/her normal base; some mortuaries are known to be tens of miles distant from the nearest pathology department.
- To enable many cases to be examined during one autopsy session.
- If the pathologist does not consider it important personally to examine bodies prior to autopsy.

It may be that some pathologists consult in advance with APTs, in person or by telephone, over whether certain cases may be commenced before he/she arrives. It may be that highly qualified APTs can indeed reliably assess the external features in the context of the information about the case and act accordingly so as to maximise the quality of the autopsy product. But this is not formally established in practice protocols - for pathologists, APTs or coroners - and the public may be disconcerted to realise the extent of this delegatory practice and its implications.

### **Recommendation**

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*Before evisceration of a body, the pathologist must inspect the body first. This is to confirm identity, to observe any external features that might modify the process of examination and to consider the possible need for a forensic examination.*

## 3. Results of study

### Internal examination

The internal examination is the description of each organ system inside the body (the skin, the largest organ of the body, is generally described as part of the external examination). When traumatic injuries are not relevant to the cause of death, it is the results of these internal organ inspections that indicate the pathological processes that have happened.

The assessment results and discussion of the internal examinations are presented here in five distinct categories:

- Internal examination of major organ systems;
- When to open the skull and examine the brain;
- Organ weights;
- Overall gross description of the internal organs;
- Decomposed bodies.

#### Internal examination of major organ systems

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Most reports included a description of the findings from the internal examination of each organ system, and generally, the assessments of the internal examinations were deemed good or satisfactory. Figure 9 shows the assessments that were given for each case by the advisors according to each organ system. As expected, the cardiovascular system was generally well examined.

The amount of detail included for organ examinations depends, as well as on the case and on the pathologist, on the nature of the organs themselves. Some are more important than others. The RCPATH guidelines specify what should go into reports as best practice<sup>3</sup>. Most persons do not die from endocrine disease or from diseases of the lymph nodes, spleen and bone marrow (lymphoreticular system), and it is reasonable, or at least understandable, that these systems are summarised as 'normal' in most cases. Conversely, cardiac, pulmonary and skeletal diseases are more important, particularly in deaths in the community which comprise the main part of coronial autopsy practice. From Table 15, it is evident that 40% of cases have no useful examination and description of the musculo-skeletal system. This may form the presumption that the musculo-skeletal system is normal until stated otherwise and that injuries and fracture would be noted if present. It is also notable that Schedule 2 of the Coroners Rules does not list the musculo-skeletal system to be described under internal examination requirements, but places it as part of the external examination. It would be sensible simply to state that an organ system is not examined, otherwise the default appears to be that the organ is normal.

**Figure 9: Assessment of the internal examination by organ system**

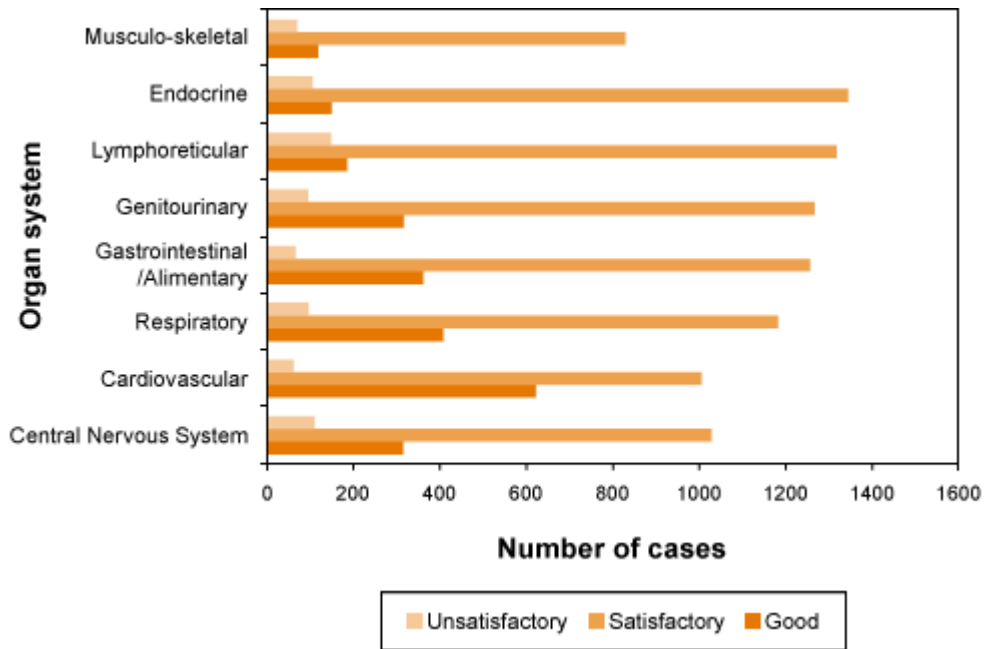


Table 15 lists the number and percentage of organs systems that were not examined.

**Table 15: Organ systems not internally examined (answers may be multiple  $n=1691$ )**

	<i>n</i> =	%
Central nervous system	238	14
Cardiovascular	3	<1
Respiratory	5	<1
Gastrointestinal / Alimentary	8	<1
Genitourinary	14	<1
Lymphoreticular	41	2
Endocrine	92	5
Musculo-skeletal	674	40

When examining the musculo-skeletal system, there is usually a trade-off between the amount of information gained and the subsequent disfigurement that will require restitution during reconstruction of the body. A similar situation pertains when examining potential external bruises. In most cases, from knowledge of the circumstances of the death, a detailed examination of the musculo-skeletal system would be quite unnecessary. A different situation arises, however, regarding non-examination of the brain.

**When to open the skull and examine the brain?**

In 14% (238/1,691) of cases the brain was not examined. On further scrutiny, it was identified that in only five of these cases was there a request from the coroner not to open the head

(implicit in a request noted in the supporting documentation for the autopsy to be limited to the thoracic and abdominal cavities). In these cases, the advisors considered that the pathologist should make a note in the autopsy report indicating that such a request had been made by the coroner.

Not examining the brain was cited by the advisors as either the reason, or one of the reasons, that the report was assessed overall as being poor or unacceptable in at least 5% (83/1,691) of cases (where the advisors had made a specific note concerning this as free text additions).

In the 1995 NCEPOD report<sup>11</sup>, the proportion of cases where the brain was not examined was 7%. This study demonstrated a doubling of this figure, although with a very clearly different sample (previous study was perioperative cases only). When this result was stratified with whether or not the death occurred in the community or in a hospital, it was found that approximately 80% of cases, where the brain was not examined, were deaths in the community.

In the RCPATH guidelines "it is stressed that...the brain should be examined in all postmortems authorised by a coroner or procurator fiscal"<sup>3</sup>. There are exceptional circumstances where non-examination of the brain might be acceptable if: a) the pathologist, with full clinical information available is confident that no significant pathology will be found, and b) it is perceived that brain removal will cause significant distress to the family, to little diagnostic advantage. An example of this would be a patient treated for lymphoma, having tumour lesions over the now bald scalp, and who was conscious and mentally normal until shortly before dying; where reconstructing the scalp would be technically and cosmetically difficult, and the likelihood of identifying useful pathology minimal.

Nowhere is it stated, but from discussion and personal experience pathologists know that some coroners encourage the non-examination of the head where a cause of death elsewhere in the body is evident. The reasons quoted usually relate to known or anticipated expectations of the family. Some coroners routinely ask the family, when discussing the autopsy that is about to take place, to what extent they might wish to limit the extent of examination, or are they content with a full autopsy examination. This information comes from the coroner advisors and members of the expert group.

Non-examination is particularly concerning in relation to deaths in the community, where in many cases there is no description of the events leading up to death, and where head injury (from self-accident or possibly third party involvement) must be a constant consideration (case study 6). In patients who die under hospital care from hypoxic brain damage secondary to drug overdose, as a consequence of medical/surgical intervention or natural disease processes, the brain is not always examined at autopsy. This was seen in the present study and was also noted in a previous NCEPOD study<sup>12</sup>. The criteria for diagnosing brain death in the hospital situation are clinical and radiological and, interestingly, have never included pathological confirmation. There are, to NCEPOD's knowledge, no published reports of clinical hypoxic brain death that are refuted by subsequent autopsy histopathology.

## Case study 6

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*A young adult was found collapsed in the street having suffered a suspected cardiorespiratory arrest. On admission the pupils were fixed and dilated, a standard indication of a non-functioning brain and was thought to be suffering with hypoxic brain damage. Death occurred after three days on the ICU.*

*Toxicological analysis showed toxic levels in the blood of co-proxamol, aspirin and ethanol - which were the cause of death. The brain was not examined at all, grossly or histopathologically. Although it is totally reasonable that the drugs caused cessation of respiration and this led to irreversible hypoxic damage to the brain, the advisors felt this should have been examined to exclude any unexpected pathology related to a death in the community.*

There could be a 'sliding scale' approach with the degree of certainty over a prime extracranial cause of death in determining whether or not to open the head. For example, ruptured abdominal aortic aneurysm, dissection of the aorta, or definite acute ischaemic heart disease (diagnosed by the Davies criteria<sup>3</sup>) could be diagnosed without examining the brain (in the context of an appropriate clinical history, witnessed absence of violence or trauma, and absence of observed significant/acute intracranial disease). In these cases, the examination of the brain and its coverings is unlikely to reveal pathology germane to the death. It is notable, conversely, that examination of the brain is listed as part of the autopsy examination under Schedule 2 of the Coroners Rules 1984.

Case study 7 raises the question of even more limited examinations, where not only was the head not opened, but other potentially significant organs were not examined either.

## Case study 7

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*The deceased had a past medical history of a myocardial infarction in 1983, cirrhosis of the liver in 2002 and portal hypertension. There was a request from the coroner's officer "Limited PM if possible".*

*The autopsy report was half a side of A4 and synoptic. It noted no significant marks of violence. The heart showed "ischaemic myocardium otherwise unremarkable. Valves unremarkable. Coronary arteries showed triple vessel atherosclerosis". There were no pulmonary emboli, the larynx was clear and the trachea and bronchi congested. The lungs showed pulmonary oedema. No other organs were examined and there was no clinicopathological correlation. The cause of death was given as:*

### *1a. Coronary artery disease*

*The advisor noted "This case raises the general issue of requests for a limited PM. Should such requests be made? Should a pathologist (who is asked to give the cause of death) agree to be limited in what he/she does?"*

*The case also raised the question as to what the phrase "ischaemic myocardium" actually means; does it mean acute ischaemic necrosis (acute infarction) or scarring from old infarction or perhaps non-specifically pale? The non-specific term was used several times*



*across the sample of autopsy reports, without further qualification.*

From discussion amongst the advisors, this is the area where there was significant lack of consensus. Reflecting on what was found in the study, some of the pathologist advisors said that they did not always open the head if the case did not fulfil requirements to do so (examples as discussed above). Others stated that without exception they always opened the head. The coroner advisors were similarly disparate and one indicated that their default position was to ask the pathologist not to open the head unless the pathologist considered it necessary.

This is a difficult area indeed. As with many other aspects of coronial autopsy practice, there needs to be wider discussion amongst all the interested parties and an agreed standard of practice (see 'Overview and discussion' section). However the basic recommendation is that the head should be opened in all cases of death in the community.

### **Recommendation**

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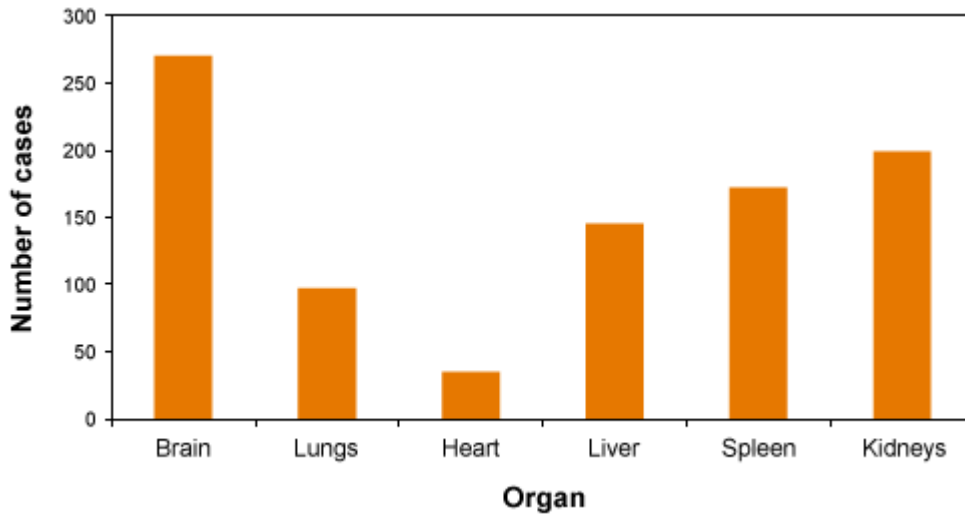
*Normally a complete autopsy should be performed, with all organs including the brain examined. Limited autopsies - upon request - should be carefully considered on a case by case basis and when complete examination is essential to determine the cause of death the pathologist must insist upon that. If an organ system is not examined, consideration and account should be made of the potential information lost, in the context of the deceased's clinical pathology.*

### **Organ weights**

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Traditionally, pathologists weigh the organs they (or their APT colleagues) remove from the body, and there is a large literature on the normal weights and weight ranges of all organs, for age and size of deceased persons. In 74% (1,252/1,691) of cases, all major organs were weighed (brain, lungs, heart, liver, spleen and kidneys). In those 439 cases that did not weigh at least one organ (Figure 10), only 32 pathologists made a note in the autopsy report that indicated why the organ was not weighed. This was commonly due to autolysis of the unweighed organ or because it was previously removed (e.g. kidney). In two cases, the pathologist noted that the brain was not weighed due to limits imposed by the coroner. All mortuaries that completed the organisational questionnaire reported having organ scales.

**Figure 10: Number of cases where particular organs were not weighed**



Is it worth weighing organs and recording the data? No one disputes the value of the heart weight and the depiction of left and right ventricular hypertrophy, particularly when correlated with the Body Mass Index (BMI), in assessing a range of cardiac diseases. But to be meaningful, all the other organ weights should similarly be correlated with BMI. Anecdotally, clinicians also are unimpressed by organ weights (apart from the heart) in reports; their clinically relevant measures of size derive from palpation or imaging, neither of which are expressed as weights, and so find unqualified weights unhelpful.

It is argued by some that recording the organ weights provides some form of surrogate quality measure; to weigh an organ one must at least have looked at it. The counter argument, supported by this study, is that organ descriptions can be good or bad independent of any stated weight; and left ventricular hypertrophy, even when supported by a weight, is not necessarily evaluated appropriately.

Finally, the pathology advisors had no personal knowledge that mortuary scales are actually checked for accuracy (an aspect that was not investigated in the study), so possibly vitiating any utility of weighing the organs (although NCEPOD is aware that mortuary scales should be regularly checked in accredited facilities). The NCEPOD view is that this is a debate that pathologists should have amongst themselves, and produce appropriate agreed guidelines.

#### **Overall gross description of the internal organs**

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Having assessed each organ system description separately, the advisors made an overall assessment in the context of how well the gross descriptions depicted the pathological processes and contributed to the conclusion as to the cause of death.

The advisors considered that the overall gross description of the internal organs was good, satisfactory and unsatisfactory in 22% (375/1,691), 68% (1,146/1,691) and 10% (170/1,691) of cases respectively. Free text comments made by the advisors where the gross description was considered unsatisfactory included:

- Brain not examined at all (31 cases);
- Brain examined but not described satisfactorily in the context of the case (12 cases);
- Heart not described satisfactorily (15 cases);
- Musculo-skeletal system not satisfactorily examined in the context of the case, usually injury (14 cases);
- Pulmonary embolism not investigated or excluded when it appeared relevant to the case (15 cases).

Conversely, there were many very good organ descriptions across the study, indicating how many pathologists do have the intention of performing to a consistent high standard in this area e.g. case study 23 in the section entitled 'Children and the elderly'.

### Decomposed bodies

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From the moment of death, the tissues of a person start degenerating through the process of autolysis. This is accelerated by heat, and retarded by cold, which is why bodies should be refrigerated as soon after death as is feasible. When the process is advanced, and the person ceases to resemble what he or she was, and the skin and internal organ disintegration blurs the features between normality and pathological changes, the state is referred to as decomposition.

One percent (16/1,691) of cases were reported as significantly decomposed. The advisors considered that the majority of these cases were not examined and evaluated properly.

The most common histories in these cases were:

- Known alcohol abuse;
- Known illicit drug abuse;
- Found hanging by the neck.

The following two case studies illustrate the problem.

#### Case study 8

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*The deceased lived at home alone and had a recent history of depression. The deceased was found hanged from electric flex at the top of the stairs. No other history was known at the time of autopsy.*

*The entire autopsy report was:*

*"The body showed advanced decomposition with skin discolouration, slippage and numerous mature maggots. The facial characteristics were severely distorted. Two tattoos were present [described]. A [cable] was present around the neck.....indicating the point of suspension. There were no other signs of injury or disease."*

*The cause of death was given as:*

*1a. Asphyxia*

*1b. Hanging*

*The advisors noted there was no examination of the internal organs, nor were tissues or*

fluids taken for analysis. The deceased was on medication but no drug screen was performed. In discussion the advisors wondered whether foul play had been excluded in addition to the fact that co-morbidities should have been investigated at autopsy.

### **Case study 9**

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*A middle-aged alcoholic who also had a history of manic depression was found dead at home.*

*At autopsy, advanced decomposition was noted, with bruises and abrasions on the arms and legs. The heart was described as normal and the brain and the skull were not examined. The liver was fatty but not cirrhotic. The comment in the report was: "There was extensive autolysis of the internal organs. Therefore no samples were retained for toxicology or histologic analysis. The bruises were most probably sustained accidentally and injuries of this type are commonly found in cases of alcohol abuse". The cause of death was given as:*

#### *1a. Alcoholic liver disease*

*The advisor noted "No supporting evidence for cause of death". Histology and toxicology samples should have been taken and the head examined for head injury.*

Careful examination can result in identification of important positive pathological findings as well as exclusion of other equally significant possibilities<sup>13</sup>. Examination of external injuries is difficult but important in such cases. It is possible to evaluate a useful range of possible drug toxicities in decomposed tissues, and many coroners and pathologists consider these studies to be obligatory in all cases of suspected drug toxicity and in persons found hanged.

There is a debate among pathologists as to whether the examination of all decomposed bodies should be assigned to qualified forensic pathologists or whether general pathologists can satisfactorily examine them unless there is prima facie evidence of foul play by a third party. NCEPOD cannot comment upon this debate but recommend, based on this study, that decomposed bodies be better examined.

### **Recommendation**

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*Decomposed bodies should be thoroughly examined (i.e. external and internal examinations) to identify significant injuries, primary pathologies and comorbidities, and toxicology should be performed as appropriate.*

## 3. Results of study

### Tissue retention

Pathologists retain tissue from an autopsy in order to examine it in more detail. This can be through histopathology - the study of the cellular features using a microscope, through careful gross examination of an organ once it is fixed or preserved with chemical treatment; or both processes in sequence. It is well recognised by pathologists that the naked eye examination alone cannot diagnose all pathological conditions, and that for many diseases the diagnosis can only be made with such tissue examination. 'Tissue' is defined, by the Human Tissue Authority as 'any constituent part of the human body formed by cells'<sup>17</sup>. Thus it includes whole or part whole organs, organ samples of any size, and blood.

Previous NCEPOD reports have almost all commented on the tissue sampling rates for the autopsies on perioperative deaths. These have ranged from 13-55% depending on the focus of the study. There have been several consistent features in the reports:

- All have noted that according to current best practice guidelines, histopathological sampling of all major organs is recommended for all autopsies, irrespective of authorisation (i.e. whether consented or coronial autopsy);
- All recommended more extensive tissue analysis for certain cases to improve the quality of the autopsy evaluation, whilst noting that in many cases it is not necessary to establish a cause of death;
- All noted, where comparable, that autopsies performed with consent of relatives have higher tissue sampling rates compared with coronial autopsies, usually by a factor of 1.5 to 2;
- All noted problems and conflicts between pathologists and coroners in tissue taking; the perceived impression being that coroners desire lower rates of tissue retention than pathologists.

The RCPATH guidelines are clear that (in section 9.2) sampling of all the major organs is recommended for all autopsies (consented and coronial) as best practice<sup>3</sup>. They also state the benefits that result for national statistics on death and for the family (accuracy, and ability to review a case later), and provide some guidance on communications between coroners and pathologists over this issue. The Guidelines were published in 2002, at a time of change in autopsy practice, and before the 2005 amendments to the Coroners Rules concerning tissue retention.

Tissue retention at autopsy has probably been the most contentious of all issues around the autopsy, particularly relating to coronial autopsies, in the last decade. It includes not only retention of whole organs or significant parts thereof, but small tissue samples for histopathology, blood and other samples for various analytical purposes.

Following the Bristol Royal Infirmary Inquiry<sup>14</sup> into cardiac surgery, there emerged the recognition that children's hearts were being retained by pathologists after coronial autopsy

without knowledge of the fact by relatives. This was compounded by information on the scale of retention of perinatal autopsy tissue at the Alder Hey Hospital<sup>15</sup> pathology unit, following autopsies authorised through consent and also the coroner, without apparent knowledge of the parents. A nationwide survey of retained organs followed, and the realisation that the 1961 Human Tissue Act was inadequate to encompass either modern pathology practice or the interest of informed consent for significant tissue retention. Consultation ensued<sup>16</sup> which resulted in the Human Tissue Act 2004<sup>17</sup> and the establishment of the new Human Tissue Authority with effect from April 2006<sup>18</sup>.

The Human Tissue Act covers the process of consent for retention of tissues at autopsy, and their subsequent usage for scheduled purposes, as they apply to consented autopsies, i.e. those where the relatives have given consent. It does not apply to coronial autopsies in terms of whether or not tissues are retained for diagnostic purposes - that is a decision strictly to be made by pathologists. But it does apply to the disposal of tissues taken during a coronial autopsy once the inquiry has ended and the coroner has become *functus officio*. At this point he/she cedes jurisdiction over the body and its tissues.

Historically, the residual tissue blocks and slides from the retained tissues remained in the possession of the pathologist. In most cases this meant archiving in a pathology department files for as long as the policy of that unit indicated; in the case of independent pathologists, the blocks and slides may have been stored in private premises. This has changed with the Human Tissue Act 2004. It was recognised that when the coroner is *functus*, the tissue blocks and slides belong to the relatives, not the pathologist, and appropriate consultation with relatives needs to be made, through the coroner, to ascertain the wishes of relatives regarding the disposal of the tissues taken. These options are:

- Pathologist disposes of the tissues blocks and slides (i.e. cremation or incineration); or,
- Pathologist archives the material, and consent is given for various later scheduled purposes including teaching, research etc.; or,
- The tissue blocks and slides are returned to the relatives.

The response of the Government to this tissue retention issue resulted in the amendments to the Coroners Rules 9 and 12 (2005).

It is thus important to review the regulations under which coroners and pathologists operate in this area. Until June 2005, the basis for tissue retention in a non-suspicious coronial autopsy was defined in the Coroners Rules (1984) - Rule 9:

"A person making a post-mortem examination shall make provision, so far as possible, for the preservation of material which in his opinion bears upon the cause of death for such period as the coroner thinks fit."

Following limited consultation with professional bodies, this was amended in June 2005 to:

"A pathologist shall make provision, so far as possible, for the preservation of material which in his opinion bears upon the cause of death or the identification of the deceased<sup>19</sup>."

There follows the detailed depiction of the process of ascertaining the wishes of the relatives regarding tissue disposal once the coroner is satisfied that the tissues are no longer required for his/her inquiry into the cause of death of the deceased. This information is then communicated

to the pathologist as an instruction on disposal.

The practical point is that this is a considerable logistic process, consuming time and money, undertaken through the coroner's office by him/herself or his/her staff. It has nothing directly to do with a decision on the part of the pathologist as to whether tissue retention is required for making a diagnosis in a case. But because a decision to retain material automatically imposes extra work upon the coroner's office, it is not unreasonable to suppose that the coroners and their officers might be less keen to see tissue retained in any case unless it is absolutely necessary. These changes were anticipated at the time of this study. Although the amended Rules were not formally adopted until after the study week, pathologists were aware that the coroners were moving into the new system anyway, as the new system's processes were being used.

The strict point is that the decision on whether to take histology to assist in determining the cause of death rests with the pathologist only. As will be discussed later, this is not how it always appears to be.

For this study, and in each case, the following were recorded:

- Whether or not tissue **was** taken at autopsy (as noted on the autopsy report);
- Whether or not it **should** have been taken (as assessed by the advisors);
- The quality of the tissue retention reports supplied.

The following section presents the results of the above points in addition to data taken from the organisational questionnaire relating mortuary facilities to process and store retained tissue.

### Whole organs

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Whole organs (or major parts) were retained at autopsy in <1% of cases (10/1,691); in four cases the lungs were retained, in three cases the brain was retained and in an additional three cases the heart was retained.

In 49% (835/1,691) of cases, a positive statement was made in the report that no whole organs or major parts were retained. The remaining 846 cases made no comment about the retention of whole organs or major parts.

The reasons for organ retention were:

- Lung (4) - mesothelioma confirmation and asbestos studies;
- Heart (3) - cardiomyopathy investigation;
- Brain (3) - case 1) paediatric neuro-developmental abnormality; case 2) traumatic brain injury in an elderly person who had a fall in the community; and case 3) to confirm suspected Lewy body dementia in an elderly male.

All these listed situations of organ retention were deemed appropriate, but they took place in <1% of all the cases in the study. The advisors considered that more organ retention should be undertaken to ensure more accurate evaluation of causes of death and further discussion follows in later sections of this report.

## Histology

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In 65% (1,093/1,691) of cases, a positive statement was made in the report about whether or not histology was taken. And in 19% (314/1,691) of cases, it actually was taken. The proportion of cases taking histology in this study was markedly lower than in previous NCEPOD reports, which since 2000 have ranged between 24% and 55% of cases (bearing in mind that previous NCEPOD reports have examined hospital deaths only).

In this study, 35% (598/1,691) of cases no comment was made about the retention of samples for histology. Thus in nearly half of the autopsy reports there was no positive indication that tissues were or were not retained. It is not a requirement under Schedule 2 of the Coroners Rules to indicate if tissues have been retained. However, one standard text on the coronial system notes that 'the report should make specific mention of any tissues that were retained'<sup>4</sup>.

The advisors believe that all autopsy reports should now state unequivocally whether or not organs or tissue and blood samples were retained. If they are retained, they should be listed; if whole organs or significant parts thereof are retained, there should be in the final autopsy report an indication of the ultimate disposal of this material. With public concern over the fate of autopsy tissues, this is a minimum requirement.

The Codes of Practice issued by the Human Tissue Authority in Jan 2006 indicate that there must be secure documentation of tissue retention, samples and organs, following autopsy examinations. It will become the duty of the designated individual named on the Licence for each mortuary to ensure that appropriate procedures are followed. One straightforward means for part of this documentation is to note in each autopsy report whether or not tissues were retained.

### Recommendation

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*Autopsy reports must clearly indicate whether or not tissues were retained, and what they comprise, if retained.*

## What was taken for histological examination?

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Histopathological examination was undertaken in 19% (314/1,691) of the cases in the study. Most commonly, sections of lungs were taken for histological examination (Table 16).



<b>Table 16:</b> Samples taken for histology (answers may be multiple $n=314$ )		
	<i>n</i> =	%
Brain	78	25
Lungs	231	74
Heart	178	57
Liver	175	56
Spleen	83	26
Kidney	131	42
Other*	147	47

\* There were 'at least' 147 samples taken that were categorised as other. This is an 'at least' figure because more than one sample could have been listed as free text under 'Other' by the advisors.

Case study 10 illustrates how significant histopathological examination can be, not just for the cause of death in the deceased, but for the next of kin also.

### **Case study 10**

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*An elderly patient with known emphysema developed pneumonia and, despite admission to hospital, died. The well described autopsy identified significant ischaemic heart disease and bilateral lung abscesses, as well as confirming the severe emphysema. The liver was cirrhotic (which was not previously known), and the histological features in the liver further indicated the diagnosis of alpha-1-anti-trypsin deficiency, an inherited disorder that causes both cirrhosis and emphysema.*

*The cause of death was given as:*

- 1a. Lung abscess*
- 1b. Bilateral bronchopneumonia*
- 1c. Chronic obstructive pulmonary disease*
- 2. Cirrhosis and coronary artery atheroma*

*The clinicopathological correlation discussed this inheritable disease and suggested that the family may wish to seek medical advice and screening.*

There has been no nationwide surveys of tissue sampling in coronial autopsy practice, and so this figure of 19% of cases is a baseline datum for practice in early 2005. From the previous NCEPOD reports, which focused on investigation of perioperative deaths and where it might be supposed that the complexities of the cases would demand a higher level of autopsy histopathology examination, the range was 13-55% for tissue sampling. A recent survey by the RCPATH of hospital departments in England and Wales enquired into proportions of coronial autopsies that had histology taken and also asked about problems in the interactions with coroners over this issue<sup>20</sup>. The sample size was small, but it did show the expected trend over time. In 1998, the overall tissue sampling rate was 33%, dropping to 21% in 2002 (after Bristol

and Alder Hey) and remaining at 20% in late 2005 (after the introduction of the amended Coroners Rules). This is consistent with the results of this more representative study.

Another impression coming from the RCPATH survey confirms the experience of the advisors; that there is pressure from coroners' offices not to take organs or tissue samples if a reasonable diagnosis can be made without them, and that this pressure has increased since 2000. The same point was also made in the Shipman Inquiry<sup>21</sup>. As indicated previously, the work that is required to observe the regulations on tissues with regards to the relatives of the deceased are time consuming, and the coroners' offices, coming under local authority financing, are not well resourced (the study did not investigate aspects of office organisation, but the fact of under resource is not disputed at coroner or government level). A self-imposed reduction of tissue taking by pathologists, because of the increased bureaucracy over tissue retention, is a factor suggested by a recent, partial survey of current practice<sup>22</sup>.

### Should tissues have been retained?

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For each case, the advisors were asked if the lack of tissue retention in any way detracted significantly from the autopsy report in determining the cause of death. In 6% (93/1,691) of cases it was considered that lack of tissue retention did detract from the autopsy report in determining the cause of death, and in a further 18% (307/1,691) of cases, the advisors felt that tissue retention "would have been informative". This was defined for this study in the following manner: "Tissue retention may have assisted in elucidating particular features of the death, but may not necessarily have been required to determine the cause of death".

The advisors indicated the following major issues where they believed that diagnostic histopathology was important in the case but not done:

- Cancer primary diagnosis or confirmation (41 cases);
- Liver - cirrhosis and/or alcohol related disease (20 cases);
- Heart - cause of hypertrophy and/or cardiomyopathy (17 cases);
- Tuberculosis and other pneumonias (6 cases);
- Epilepsy (5 cases);
- Stroke and other CNS disorders (7 cases).

Thus in a proportion of cases, the cause of death as given cannot be known to be correct unless there had been histological confirmation, or exclusion, of diseases suspected grossly. Gross examination identifies the pathological processes going on in some but not all cases. Even standard diseases like bronchopneumonia are not accurately diagnosed by the naked eye in more than 70% of cases when compared with the histological ascertainment<sup>23</sup>. The histological type of cancers may be guessed at, but not ascertained, by gross examination. Since the general advice for completing medical certificates on cause of death encourages entering the histological type of cancer as well as its primary site, it should be incumbent on pathologists to examine previously unknown tumours under the microscope. The coronial system needs to consider this for a national standard rather than for pathologists to do such evaluations on an individual basis. To repeat, according to the regulations, tissue retention is formally permitted only when necessary to provide a cause of death in a coronial autopsy. The coroner does not at present have a formal role in public health outside accidents. For example, he/she is not obliged to confirm or rule out possible notifiable and serious communicable diseases such as

tuberculosis and hepatitis C (a diagnosis of 'pneumonia' and 'chronic liver disease' respectively would be acceptable as a cause of death). The only specific medical disease that he/she does attempt to ascertain through the autopsy and pre-death medical data is malignant mesothelioma; this is associated with asbestos exposure, often related to industrial employment, and may involve compensation claims from the next of kin.

Case study 11 encapsulates the whole issue of tissue retention in the coronial autopsy.

### **Case study 11**

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*A middle-aged patient was admitted with a history of confusion to a neurosurgical unit. The CT showed enlarged cerebral ventricles with a possible focal cerebral lesion and the cerebrospinal fluid analysis showed lymphocytosis. Whilst in hospital the patient developed colonic pseudo-obstruction which necessitated a hemicolectomy. Then a drain was inserted through the skull to relieve hydrocephalus. Unfortunately the patient suffered a cardiac arrest and died before a definite diagnosis could be made.*

*The autopsy revealed a massive thromboembolism in the lungs and found deep vein thrombosis in the calf veins. The spleen was moderately enlarged and although there was no generalised lymphadenopathy, lymphoma was considered. The skull burr hole for the drain was noted, the meninges were normal, the brain oedematous and on slicing the brain there was no evidence of focal abnormality to the naked eye. No tissues were taken and there was no comment apart from "Death was due to natural causes".*

*The cause of death was given as:*

- 1a. Massive pulmonary embolism*
- 1b. Deep vein thrombosis*
- 2. Right hemicolectomy for ischaemic colitis and presumed cerebral lymphoma*

*Arguably the brain condition resulted in the thromboembolic final event. But what was it? The advisor noted the lack of histology and of the underlying cause of death. If the purpose of the coronial autopsy was to exclude unnatural death, then this autopsy did that. However, if it was to provide a cause of death that has medical meaning, the autopsy was deficient in not making a tissue examination of the brain to determine the pathology.*

An autopsy report assessed as excellent or good overall did not need, by definition, to have tissue retained. There are certain conditions, where the gross pathology is so distinctive and where the pathogenesis is reasonably well understood, that do not require histopathological confirmation. Examples are ruptured abdominal aortic aneurysm and unequivocal acute myocardial necrosis associated with an unequivocal acute coronary artery thrombus. Case study 12 provides an example of a good autopsy report that does not include histopathology.

## Case study 12

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*The deceased was found at home; there was no suspicion of foul play. Previous medical history included rheumatoid arthritis, deep vein thrombosis and hypertension.*

*The autopsy included an external description of operation scars, skin slippage and noted "There were no other signs of external injury".*

*Internally there was no pulmonary embolism or aspirated vomit in the airways. The heart examination noted its weight (490g) and left ventricular failure. Each coronary artery was described and the lumen narrowed to 1mm (left) and 2mm (right). The skull and brain were carefully described to exclude injury and haemorrhage.*

*There was a clinicopathological correlation discussing the roles of triple coronary artery disease and the history of hypertension, and noting that pulmonary oedema supported the conclusion of acute cardiac failure as the mode of death.*

*The cause of death was given as:*

- 1a. Ischaemic and hypertensive heart disease*
- 1b. Coronary artery atheroma*
- 2. Rheumatoid arthritis*

*While this case is straightforward, it is emphasised because the scenario and the significant pathologies are common (ischaemic heart disease was the single commonest cause of death across the study sample). The detail of the coronary artery dimensions are such that they can be evaluated against the Davies criteria when addressing the question: 'Does the coronary artery disease observed satisfactorily account for the death of the patient?'<sup>24</sup>.*

*A report such as this gives confidence that it represents what really happened, and in this case, whilst histopathology would be instructive for the pathologist, it was unlikely to bring out more information than was grossly visible.*

## Tissue processing and storage facilities

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NCEPOD requested whether there were any organisational reasons inhibiting pathologists from taking histopathology samples? In terms of access to facilities for processing histology, toxicology and other laboratory investigations, 98% (190/193) of mortuaries had access to histology, 95% (180/189) to other laboratory investigations and 83% (159/191) to toxicology.

Mortuary contacts were also asked whether they had the facilities to store fixed tissues and organs. Results showed that only 64% (121/188) of mortuaries had such a facility (Table 17). These data are concerning in that one third of mortuaries could not hold fixed organs. If a pathologist wishes to retain a large organ, such as a brain, which is not transportable fresh to a laboratory, and the mortuary cannot or will not store it, what are his/her options? It could be cut and sampled fresh, but that may result in suboptimal examination for diagnostic purposes, as well as removing the opportunity to re-examine the specimen.

In future, when the Human Tissue Authority approves licences for mortuaries (see 'Clinical pathology accreditation' in Appendices), the licence will also cover storage of human tissues. Nonetheless, obtaining accreditation and an HTA licence will cost the host organisations money,

for inspection and annual retention fee.

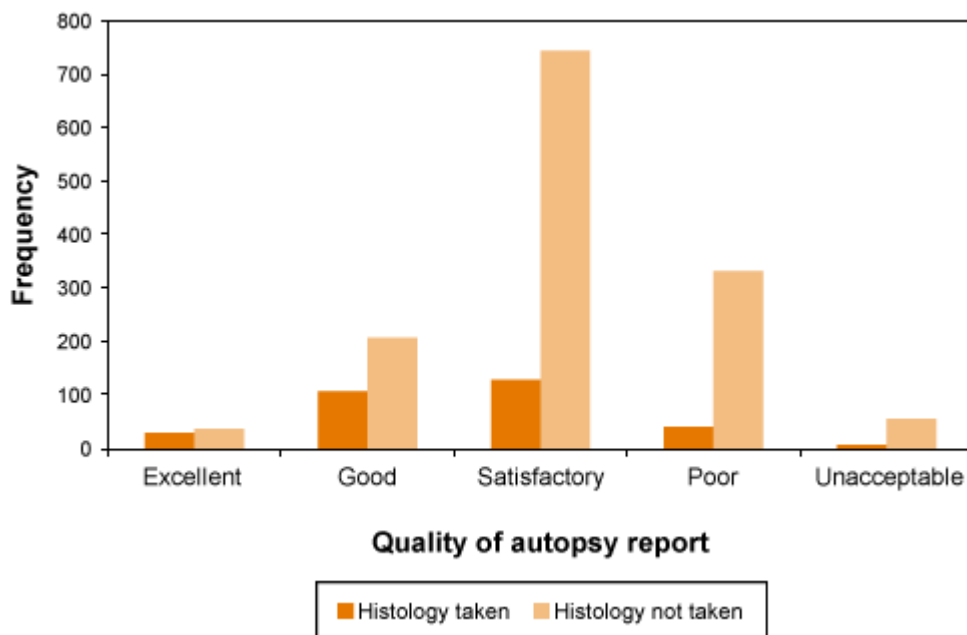
<b>Table 17: Storage facilities for fixed tissues and organs</b>		
	<i>n</i> =	%
Yes	121	64
No	67	36
<b>TOTAL</b>	<b>188</b>	<b>100</b>
Not answered	5	

All but three mortuaries reported having access to formalin for fixation of organs (an additional one mortuary did not answer the question).

### How did the retention of tissues affect the overall quality of the report?

When the overall quality of the autopsy report was stratified with whether or not histology was taken for that case, it was observed that a higher proportion of cases were rated as excellent or good in the cases where histology was taken. And conversely, proportionately more cases were rated as poor or unacceptable if histology was not taken (Figure 11).

**Figure 11: Overall quality of the autopsy report in cases that did or did not take histology**



### Histology reports

Of the 316 cases that took histology and whole organs, 251 histology and/or whole organ reports were available to be assessed (either as separate reports or as part of the main body of the autopsy report). This represents 79% (251/316) of all cases that retained tissue.

Generally, the histology or whole organ reports were considered good in 39% of cases, (99/251) or satisfactory in 52% of cases, (130/251) by the advisors. Only 9% (22/251) of histology/whole

organ reports were marked as unsatisfactory. Some of the comments made by the advisors who had assessed the reports as unsatisfactory were:

- "Just says age related change...does not state which organs examined";
- "Type of carcinoma not stated";
- "Report does not describe findings in all tissues sampled";
- "No mention of presence or absence of asbestos bodies";
- "Described findings in stomach only - no mention of findings in other tissues sampled".

### Costs of histology

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One factor in deciding whether or not histopathology samples are taken is the ensuing administrative procedure, as discussed; another is money. Tissue analysis has laboratory (machine, technician, consumables) costs, though there is no agreed cost per block across UK histopathology departments. The study did not explore histology costs, but several informal surveys have highlighted the issue. One common response in the RCPATH survey<sup>20</sup> was that cost considerations impacted on the amount of tissue sampling that pathologists were permitted if tissue was retained.

How coronial autopsy histopathology is funded varies widely. For independent pathologists, there is no choice: they must pass on the costs from a laboratory to the coroner. For hospital department pathologists, there is a choice: the trust or medical school department can carry the costs in whole or in part, or the coroner can be invoiced. A NHS Management Executive document of 1991 on the allocation of pathology costs indicated that certain tests that support a wider public health benefit may be considered as general overhead costs for a hospital; but "there are special arrangements for works requested by coroners", presumably meaning that coroners should always be invoiced<sup>25</sup>.

The amount that may be charged to a coroner for histopathology is reviewed regularly by a British Medical Association (BMA) committee. When the study was carried out, the rate was £25 per block, up to a maximum of £228.50. (The charge per block was increased to £31.50 in June 2005). No additional charge may be made to cover additional analyses such as immunocytochemistry. In reality it is more complicated, since some pathologists, when taking histology samples, will negotiate the overall fee for the autopsy at a higher rate than the standard basic (currently £87.70 per case for an autopsy performed under Coroners Act section 19) plus any histology. They charge instead the BMA agreed fee for a 'special examination' (undertaken under Coroners Act section 20) which is currently £251.15, and this can include the necessary histopathology costs<sup>26</sup>. All departments do it differently, as the advisors knew from discussion. The NCEPOD view is that when the whole issue of criteria and practices for taking samples in coronial autopsies is debated, the costs should be calculated and apportioned appropriately, and that the agreed system should be national rather than local.

### Autopsy histopathology futures

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NCEPOD has consistently called for improving the quality of autopsy examinations, whilst recognising that the majority are performed to a satisfactory standard or better. One of the important means of improvement is to increase the amount of histopathological analysis done.

There is a need for a general debate amongst all interested parties on the level of diagnostic accuracy the coronial autopsy is intended to achieve. As part of that debate, one fact needs to be considered: the amount of autopsy histopathology being performed is currently declining because of the reduced number of consented hospital autopsies as well as from coronial practice changes. Pathological training is an apprenticeship undertaken through experience, rather than learning from instruction. If the number of autopsies performed continue to decline what will the next generation of pathologists be learning on if there is not a reversal in the trend? How will they gain the knowledge and the confidence to diagnose difficult autopsy conditions when the intention is to inhibit the histological examination of autopsy tissues? In the meantime, when there is disagreement between pathologist and coroner over the importance of tissue sampling, one action that pathologists can take - which was not observed in the study sample - is to state, in the autopsy report, that agreement for undertaking histopathology studies to clarify a cause of death was sought, but refused.

Because coronial autopsies are the basis of nearly all adult postmortem examinations, there should be an agreed set of criteria that pertain nationally, over such an important component of the autopsy as tissue retention for diagnosis. At present, tissue taking is only sanctioned to make a diagnosis. NCEPOD argues that there should be a broader approach with more tissue analysis taking place to provide more accurate information to families and for national statistics, specifically:

- To improve the level of accuracy of causes of death, such as specific types of cancer (see also 'Causes of death');
- To enable review of the cause of death if it is questioned later, or new information arises after the autopsy and coronial inquiry;
- To better inform families of the deceased who increasingly want explanations about what happened to cause the death.

### **Recommendation**

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*There should be national criteria and standards on organ and tissue retention for histopathology in coronial autopsies, in order to provide convincing evidence of the cause of death.*

### **Other samples**

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In 14% (229/1,691) of cases other samples were taken. These included (in many cases more than one sample was taken):

- Blood (180 cases);
- Urine (44 cases);
- Stomach contents (15 cases);
- Vitreous humour (10 cases);
- Bile (1 case);
- Cerebrospinal fluid (1 case).

The tests undertaken on these samples were mainly for toxicological analysis, where drug overdose or toxicity was suspected, including alcohol. The full range of requested tests was:

- Toxicological analysis of illicit and/or prescribed drugs (140 cases);
- Toxicological analysis for drugs and alcohol (21 cases);
- Alcohol only (18 cases);
- Infection studies e.g. bacteria, viruses, serology (28 cases);
- Biochemical studies, mainly glucose related (9 cases);
- Lung examination for asbestos fibres (4 cases);
- Cytogenetic analysis (in children) (3 cases);
- Carboxyhaemoglobin concentration (carbon monoxide poisoning) (1 case).

These analyses were pertinent to the cases and enabled high quality autopsy results with convincing diagnoses. Case studies 13 to 16 illustrate the appropriate use of toxicology and microbiological investigations.

### **Case study 13**

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*A middle-aged patient with a past medical history that included excess alcohol intake and possible liver damage called the GP. The GP found the patient to be partially clothed and possibly drunk and called a colleague to accompany them. It was half an hour later that entry to the house was made and by this time the patient was dead.*

*At autopsy there was psoriasis-like dermatosis over the knees and elbows and there was also congestion of the dermal blood vessels in a marbled fashion across the body.*

*The macroscopic internal examination revealed the following features: cardiac hypertrophy characterised by slight chamber dilatation and coronary atheroma only amounting to 30-40% stenosis, normal liver with no cirrhosis, and all other organ systems, including the brain and skull, appeared normal.*

*Histology showed:*

Liver: mild fibrosis (not cirrhosis), fatty change, but no alcoholic hepatitis.

Kidney: hypoxic features with scattered bacterial colonies within glomeruli. The pathologist noted that "part of these changes represents postmortem overgrowth, but the number of colonies is suggestive of significant septicaemia".

Spleen: reactive changes with scattered bacterial colonies.

*Toxicology and microbiology were also performed revealing trace amounts of alcohol, paracetamol and ibuprofen only. The spleen culture resulted in a heavy growth of streptococcus group A together with a light growth of staphylococcus aureus.*

*Cause of death was given as:*

*1a. Streptococcus septicaemia*

*The pathologist, in the correlation, considered that the cardiac hypertrophy and liver pathology were due to alcohol. These were judged "not to be directly implicated in the*



cause of death". The spleen culture and vascular changes identified macroscopically indicated "a significant septicaemia process..associated with a high mortality rate. It is likely that the infection gained entry to the body via abrasions incurred previously or possibly around the areas with psoriasis-like features".

The advisors noted that the diagnosis was made by microbiology investigation. Without it, it is not clear what the pathologist might have said instead. Thus this case highlights the importance of microbiological investigation.

#### Case study 14

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The deceased had a past history of hypertension and psychiatric problems and was found dead face down on a sofa at home. A suicide note was found at the scene.

The autopsy examination noted the following features:

*CNS: slightly swollen, no evidence of trauma to the skull or scalp.*

*Lungs: congested and oedematous, but no focal lesions.*

*Heart: enlarged and heavy (685g) with thickening and mild dilation of both ventricles. There were no obvious scars or acute changes to the myocardium. The coronary arteries showed patch to moderate atheroma with focal 60% stenosis.*

*Stomach: watery brown liquid in which several soft tablets and tablet debris were seen.*

*Liver: enlarged and grossly pale and fatty, but no focal lesions were seen. Histopathology of the liver showed mild steatohepatitis with occasional fibrous septum formation.*

*Toxicological analysis showed that the blood level of theophylline was high (51µg/ml) and within the range associated with deaths from theophylline toxicity.*

The clinicopathological correlation stated:

*"Although natural disease processes identified could account for death in isolation, the toxicological findings are in my opinion the major factor in the death. The already diseased heart will however, have almost certainly lowered the threshold of cardiotoxicity of theophylline, which can induce palpitations, arrhythmias and seizures".*

The cause of death was given as:

- 1a. Theophylline toxicity
2. Hypertensive heart disease

The report was deemed by the advisor to be excellent.

Case study 15 illustrates what appears to be insufficient toxicological analysis in a death in the community.

### Case study 15

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*A middle-aged known drug addict and alcoholic was found face down at home. There was no history in the autopsy report and in the 'external examination' there was no mention of injection sites or other drug-related marks-or of their absence.*

*The heart weighed 420g with normal ventricles. One coronary artery had an atheromatous plaque at the origin with superimposed occlusive coronary thrombosis. The other coronary arteries were normal. Lung oedema was present and the brain was not examined. There were no further investigations and the cause of death was given as:*

*1a. Coronary thrombosis*

*The advisor commented on the failure to examine and record signs of drug use and to carry out toxicology in a case of a drug addict dying in the community. The coronary thrombosis is not necessarily the only or even the main cause of death since drug toxicity (e.g. cocaine) could equally be relevant in this scenario.*

The advisors were again asked whether the lack of samples (being taken) detracted significantly from the report in its account of answering the questions raised by the death.

In 4% (61/1,691) of cases, the advisors considered that the lack of samples being taken did detract significantly from the report and in 6% (96/1,691) of cases, the advisors considered that taking other samples would have been informative in more precisely categorising the cause of death.

The specific investigations that, in the advisors' opinion, should have been done were:

- Alcohol (40 cases);
- Illicit drug toxicology (63 cases);
- Glucose-related, in diabetics (12 cases);
- Microbiological studies (18 cases);
- Mast cell tryptase, to identify acute anaphylactic shock (2 cases).

Case study 16 illustrates a problem in the evaluation of unexpected death in a known alcoholic.

### Case study 16

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*A middle-aged, known alcohol abuser was seen by the landlord to be drunk one evening and was found dead 24 hours later, in an unkempt state. At autopsy no height or weight were recorded and the brain was normal. The heart was 320g, had no coronary artery disease but the left ventricle was described as hypertrophied at 2cm thick. No further investigations were done. The comment was "natural causes" and the cause of death was given as:*

*1a. Acute left ventricular failure*

*1b. Left ventricular hypertrophy*

*The advisor stated that the issue of alcohol was not addressed at all and should have been pursued with estimation of blood and urine alcohol. If these were not significant, the*

*measurement of beta-hydroxybutyrate in the blood, may have been helpful as a marker of the keto-alcoholic syndrome that can cause sudden unexpected death in chronic alcoholics<sup>24</sup>.*

All of these, and other investigations are important in autopsy practice, according to the case, and pathologists are encouraged to request them when the results will confirm or exclude possible causes of death. Inevitably there are costs involved. Toxicology analysis is expensive and the standard screen for drugs of abuse, including alcohol, is invoiced to the coroner at a cost of approximately £250 per case. Microbiological and biochemical investigations are not always billed to coroners as they may be subsumed into the daily laboratory workload, but the standard charge at the time of the study was £25 for each direct examination or culture and should not exceed £228.50<sup>27</sup>. When toxicology is performed, an inquest is automatically opened and with it are associated cost implications.

Inevitably there is a cost-benefit analysis to be made in all cases where additional tests are contemplated and therein lays much variation in actual practice. It was noted in the study that not all persons who were found dead hanged, or victims of road traffic collisions, or known illicit drug abusers, had toxicological studies performed; whereas the coroner advisors noted that in many jurisdictions, such analyses were mandatory.

Due to the number of cases where it appeared that alcohol and/or illicit drug use may have been relevant to the death, the recommendation is aimed at that group specifically.

#### **Recommendation**

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*Deaths in persons known or suspected to abuse alcohol and/or cases associated with drug toxicity should be properly investigated.*

## 3. Results of study

### Causes of death

Towards the end of an autopsy report is the pathologist's opinion as to the cause of death, which should be presented in the standard manner prescribed by the Office for National Statistics (ONS)<sup>28</sup>. The main pathology, or the underlying cause of death, is the bottom line used in part one of the statement; significant contributing diseases are placed in part 2 of the statement. If no cause of death is discovered when the report is written, it is usually stated to be 'unascertained' or 'unascertainable'. In general, an unascertainable death would be where the pathologist is unable to establish a cause of death. This may be because the body is autolysed, or the pathologist only has part of the body to autopsy. It may also be because the death is caused by probable natural causes that cannot be proven (e.g. cardiac arrhythmias or epilepsy with no pathological findings). A cause of death can also be recorded as unascertained either because it is truly unascertainable (for the reasons above) or because the cause of death was unable to be established at autopsy and had not since been established at the time the autopsy report was prepared. For the latter, an inquest may be held and further evidence may be produced that does lead, with the autopsy findings, to a satisfactory cause of death.

All the reports gave a cause of death except 13 (<1%) cases where the cause of death was noted to be unascertained or unascertainable. In 99% of cases (1,678/1,691), the cause of death noted on the autopsy report followed the usual manner prescribed by the ONS (as judged by the advisors). This was better than the standards of death statement noted in previous reports (e.g. An Acute Problem? which dealt with complex intensive care cases)<sup>11</sup>, and reflects two factors. First, the majority of deaths occurred in the community, and second, the commonest cause of death was ischaemic heart disease. Formulating this into a satisfactory cause of death sequence is relatively straightforward.

#### Were the listed causes of death appropriate?

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The advisors were then asked to consider whether the cause of death given took into "appropriate account the clinical course and autopsy findings as presented in the report and in the supporting documentation". It was found that 18% (310/1,691) of cases did not meet this criterion, i.e. the advisors considered that the given cause of death may not be correctly written in context from the evidence available in all the paperwork present for the case. (This is lower than the figure found in another study examining medical certificates of the cause of death, which showed that 30% were wrong<sup>24</sup>). The degree of disagreement with the given cause of death varied, from disagreement with the fundamental pathological process depicted (n=59), to the belief that the pathologies listed in the two parts of the cause of death statement were wrongly ordered for significance, and that important other diseases were not stated as appropriate.

From the assessment of the causes of death, there were seven specific areas where the advisors believed them to be incongruent with the information available (history and autopsy examination). The most common was the issue of cardiac enlargement (hypertrophy) as the

cause of death without appropriate investigations and correlation. Hypertension or a primary cardiomyopathy were possibilities that should have been pursued further. The other areas were cancer, infection, alcohol, possible suicide, perioperative deaths and epilepsy. The following subsections specifically discuss three of these specific areas: 1) heart disease, 2) epilepsy and 3) perioperative deaths.

## Heart disease

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Many of the cardiomyopathies are inherited (research progressively reveals genetic linkages in these conditions), and families may be screened when an index case is diagnosed. There is increasing concern over the apparent increase in incidence of unexpected sudden cardiac deaths (sudden adult death syndrome (SADS), which is a label for this group of heterogeneous underlying cardiovascular pathologies), where coronary artery atheroma, valvular disease and hypertension are not the underlying pathologies. One obvious potential cause for the 'increase' is that the diagnoses were not being made at autopsy previously, but instead another cause of death offered (pathologists have traditionally not liked to be seen unable to provide a positive cause of death, and in many cases of SADS, the diagnosis is made because exhaustive studies have revealed no positive findings).

Sudden unexpected cardiac deaths suspected to be related to cardiomyopathy and arrhythmias are included in the Department of Health's National Service Framework on Coronary Heart Disease<sup>30</sup>, as part of the increasing awareness of SADS and to enable families who have suffered fatalities to be screened for the possibility of heart disease in asymptomatic members. Chapter 8 of this framework refers to cardiomyopathy and arrhythmia and makes reference to the RCPATH best practice scenario<sup>24</sup>. Thus it is critical that all such potential cases that present as sudden unexpected death are properly investigated, in order to confirm another non-SADS diagnosis or to provide evidence for a SADS death. The RCPATH's best practice scenarios include the protocols that should be followed in such cases<sup>24</sup>.

Case study 17 illustrates a case where SADS could have been considered.

### Case study 17

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*A teenager was found dead at home. The given medical history was of "headaches, fainting, ?epilepsy, ?atrial fibrillation". The pathologist had added in the history that the cause of the fits was never discovered. At autopsy, the heart was noted to be 244g, with pericardial effusion, congested myocardium, normal valves and coronary arteries. The lungs were oedematous. The brain was congested but healthy. The comment was "Death consistent with natural causes. No toxicology or organs retained". No histopathology samples were retained either.*

*The cause of death was given as:*

*1a. Acute pulmonary oedema*

*1b. Chronic atrial fibrillation*

*The advisors considered this examination and evaluation unacceptable. The underlying diagnosis offered is not one that, unqualified, could occur in an adolescent. A cardiac abnormality is a distinct possibility, which might be inheritable, and deserves proper examination, possibly with the involvement of a specialist cardiac pathologist. Another*

*possibility is sudden unexpected death related to epilepsy which could be considered if all other investigations proved negative.*

## **Recommendation**

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*Sudden unexpected deaths suspected to be related to cardiomyopathy and arrhythmias (i.e. SADS) should be investigated according to best practice autopsy guidelines.*

## **Epilepsy**

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In the study sample there were seven cases who, from the history, were known to suffer from epilepsy. In two cases, epilepsy was mentioned in the autopsy cause of death. As with SADS (above) there is concern that deaths in such patients are imperfectly evaluated in order to establish whether or not epilepsy played a role in their deaths<sup>31</sup>. Of particular concern are those who die suddenly and unexpectedly, where an epileptic seizure - indirectly affecting the heart - can be the cause of death. These patients require careful examination of all the internal organs, with histology, neuropathology and usually toxicological analysis of blood levels of anti-epileptic medication, in order to provide the best possible explanation of what happened. Whilst only a minority of brain examinations in patients dying of or with epilepsy reveal significant and specific lesions (abnormalities) that inform on the pathogenesis of the disease in each case, these examinations should be performed routinely. Positive and negative information is important both to evaluation of the cause of death and to help families come to terms with an epilepsy fatality. A significant neuropathological finding may also prompt clinical examination of other members of the family. The RCPATH has published a best practice scenario on the autopsy examination of those with known or suspected epilepsy<sup>24</sup>.

The seven cases included a child aged under 10 years, a teenager, and the rest were adults over 50 years. All died at home or in the community, and in all it was a sudden collapse with or without an observed seizure. In one case, there was a careful gross examination, including the brain, with reference to a known pre-mortem diagnosis of Lewy body dementia with epilepsy, and the cause of death was given as those diagnoses.

Four of the reports gave the cause of death as ischaemic heart disease or hypertension, based on varying degrees of coronary artery disease and myocardial scarring or hypertrophy. In two of the cases the brain was not examined at all and in the other two it was grossly normal, and no samples were taken.

Two case studies (18 and 19) illustrate the problems of the proper analysis of possible epilepsy deaths. The second case study also highlights a problem that is inherent in this type of autopsy review study, which depends solely on paper records taken at a fixed point in time.

### **Case study 18**

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*A teenager who was receiving treatment for epilepsy, had no other known illnesses, was found dead in bed one morning. The brain was described as congested and mildly swollen and the heart (385g) was dilated and mildly hypertrophied in association with a narrow aortic valve ring (measured as 5.4cm). No histology or toxicology samples were*

taken. The comment made was "Death was due to natural causes".

The cause of death was given as:

1a. Pulmonary oedema

1b. Epilepsy

2. Aortic stenosis

The advisors considered this poor because there was no proper histological examination of the brain to better categorise the epilepsy and its consequences; nor of the heart to determine how severe the valve disease was or to exclude other cardiac lesions; nor any toxicology analysis of the blood to determine whether the patient had been taking the anti-epileptic therapy correctly.

### Case study 19

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A middle-aged known epileptic with a history of a previous myocardial infarction aged 35, was found dead on a settee. There was evidence of vomit and scalp and facial bruising. At autopsy a skull fracture was identified and a subdural haemorrhage had compressed the brain, which had cortical surface contusions. The heart was enlarged and showed old scarring.

No toxicology samples appear to have been taken, but there was histological confirmation of lung oedema. The autopsy report contained no clinicopathological correlation and the cause of death was given as:

1a. Intracranial bleed

1b. Head injury

Epilepsy was not mentioned, apart from in the history.

The problem in assessing such a case is the likelihood that it will go to inquest and more death scenario information provided then. Questions could be asked about the likelihood that epilepsy prompted the evident injuries, and about the recent pattern of the patient's epilepsy and its management, whether the cardiac problem might have precipitated a traumatic fall - and also about the possibility of third party involvement in the death. If the autopsy report as presented were, in contrast, to be the final word on the case, then the advisors considered it to be grossly deficient because it did not give consideration to all the relevant possibilities.

### Recommendation

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Deaths suspected to be related to epilepsy should be investigated properly, according to the Department of Health National Service Framework for Mental Health action plan: "Improving services for people with epilepsy".

### Perioperative deaths

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In the study there were several perioperative deaths, a topic on which the previous NCEPOD

reports summarised in the Introduction to this report have concentrated. The autopsies, judging from the reports, were evaluated to variable standards, as has been noted previously. In 10 cases, significant operations were not included in the cause of death statements. Case studies 20 and 21 illustrate the range the advisors observed.

### **Case study 20**

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*A middle-aged patient was admitted to hospital for re-exploration of a right arterio-venous fistula, but died shortly after the procedure from intra-abdominal bleeding. The detailed clinical history from the coroner was not given in the autopsy report.*

*At autopsy there was no reference to the operation site although the internal examination of the abdomen showed "extensive extravasation of blood throughout the root of the mesentery". There was no mention of the fistula site at all. Other internal findings included "Large arteries shows severe calcific change with atheroma involving the main vessel generally and particularly the thoracic abdominal aorta".*

*The comment was "The cause of death is extensive intra-abdominal bleeding which appears to relate to the fistula site" and the cause of death was given as:*

- 1a. Intra-abdominal bleeding*
- 1b. Leakage from fistula site*
- 1c. Widespread arterial disease with severe calcific atherosclerosis*

*Overall the advisor deemed the external and internal organ descriptions to be unsatisfactory. The advisor commented that the autopsy report did not bear any resemblance to the clinical circumstances and findings and marked the overall quality of the report as unacceptable. Also the operation was not indicated in the cause of death sequence, where it should be.*

In contrast, Case study 21 illustrates a well evaluated case of perioperative death.

### **Case study 21**

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*A middle-aged patient with a history of cirrhosis, underwent surgery for a pelvic abscess, and unfortunately suffered a perforation of the bowel. This necessitated re-operation but the patient died subsequently of sepsis.*

*The autopsy found that the cirrhosis was not grossly evident, there was peritonitis, and also a tumour of the sigmoid colon. Histopathology was taken. The cause of death was given as:*

- 1a. Multiple organ failure*
- 1b. Septic shock*
- 1c. Perforation of the small intestine following surgery for pelvic abscess*
- 2. Adenocarcinoma of the colon. Cirrhosis of the liver*

*There was a good clinicopathological correlation, noting that the cirrhosis was confirmed and how it contributed to the mortality of peritonitis.*

Case study 22 illustrates a case of perioperative death where the conclusion of the autopsy shows - in the advisors' view - a short-sighted approach to the cause of death.



## Case study 22

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*The history provided following the death of an elderly patient was, "In hospital for elective removal of ureteric stent and developed unknown post-op sepsis with multi-organ failure. There is no significant past medical history. The death was not suspicious".*

*The autopsy noted a laparotomy wound. The heart "showed ischaemic myocardium, otherwise unremarkable. Valves unremarkable. Coronary arteries triple vessel disease with severe atherosclerosis" The urogenital system "Kidneys showed general pallor and left hydronephrosis with PUJ [pelvi-ureteric junction] stricture, otherwise unremarkable. Ureters showed left hydroureter and stent in situ. Bladder was unremarkable". Other organs were reported as normal and the comment in the report was "Death is consistent with natural causes. There were no tissue or organs retained". There was no clinicopathological summary.*

*Cause of death: 1a. Coronary artery disease*

*The advisor marked the autopsy report as unacceptable as it did not address the multi-organ failure, clinical sepsis or the recent operation and how the death related to it. Also there were no organ weights and the comment about "ischaemic myocardium" in case study 7 could be repeated here.*

The recommendation that has been the key point for previous NCEPOD reports when discussing the examination of patients who have died during or after surgical procedures is repeated.

## Recommendation

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*Deaths following medical interventions and complications require detailed investigation and consideration, and should not be summarised merely as (e.g) 'ischaemic heart disease' or other underlying comorbidity. If the procedure contributed to the death, then this should be indicated in the cause of death sequence.*

## Other areas of concern including inappropriate causes of death

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In their overall assessment of the quality of autopsy reports, the advisors made many heterogeneous observations, noting the excellence of some reports and highlighting deficiencies of others. Among the particular deficiencies that stood out were:

- 18 cases where there might have been foul play, third party involvement or another type of unnatural death that had not been indicated in the report;
- 11 cases where possible industrial injury, mostly to the lungs, had been insufficiently evaluated;
- 10 cases where an evident or possible malignant tumour was not investigated or included in the cause of death statement;
- 17 cases where significant infection was felt to be the cause of death (including three with likely MRSA infection) and were not properly investigated;

- 16 cases where alcohol was considered to be a major undeclared factor in causing death;
- 83 cases where the brain was not examined and should have been;
- One case in which the possibility of suicide appeared not to have been considered by the pathologist.

### 3. Results of study

#### Children and the elderly

The median age of all the patients whose autopsies were reviewed was 74 years. The advisors were interested to see whether the autopsy reports were of the same quality for both children and the elderly.

##### Children

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There were 23 children (those aged 0-16 years) in the study (1.4% of the total). The causes of death included road traffic collisions and other accidents in the community, and infectious complications of prematurity. None of this sample was a perioperative death. Table 18 shows who performed the autopsy.

<b>Table 18:</b> Person performing the autopsy on children		
	<i>n</i> =	%
Consultant histopathologist	7	31
Consultant paediatric pathologist	5	22
Forensic pathologist	4	17
Consultant neuropathologist	1	4
Not stated	6	26
<b>TOTAL</b>	<b>23</b>	<b>100</b>

Five of these were cases of sudden infant death syndrome (SIDS) and all were very well evaluated. Although the number is small this would suggest an endorsement of the change that has taken place in paediatric/perinatal autopsy practice over the last decade. The joint Royal College of Obstetricians and Gynaecologists and RCPATH document<sup>32</sup> and the more recent Kennedy report<sup>14</sup> are part of the process of improving the quality of the extremely important autopsy examinations of deaths in the perinatal period and infancy. There is increasing specialisation in paediatric practice and fewer general pathologists undertaking the work.

The overall quality of the autopsy reports in children compared to adults is shown in Table 19. Only one report was considered by the advisors to have been 'Unacceptable'. This concerned a teenager with a cardiac problem (described previously in case study 17).

<b>Table 19: Overall quality of the autopsy reports in adult and child cases</b>					
	<b>Excellent</b>	<b>Good</b>	<b>Satisfactory</b>	<b>Poor</b>	<b>Unacceptable</b>
Adults (17 to 94)	63	307	850	364	60
Children (≤16)	4	5	12	1	1
<b>TOTAL</b>	<b>67</b>	<b>312</b>	<b>862</b>	<b>365</b>	<b>61</b>

### Elderly people

The autopsy examination of the elderly is a significant component of coronial autopsy work. 59% (1,002/1,691) of the cases in the study were patients aged 70 years or more, up to 101 years of age. A large proportion of the patients had died in nursing homes. Nursing home deaths are often referred to the coroner because the attending general practitioner for the home is unable to provide a cause of death. This may be because they have not seen the patient for more than two weeks (a requirement for completing a death certificate and 28 days in Northern Ireland), they may not have been able to view the body after death or because the death was not expected and was not understood. Another reason may be because the deceased experienced a fall in the home which led to fracture of a neck of femur, then required admission to hospital.

The advisors' overall assessment of the autopsy reports in the 118 reports of those aged 90 years and more were reviewed in more detail for repeated comments, and the following issues emerged:

- the reports were brief and lacked any useful detail;
- dementia, when present, was not considered significant in the cause of death statement;
- fractures - with or without subsequent operation - were not evaluated and did not form part of the cause of death statement;
- the brain was not examined;
- ischaemic bowel was not evaluated when suspected clinically, or was not included as a cause of death;
- complaints against a hospital or nursing home being indicated as likely in the given history, yet the reports were too brief and without correlations so that they would not necessarily contribute helpful evidence;
- specific requests from the coroner to do certain investigations not apparently being done.

In contrast, case study 23 indicates a well undertaken and reported autopsy in a person aged over 90 years.

#### Case study 23

*An elderly known hypertensive, was admitted with a fracture of the femur. An aortic valve*

*systolic murmur was noted. Surgery for the fracture took place four days later and initially the patient recovered but two days later acquired a chest infection and died the next day.*

*The detailed report included precise descriptions of the abnormal heart valves, muscle hypertrophy and old ischaemic scarring and of the unruptured aortic aneurysm. A hypoplastic kidney was noted, and in the musculo-skeletal system, the fracture and operation site had been explored. A scalp laceration and small subdural haematoma beneath (without skull fracture) were described.*

*There was a considered clinicopathological correlation discussing the cardiac clinical pathology and the scalp injury. The cause of death was given as:*

- 1a. Congestive cardiac failure*
- 1b. Hypertensive heart disease*
- 2. Aortic valve stenosis and fractured right neck of femur*

*The autopsy was performed by a SpR who probably also prepared the report. No histopathology was requested and none really needed. The advisor graded the report highly.*

All 24 cases aged 95 years and over were studied in more detail; 19 were female and five male. Twenty-one (88%) died in a nursing home. All the deaths were considered to be natural, but the quality of the examinations was less good than those in the <95 year old population (Table 20). In fact, none of the reports from these elderly cases was assessed as being excellent, and proportionately more were unacceptable when compared to all other adult cases (17-94 years).

Particular concerns related to dementia and fractures. In the provided histories, five patients had dementia, but in none of the reports was this mentioned in the cause of death and in the advisors' opinion it was unlikely that dementia was not relevant to the death. Three patients died following a fall with fracture, but this was mentioned in the cause of death in only one case, and that was under part 2 of the cause of death statement; again, this appears unlikely. The significance of 'part 2' in the cause of death is that if a fall or other trauma is indicated in part 1, it usually follows that there will be an inquest. Placing it in part 2 removes that need, and so saves court time, administration time and the cost of an inquest.

Another concern for coroners and pathologists is the requirement for examining such elderly patients at autopsy. The elderly population is increasing demographically, but there is an impression that an increasing proportion of deaths are being referred to a coroner as unknown causes of death, and that this may be related to the case of Dr Harold Shipman<sup>21</sup>. There appears to be a greater unwillingness to provide medical certificates of causes of death in the elderly just in case there is a possibility of a third party involvement in the death. This is reflected in the proportion of all deaths reported to a coroner.

The scenarios of death in the over 95 year old cases were examined for predictability of the autopsy diagnosis when compared with the clinical information provided in the documentation and history. In half of the 24 cases, the cause of death could broadly be predicted from that information and it raises the question of suitability of referral to the coroner in these cases and the real necessity for autopsy. However, in many cases, in the absence of clinical past history, an autopsy was required. In conclusion, the advisors had concerns over the quality of autopsy examinations in the very elderly and had the impression that they were done less carefully than those on younger patients; this was not acceptable.

**Table 20:** Overall quality of the autopsy reports in adult cases and those aged 95 years and older

	<b>Excellent</b>	<b>Good</b>	<b>Satisfactory</b>	<b>Poor</b>	<b>Unacceptable</b>
Adult (17 to 94)	63	307	850	364	60
Elderly (≥95)	0	3	11	8	2
<b>TOTAL</b>	<b>63</b>	<b>310</b>	<b>861</b>	<b>372</b>	<b>62</b>

### 3. Results of study

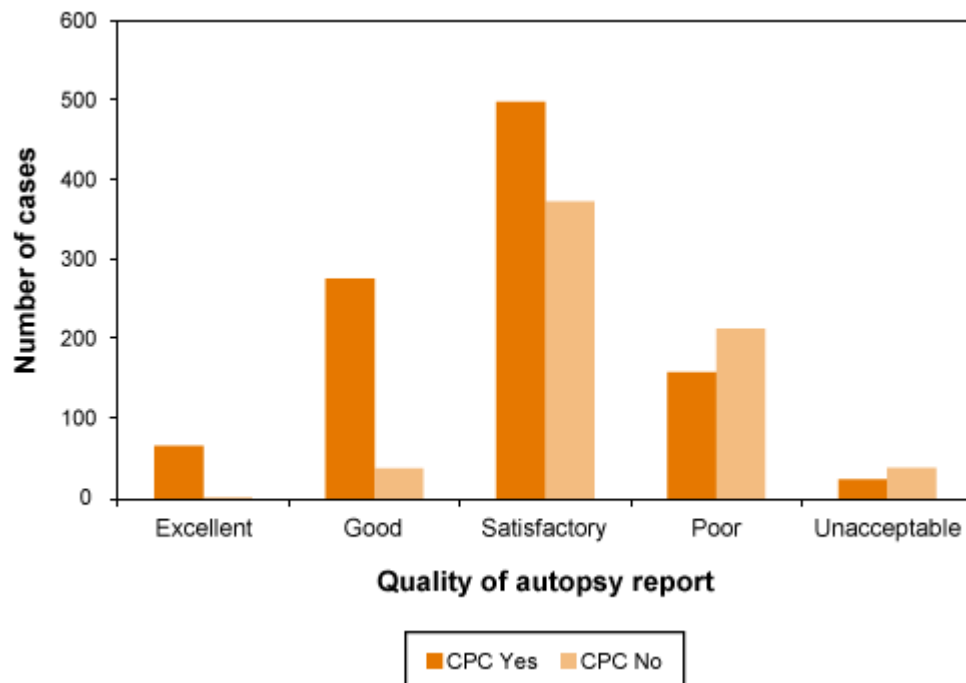
#### Clinicopathological correlation

A clinicopathological correlation (CPC) can be described as an objective summary and correlation of clinical findings with gross and microscopic findings and with the results of other studies performed at autopsy, to describe the death and elucidate the sequence of events leading to death<sup>33</sup>. A discussion or listing of the underlying cause or causes of death and the immediate cause should be included in the summary. This is additional to the stated cause of death in ONS format. Not all autopsy reports include such a commentary which, if present, is usually placed at the end of the report.

Sixty one percent (1,025/1,691) of the autopsy reports included a CPC, the majority of which were clearly expressed, consistent with the factual contents of the report and relevant to the circumstances of the death. This is similar to figures quoted in previous NCEPOD reports.

When the score given by advisors for the overall quality of the autopsy report was correlated with whether or not the report contained a CPC, it was found that those cases that contained a CPC were associated with an overall better report score. In fact, all of the cases scored as 'excellent' contained a CPC (Figure 12).

**Figure 12: Overall quality of the autopsy reports in cases that did or did not include a clinicopathological correlation**



The inclusion or exclusion of a CPC, like the inclusion of a clinical history at the beginning of the autopsy report, is variable. As early as the 1992/1993 NCEPOD report there was a recommendation stating:

"A concise, jargon-free explanation of the principle findings (clinicopathological correlation) should be a part of every post mortem."<sup>34</sup>

The same recommendation has been repeated in a number of NCEPOD reports since then, but the rate of inclusion of such a correlation has never exceeded 68%.

The Royal College of Pathologists also support the inclusion of a CPC, stating in their Guidelines that:

"It is probably the most important part of the autopsy report for the clinician and often the coroner"<sup>3</sup>.

The American College of Pathologists<sup>33</sup> also support the inclusion of a CPC. Their guidelines state:

"Interpretation or explanation of autopsy findings may be crucial for educational and quality improvement purposes and to further explain the cause and manner of the patient's death. Anyone reading the autopsy report hopes to learn the cause and manner of the patient's death. It is our opinion that a clinicopathologic summary built around objective documentation is an appropriate and important endeavour for the pathologist."

One standard text<sup>4</sup> on the coronial system also emphasises the importance of including a summary and conclusion in an autopsy report:

"A brief well written summary is often of considerable use to the coroner. In many cases it is unnecessary to call the pathologist to give oral evidence at court [i.e. at inquest] and a straightforward summary can be read out as the evidence [under Coroners Rule 37]. On the other hand, a report without a summary - or a summary written in incomprehensible medical terminology - presents the court with a difficulty... It is preferable for the pathologist to phrase the summary in such a way that everyone in court, most particularly the relatives of the deceased, can understand the medical cause of death'.

Examples of good correlations have been provided in previous sections.

Providing a comprehensive and comprehensible CPC benefits all the interested parties. The coroner can better understand a complex case, particularly important in perioperative deaths as has been indicated in all previous NCEPOD reports that included pathological assessment, and the relatives are more likely to come to terms with the death if they understand what happened. Finally, the pathologist is compelled to review all the circumstances of the case and attempt to account for all the features. If there are features which remain unresolved even after the autopsy and any further investigations, they can be honestly indicated.

There is a caveat which needs to be stated. Due to the way the autopsy reports and supporting documentation were collected, there was no way of knowing which cases would and did end up with an inquest (on a statistical basis, 10-12% would have eventuated in a public inquest). At inquest, the autopsy report (as seen in the study) forms the basis of the pathology evidence, but questions can be asked of it by the coroner and other interested parties; the findings can be challenged and the conclusions changed. It is possible that a proportion of the cases without CPC were those where the pathologist was aware at the time of writing the report that an inquest would be held, and thus withheld a correlation. However this cannot be the main explanation as 39% (666/1,691) of the cases studied did not have this component; and even in cases going to inquest, it is still a useful positive exercise for the pathologist to document the



areas of clarity and (if appropriate) of difficulty in the clinicopathological description of the death. It is not being made as a specific recommendation, but it would be helpful for all readers of autopsy reports if it were clearly stated if the report is not the final word from the pathologist, because an inquest has yet to be held.

### **Recommendation**

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*There should be a clinicopathological correlation in each report that reviews the case and robustness of the conclusions based on the available evidence.*

### 3. Results of study

#### The autopsy report - process and format

In assessing the quality of the content of autopsy reports, NCEPOD were in a unique position to be able to reflect upon the production and 'look' of the autopsy report. The following were therefore examined:

- the time taken for the autopsy reports to be issued to the coroner;
- the autopsy reports' format;
- whether or not the overall report actually complied with the statutory requirements outlined by the Coroners Rules.

#### How long did it take to issue a report?

Performing an autopsy takes a certain time, depending on the case. The cause of death, if it is evident from the gross examination, can be rapidly transmitted to the coroner (by phone and/or fax), and the written report which is required under Schedule 2 of the Coroners Rules can follow later. If the autopsy report is delayed, it can significantly slow down the medicolegal process, and cause distress to families who would like to know the diagnosis and see a fuller account than just the bare cause of death.

The time (in days) that lapsed between the date the autopsy was performed and the date that the autopsy report was actually issued (signed or authorised by the pathologist) was calculated. Table 21 shows, importantly, the median number of days that lapsed between the date of autopsy and the date the report was issued in cases that did and did not take histology and/or 'other samples'.

	<b>All cases * (n=1081)</b>	<b>All cases where histology or other samples not taken (n=781)</b>	<b>All cases where histology and/or other samples taken (n=300)</b>
Median (range)	4 (0-255)	2 (0-144)	15 (0-255)

*\* Not all autopsy reports were dated and therefore these calculations could only be performed on the 1081 cases where dates were available.*

There is often anecdotal criticism of the time taken to generate and send out autopsy reports. However, the data indicated in the table 21 are satisfactory. The turnaround time for reports that did not require further investigations was not different from that of surgical pathology reports on

large specimens. Autopsy histopathology takes time to fix and process, and to think about in a complex case. Toxicology analysis is dependent on factors within the relevant units and is usually outside the power of the pathologist to accelerate. Interestingly, the overall median time of 15 days was quicker than that from laboratories used by several of the pathology advisors. The RCPATH 2002 Guidelines recommended that provisional autopsy reports where further analysis was being done, or final reports in cases without analysis, should be issued within five working days of the autopsy. If further work is being done, then the final report should follow within one week of receipt of the outstanding investigation results.

Since then it has become apparent that provisional reports are not well received in coroners' offices, as there may be confusion as to which is the appropriate version to file and act upon when the final report comes in. If, as is strictly the case, tissue analysis is done because the cause of death is not known from the gross autopsy examination and this necessitates an inquest, then the timing of the final report with a median time of 15 days may be satisfactory; it takes time to organise an inquest. One practice familiar to the advisors in cases where histology or other sample analysis is required to form a cause of death, but when that cause of death is almost certainly going to be 'natural', is to process and examine the tissues rapidly (within a few days) whilst assuming the autopsy process to be continuing for that time. When the expected natural cause of death is declared, an inquest is not required and the coroner can write the appropriate certificate.

Finally, there are naturally family concerns over the time taken to analyse a case and give the opinion on the cause of death, as funeral arrangements have to be made. This study did not investigate this aspect further.

### Autopsy report format

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From this study it was observed that there was no uniform format for coronial autopsy reports, and wide variation was seen in both layout and length in the study cases. NCEPOD has previously noted that the use of a preprinted short form with blank spaces - that was more in use in the era of handwritten reports and typewriters than in the current era of computers and word-processing - was very unsatisfactory for autopsy reports as it limited the space for descriptions. Such a form was not common in the present study, most of the reports appearing to have come from stored computerised formats.

The Autopsy Committee of the College of American Pathologists has noted in their Practice Guidelines for Autopsy Pathology (1999) that:

"The autopsy findings should be recorded in a format that will make them useful to the parties who read autopsy reports or to those who abstract information from autopsy reports. This includes pathologists, clinicians, family members, lawyers, risk management officers, researchers, epidemiologists, statisticians and outcome analysts."<sup>33</sup>

There was one notable form that appeared in the study series which comprised of a set of tick boxes for each part of the autopsy examination, with the default set at 'N' for 'normal', and abnormalities indicated by inserting numbers in the boxes that correlated with numbered footnotes immediately below. The footnotes were synoptic. All the advisors found this format difficult to read and unsatisfactory. One advisor commented "I am certain that the pathologist did not examine the 'air sinuses' and 'middle ear' [ticked as 'N']; this is very poor for the family who may read the report". Another stated "I do not think it is satisfactory for pathologists to tick boxes like a car mechanic".

## Complying with statutory requirements

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As previously mentioned, Rule 10 of the Coroners Rules states that:

"The person making a post-mortem examination shall report to the coroner in the form set out in Schedule 2 or in a form to the like effect".

- Schedule 2 lists the information that should be contained within an autopsy report (Appendix).

As part of this study, the number of reports that fulfilled these requirements were sought to be identified. Many aspects of the Schedules (for England, Wales and Northern Ireland) are rarely included in autopsy reports today and accordingly 98% (1,660/1,691) of autopsy reports did not meet these statutory requirements (specified by the Rules of England and Wales). Most commonly, reports failed to meet the requirements outlined in Schedule 2 because there was no record of the time the autopsy was performed, or whether or not a pacemaker was present in the body.

Twenty three percent of the autopsy reports that did not meet the statutory requirements outlined by Schedule 2 were actually deemed overall as 'excellent' or 'good'. This finding might suggest that the requirements outlined by Schedule 2 are not all necessary for a good quality autopsy report. Nevertheless, looking at the reports that did meet the requirements, proportionately more 14% (7/49) versus 4% (60/1642) were rated as 'excellent' (Table 22).

	<b>Excellent</b>	<b>Good</b>	<b>Satisfactory</b>	<b>Poor</b>	<b>Unacceptable</b>
Yes	7	9	21	11	1
No	60	306	852	362	62
<b>Total</b>	<b>67</b>	<b>315</b>	<b>873</b>	<b>373</b>	<b>63</b>

The Schedule 2 was drawn up a long time ago and, as these results show, needs revisiting in the light of changing practice. As previously discussed, NCEPOD would like to see two particular additions to the list of items required in an autopsy report: the history of the case, and a clinicopathological correlation.

## 3. Results of study

### What impacted upon the quality of autopsy reports?

The overall quality of the autopsy reports was presented in the previous section titled 'Overview of sample population'. To reiterate, the study sample displayed a normal distribution, with the majority of reports being marked by the advisors as satisfactory. There was roughly the same number of reports being marked good, as there was those marked poor; and similarly, there was roughly the same number of reports being marked excellent as there was those marked unacceptable.

Throughout this report, various aspects of the mortuary facilities have been correlated with certain components of the autopsy reports with this 'overall quality' score. These correlations have shown some interesting features - although it is important to note that by showing them, NCEPOD is not suggesting causality. To identify other (non-causal) associations related to the overall quality of the autopsy report, the following correlations were performed:

- quality of the autopsy report and position of the pathologist;
- quality of the autopsy report and mortuary workload.

Finally, the quality of the autopsy report was examined with respect to the profession of the advisors (coroners or pathologists), recognising that their professional backgrounds and experiences may have affected the way in which they mark cases.

#### Quality of autopsy report and the position of pathologist

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Most coronial autopsies are performed and reported by consultant pathologists or senior equivalents in medical schools and in forensic medicine practice. But all autopsy pathologists have to be trained and gain experience. With the reducing numbers of consented autopsies nationally (see Introduction), the significance of the coronial autopsy is progressively augmented for training. This study could not specifically identify how many autopsies had been utilised as part of training, but it did note when trainee pathologists had their names indicated on the reports (if they were the sole authors of the report).

Some excellent reports were those prepared by specialist registrars (SpR) and other trainee pathologists. In fact, when stratifying the overall quality of the autopsy report by position of pathologists, it was found that SpRs and paediatric pathologists produced better quality reports than consultant histopathologists and Home Office (forensic) pathologists (Table 23).

<b>Table 23: Overall quality of the autopsy report by specialty of pathologist</b>					
	<b>Excellent</b>	<b>Good</b>	<b>Satisfactory</b>	<b>Poor</b>	<b>Unacceptable</b>
Histopathologist	30	188	522	240	22
Forensic pathologist	9	21	61	20	11
Neuropathologist	1	2	5	0	0
Paediatric pathologist	2	1	2	0	0
Associate specialist histopathologist	0	2	6	2	0
SpR (or other trainee)	9	18	19	7	1
Unknown	16	83	258	104	29
<b>Total</b>	<b>67</b>	<b>315</b>	<b>873</b>	<b>373</b>	<b>63</b>

It is notable that forensic pathologists had the highest proportion of unacceptable autopsy reports compared with other specialties. This is worrying since, anecdotally, it is believed that they perform proportionately more coronial autopsies than hospital pathologists. However, the study did not document individual or specialty workloads and could analyse this further. Conversely it is encouraging to see the high quality of trainees' reports. This supports the utility of coronial autopsies as, inter alia, a training procedure - and numerically the most important since consented adult autopsies are now uncommon. It also supports the suggestion that all pathologists start at a high quality level with regard to coronial autopsy practice, but then may slip in standards (see Overview and discussion section).

### How many autopsies did pathologists perform?

One concern that has been indicated in previous NCEPOD reports, that may relate to poor quality of autopsy performance and reporting, is workloads for pathologists, with too many cases being done within limited time. The Shipman Inquiry made a similar point in the 3rd Report<sup>21</sup>. The study design and confidentiality of this study made it impossible to quantify this, so it was not known how many pathologists were undertaking autopsies and the numbers performed by each (and a one week sample would, of course, not be representative for the workloads of all autopsy active pathologists). With an estimation of the number of autopsy active pathologists in England and Wales at 700, and the known number of coronial autopsies performed in 2005 (114,600), the average is 164 cases per annum. There are no survey data available, but it is likely that the distribution of work is skewed, with a small number of pathologists doing a notably above average number of autopsies.

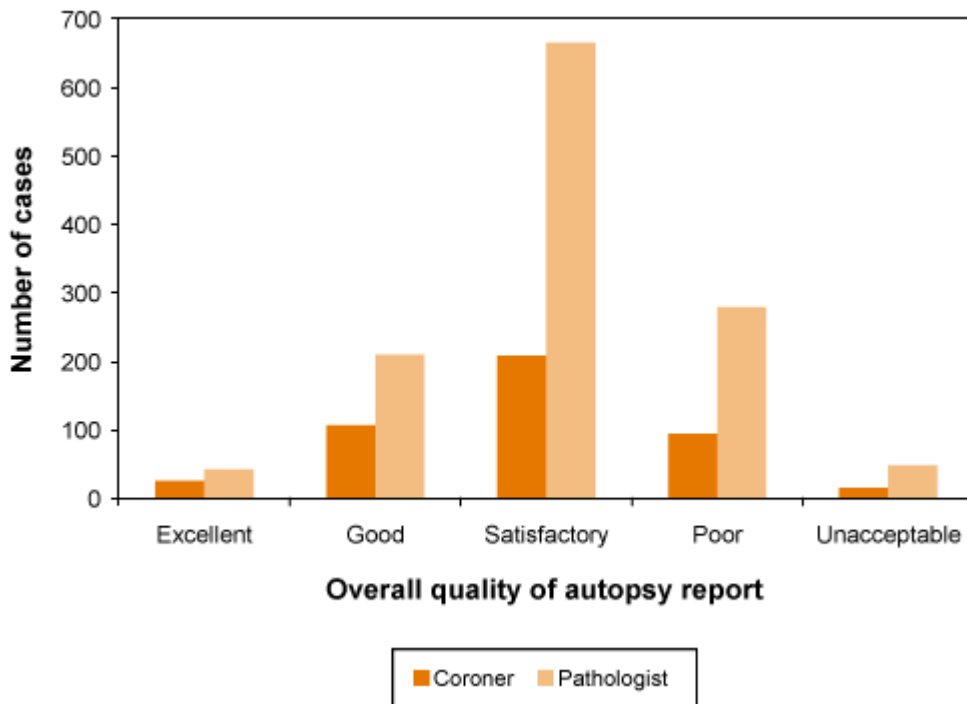
### Quality of autopsy report and profession of advisor

The advisors for this study comprised both practicing coroners and pathologists. It was understood from the outset that the manner in which they assess autopsy reports may differ

depending on their professional background and preconceived ideas concerning what constitutes a quality autopsy report. Recognising this, the meetings held to assess each case in the study comprised both coroners and pathologists, so that the possible differing views could be discussed between the advisors as a group.

As each case was assessed only once by one advisor, formal inter-rater variability was not assessed. However, many of the results were stratified by profession of advisor to identify if there were any general differences in the way coroners and pathologists assessed each case. This analysis identified that overall a higher number of cases scored by pathologists were marked as 'excellent' or 'good', (Figure 13). However, proportionately more cases were marked as 'excellent' or 'good' by coroners when calculated as a percentage of the total number of cases reviewed by coroners.

**Figure 13: Overall quality of the autopsy report by profession of advisor**



## 3. Results of study

### The mortuary

To perform an autopsy, a mortuary is needed. This incorporates not only body storage facilities but also a dissecting room or rooms, with a range of essential equipment and facilities needed to examine a body.

The organisational questionnaire was designed to gather information about the mortuary facilities available to pathologists who perform coronial autopsies. Some of the most relevant facility features that may have impacted upon the quality of autopsy reports were presented previously (e.g. how coronial autopsies are requested, evisceration of bodies, body and organ scales, facilities to process and store tissues and other samples etc.). The remaining data that were gleaned from the organisational questionnaire are presented in full in the Appendix. Of note, there were three key recommendations that came out of such data which are presented here; they relate to:

- Pathologist's clothing;
- Infectious and serious communicable disease management;
- Mortuary accreditation.

The data and commentary surrounding these recommendations are presented in the Appendix, but are also presented here in summary.

In 81% (156/193) of mortuaries, the pathologists wear proper dress (protective clothing over surgical scrubs), although in some mortuaries, protective clothing is worn over day clothes. NCEPOD hold that in all mortuaries, the pathologists should be wearing protective clothing over surgical scrubs and make the following recommendation:

#### **Recommendation**

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*Pathologists should wear protective clothing over appropriate scrub suits, not over their day clothes.*

The number of mortuaries that were accredited with clinical pathology accreditation was assessed. Seventy three percent (140/183) of mortuaries were accredited (10 did not know whether they were accredited or not) and accordingly, the following recommendation is made:

#### **Recommendation**

---

*All mortuaries should be quality accredited.*



A surprising reluctance on the part of many mortuaries to examine bodies with known or suspected Serious Communicable Disease was found. The proportions of mortuaries that did not accept cases were respectively: for tuberculosis - 22% (42/192), hepatitis B - 30% (58/192), hepatitis C - 36% (69/191), HIV - 52% (99/191), CJD - 80% (154/189).

### **Recommendation**

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*The approach to infectious disease management in mortuaries should be reviewed and standardised.*

### 3. Results of study

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## 4. Overview and discussion

### Overview and discussion

In 2003, a report of a fundamental review into death certification and investigation in England, Wales and Northern Ireland was presented to Parliament from the Home Office that included the statement:

"There is, indeed, a general lack of evidence about the utility of and justification for coroners' autopsies on the scale on which they are practiced in England and Wales. If the 121,000 autopsies a year that are now performed were surgical procedures carried out on living people there would long ago have been an evidence base compiled to assess the utility and justification for the scale of intervention."<sup>1</sup>

The Shipman Inquiry made a similar point about the lack of audit of the coronial autopsy, and also raised the question of whether too many unnecessary coronial autopsies were being performed<sup>2</sup>.

The present NCEPOD study is a contribution to an evidence base concerning coronial autopsies. With an ever increasing proportion of all deaths in England and Wales being referred to a coroner, it is important to view the coronial autopsy objectively and make recommendations that could improve the standard of practice and reporting. In the preceding chapters of this report, many of the issues have been indicated and discussed in detail, with recommendations presented. In this section, the fundamental purpose of the coronial autopsy is reflected upon, and the overarching aspects that emerged from the study are presented along with some broader recommendations. Finally, the future of autopsy practice is discussed.

## 4. Overview and discussion

### The purpose of the coronial autopsy

What is the purpose of the coronial autopsy examination? Statutorily, it is to determine the medical cause of death; but as detailed in the Introduction it can and does have layers of additional significance in the clinicopathological depiction of the final events of a person. Schedule 2 of the Coroners Rules simply provides for the overall structure but not the detailed content of the autopsy report, so that there is no specific answer to the question of how much information is meant to be set out in an autopsy report. The original schedule of items to be included in a report does not, for example, include a clinicopathological correlation. Viewing the study autopsy reports and the wide range of detail and commentary included within them, one can draw up an algorithmic approach which includes a five point grey scale of incremental quality. The range of purposes of the coronial autopsy may be (although not mutually exclusive):

- A1: To consider and exclude homicide.
- A2: To consider and exclude unnatural death.
  
- B1: To provide an acceptable - though not necessarily correct - medical cause of death for registration purposes.
- B2: To provide the correct medical cause of death and accurate data for national statistics.
- B3: To provide an account of sufficient, accurate detail to address any concerns from the next of kin and to be useful to them.
- B4: To provide detailed information for medical audit and explanation of events following medical interventions.
- B5: To provide the basis for a publishable case report.

Under section 19 of the Coroners Act, the autopsy may provide a cause of death that renders an inquest unnecessary. This is the commonest situation in coronial autopsy practice (although there are no published data on the proportion of section 19 versus section 20 coronial autopsies). Thus the purpose of the autopsy might be defined as fulfilling levels A1, A2 and B1 in the above list.

Within the study data, examples of all these levels of quality were observed (with the exception of the first (A1), as homicide cases were excluded), and this exemplifies the lack of standardisation of the process. In the RCPATH Guidelines, the purpose of all autopsies is to address all questions that may be posed by interested parties regarding a death and ultimately satisfactorily explain how the patient died<sup>3</sup>. The parties include, in principle, not just the coroner, but the family, any clinicians involved in the deceased's care, the hospital or general practitioner and the pathologist. That implies that all autopsies should be undertaken at least down to levels B3 and B4 in the above list. But perhaps level B2 is what most interested parties, most of the

time, would wish to see. The fundamental conclusion of this study is that there is a very wide range of reporting standards in coronial autopsy reports whereas a nationally agreed - and funded - standard is desirable. The figure of 18% (310/1,691) of all cases where the advisors questioned the accuracy of the given cause of death, indicates the need for a proper discussion of what level of quality product do the professions, government statistics, and the public want from coronial autopsy reports. Since coronial autopsies are the basis of 22%<sup>4</sup> of all causes of death for ONS purposes, this is an important question.

NCEPOD and other inquiries (e.g. The Shipman Inquiry) do not believe that sufficient consultation has been undertaken to review the purposes of the coronial autopsy in its broadest contexts. The system for coronial autopsies has continued for decades, unmodified, unaudited and using old 'Rules'. Because it lies outside the governance of the NHS - which has seen extraordinary commitment to raising standards through guidelines and target imperatives across all aspects of medical practice - it has been seen as separate and not worthy of inspection. This has to change, and is changing. In particular, the impact on families of autopsy diagnoses needs to be taken more seriously. Families wish to know how their relatives have died, and be given more information than they currently receive. There needs to be a national discussion and consensus on what the coronial autopsy is for, when it should be ordered, and what level of quality is expected from it. The system of death certification and the coroner service is currently in the process of reform, there having been two recent reviews<sup>1,2</sup>. There is an opportunity now to make statutory provisions for improvements. The distribution of cases amongst pathologists, i.e. should there be more specialisation of autopsy practice, as is happening with diagnostic surgical pathology, needs to be re-examined. NCEPOD has consistently called for the most appropriate pathologists to examine particular cases, and this is discussed further below.

## 4. Overview and discussion

### The advisors' position

The advisors for this study were drawn from interested pathologists and coroners, who may be presumed to be more than averagely concerned about the quality of the coronial autopsy as they applied to help NCEPOD with this study. The standards they were using were based on best practice guidelines which, in turn, were drawn up by a professional body that had reviewed all existing guidelines (including those in other countries). Thus the advisors' set point for quality would be that the autopsies and their reports that did not reach somewhere between levels B1-B2 in the previous list above would be deemed unsatisfactory. In 18% (310/1,691) of the cases in the study, the cause of death did not take into appropriate account the clinical course and autopsy findings as presented in the report and in the supporting documentation; that is unsatisfactory. The fact that the majority of cases did reach that point as assessed from the paperwork indicates that there is a basic body of quality. Nonetheless it has to be acknowledged that reading the paperwork of an autopsy is not the same thing as assessing what really happened in the mortuary, nor whether the findings and cause of death are, in an absolute sense, true. Autopsy work is unusual in that, unlike surgical procedures, there are usually no peer observers present. There may be trainees, and there will be one or more anatomical pathology technologists in the mortuary, but uncommonly is there a peer pathologist who might criticise the examination and question the conclusions derived from them. The coroner, who is usually only legally trained, is obliged to take the autopsy report at face value if it presents a natural cause of death and there are no features to lead the coroner to suspect that the death was 'unnatural'.

## 4. Overview and discussion

### What determined the quality of an autopsy report?

The main factors that determined the quality assessment in the opinion of the majority of the advisors were those that have been documented in the results of this study:

- a good case history in the autopsy report;
- comprehensive external examination of the body;
- comprehensive and complete internal examination;
- taking samples for further analysis as appropriate to the case;
- providing a clinicopathological correlation that explains the death and what led up to it;
- giving a cause of death that corresponds with the case history and the findings at autopsy.

These are all factors that previous NCEPOD reports have emphasised, and in those and in the present study, the undue brevity of many reports was noted and criticised.

There was a wide range of report styles and lengths, from short one page bullet point reports to multi-page documents full of detail and evidence of much thought going into the production. Since the more synoptic reports lacked several of the elements that the advisors considered to be important, these were more likely to be graded as less than satisfactory. The overwhelming impression conveyed from reading the nearly 1,700 autopsy reports in this dataset was of the variation in attitude of the pathologists to the job in hand. At one extreme are the one page perfunctory reports that provide a cause of death without any case history, detail regarding the autopsy examination, or any correlation of known pre-mortem events. As indicated in the results, some of these were considered by the advisors to be misleading and possibly incorrect in even excluding unnatural death.

At the other extreme there were a number of autopsy reports graded as 'excellent' which showed how carefully the pathologist had considered the case and attempted to resolve all the issues raised by the death. All these reports included the critical clinicopathological correlation. The use of histopathology was variable. There were undoubtedly some cases where histopathology was not done and should have been.



## 4. Overview and discussion

### The overall quality of autopsy reports

The study examined the autopsy reports, and supporting documentation that came from coroners' offices, concerning all deaths (in the community and in hospitals) over a one week period. Importantly, the study was retrospective and the relevant coroners and pathologists were not aware at the time of autopsy that the reports would be examined. The overall picture that emerged from the examination of autopsy reports from all coronial autopsies (with the exception of suspected homicide) was of unacceptable heterogeneity. This lack of standardisation is the fundamental point and the basis of the principal recommendation that NCEPOD makes.

The quality of the autopsy report was judged to be satisfactory in 52% (873/1,691), good or excellent in a further 23% (382/1,691); but was poor or unacceptable in 26% (436/1,691). Satisfactory indicates that the basic clinical question over cause of death appears to have been answered, and that the autopsy report fulfils its main purpose of documenting the cause of death but without much elaboration or detail that distinguishes a good or excellent report. Unacceptable means that the autopsy report does not sufficiently explain the cause of death (or it is evidently wrong) and that the report falls below an acceptable standard that the advisors believed that the public would expect, calling into question the practice of the pathologist and the constraints of the system in which they operate.

Reflecting the 2003 report of a fundamental review into death certification and investigation in England, Wales and Northern Ireland<sup>1</sup>, if one quarter of all surgical procedures undertaken on the living were deemed, by peers, to be poorly or unacceptably badly done, there would be a public outcry. The fact that there is no outcry is a simple manifestation of the fact that families are unaware of the variable quality of the autopsy procedure. Given the invasiveness of the autopsy, the taboo surrounding the procedure, and that it should not be done lightly, one might expect that the autopsy process and the whole system in which it operates would function to a high standard.

Occasionally, a family's dissatisfaction with an autopsy diagnosis surfaces to public notice. Anecdotally the pathologist advisors knew of legal complaints against hospitals and individuals based on what turned out to be erroneous and misleading autopsy reports. Senior clinical colleagues may approach hospital pathologists with what they consider to be unsatisfactory coronial autopsy reports on their patients following examination in another mortuary away from the hospital. It would benefit all interested parties if clinicians could observe autopsies, and discuss the findings with pathologists, more often. They are entitled to do this under Coroners Rules 7 & 8, but often are not informed of the place and time of the autopsy; and may have clinical commitments that prevent their attendance. This study did not investigate whether or not clinicians attended autopsies on their patients. But overall there has been no public protest over quality. All this reflects the lack of audit of the procedure.

## Specific points of concern from the autopsy reports

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There were particular issues that the advisors considered important:

- one in four autopsy reports was judged as poor or unacceptable;
- in one third of mortuaries, the pathologist failed to inspect the body before the anatomical pathology technologist commenced opening it and removed the organs;
- in one in seven cases the brain was not examined;
- in one in sixteen cases, it was deemed that histology should have been taken in order to determine or fully elucidate the cause of death;
- in nearly one in five cases, the cause of death as stated appeared questionable;
- the extent of examination of the heart, in those with abnormalities that might be due to a cardiomyopathy (some of which are inherited), was poor;
- the extent of examination of patients with known epilepsy who died unexpectedly was poor;
- the very elderly may not have been examined as carefully as younger subjects;
- the poor recording of the presence or absence of external injuries;
- the extent of examination of decomposed bodies;
- poor communication between coroners and pathologists;
- apparent gaps in the information provided to the pathologists by the coroner.

Several of these points require further discussion.

One quarter of the reports was judged as poor or unacceptable and, in nearly one in five, the stated cause of death itself was questioned. For medical processes, these are large rates of poor quality and reflect the historical lack of audit over the coronial autopsy. Fundamentally it reflects the gulf in attitude between the NHS, which looks after most people during life, and the Home Office or Dept of Constitutional Affairs which manages the investigation of their deaths through coroners: the only common element is the cadre of pathologists, who are well aware of the different standards expected in their NHS versus coronial practices.

The under use of histopathology and other investigations, the frequent poor examination of the heart in cases of sudden unexpected death, and the insufficient evaluation of patients with epilepsy who died, all reflect a lowered set of expectations of accuracy in cause of death. This level would not be tolerated by clinicians who obtain a consented autopsy, clinicians who are often puzzled by the apparent dual standards displayed by pathologists when undertaking autopsies with the production of a cause of death acceptable to a coroner.

From the data in the study, two other groups of deceased patients appeared not to be well served by the coronial autopsy process: the decomposed person and the very elderly patient, where the reports suggested that the examinations were often cursory. The former may have little to show pathologically, and expectations of useful positive findings are depressed. The latter often have too many positive pathological findings (as a consequence of age) and it may be difficult to determine which, if any, are directly relevant to the cause of death. A more precise remit for the autopsy in these cases would be beneficial, to focus the questions that are being

asked concerning their deaths.

A large number of autopsy reports on deaths in the community lacked descriptions or exclusions of external injuries. It is possible that some of these patients had suffered significant injury that contributed to death, whether from spontaneous falls or even possibly by the hands of a third party. The remarkable proportion of autopsies 14% (238/1,691) where the head was not opened and the brain not examined reinforces the impression that significant pathology may be going unnoticed. Anecdotally, the pathologist advisors had collective experience of second autopsies, following a limited coronial first examination, where the head was finally opened to reveal the actual cause of death, e.g. intracranial haemorrhage, in contrast to the first cause of death of ischaemic heart disease based on unconvincing findings.

The practice of pathologists arriving at a mortuary to find that the bodies for examination have already been opened and the organs removed is evidently still widespread from the data in the study. Nearly all the advisors, irrespective of profession, found this practice poor, as it has the potential to remove significant indicators of certain pathological conditions and thus reduce the quality of the autopsy and its report. The possible reasons for the practice have been adduced, the main one probably being pressure of time on the pathologist. Like the non-opening of the head in many cases, this issue requires a wider discussion amongst all the interested parties. Unlike the head issue, however, the only proper conclusion should be that it should cease and that the pathologist must be present when the autopsy commences.

Finally, much comes down to communication between coroners, their officers, and the pathologists they request to undertake autopsy examinations. As well as the often defective information provided by the former, there is no uniform standard regarding what the pathologist is, or is not, expected to find out for him/herself regarding previous relevant medical or scene of death history. As evidenced from the detailed histories and clinicopathological summaries in some reports, there is a body of pathologists who are committed to performing at a high level, and answering all the questions raised by a death. And there are others whose performance level appears to be more perfunctory. The implicit and overt expectations of those who request and those who perform autopsies must be relevant here, and fundamentally reflect the basic ambiguity: what is the coronial autopsy for, and who are the interested parties?

## 4. Overview and discussion

### Future practice

A start to improve future practice has been made. There was the production of the Position Paper 'Reforming the Coroner and Death Certification Service' in 2004<sup>5</sup>. In mid-2006, a draft Bill on reform of the coronial system was promulgated<sup>6</sup>, which should become an Act and pass into practice in 2008 or 2009. It is hoped that widespread consultation among all the interested parties takes place, to address in particular the question of the required level of quality for an autopsy service, and for this to be adequately resourced and funded. In the view of NCEPOD, it is regrettable that reform of the death certification system has not been included alongside coronial reform. How causes of death are considered and which deaths are referred to a coroner are two sides of the same coin. To improve the management of death requires improvement of both aspects.

In the meantime, there could be a more standardised approach supported by audit. And parts of the existing legislation could be utilised more to enhance the quality of autopsies and their reports. For example, Coroners Rule 6 states that the examination should be made "by a pathologist with suitable qualifications and experience and having access to laboratory facilities". This permits specialisation, whereby particular types of cases are examined by those who have more knowledge and experience of the types of pathology found in a particular scenario of death. It already happens for forensic (suspected homicide) cases and, increasingly, paediatric cases. It also increasingly happens with maternal death although there was none in this study sample. The Confidential Enquiry into Maternal and Child Health has consistently argued for specialist pathologists to perform these often difficult cases<sup>7</sup>. The data from this study show that specialist neuropathologists and paediatric pathologists achieved higher quality ratings than other pathologists. Other categories identified that could benefit from more specialist input include sudden unexpected cardiac death which might be inheritable cardiomyopathy, epilepsy, and illicit drug-related death. Secondly, under section 20 of the Coroners Act, 'special examinations' by pathologists who possess 'special qualifications' may be requested to undertake autopsies that require further analysis of samples from the body, such as histology and toxicology (see Glossary). This also supports the concept of increasing specialisation.

Costs have been alluded to in this report. Not only are there costs for histopathology and other analytical investigations, there are also the basic fees paid to pathologists for performing the autopsies and providing reports (see Glossary). These come out of local authority budgets, and naturally there is pressure to contain costs. The expansion of specialist autopsies as indicated above, though beneficial to families and national statistics, will cost more than the present pattern of coronial autopsies. A second future cost pressure that follows from the Recommendation on continuing professional development (CPD - below) would be the time allotted, as 'supporting activity', for pathologists to undertake CPD on autopsy practice. This would be borne by trusts in the case of hospital-employed pathologists. Many of the recommendations contained in this report have increased cost implications, and cannot take place just by expecting pathologists and coroners to modify their behaviours. The local authority and trust budgets need to be factored into desired improvements in the coronial autopsy system.

As several pathologists and coroners have commented when considering the variable quality of the autopsy process: "What do you expect for £87.70?" (the current fee for a standard autopsy without further investigations).

### Continuous professional development for pathologists and coroners

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This is the first time that coronial autopsy reports have been reviewed by the two interested professional groups in conjunction. The advisors met on many occasions in varying combinations of pathologists and coroners, to assess the cases in the study. After the first few sessions, all volunteered the opinion that the exercise of reviewing the reports of a wide range of pathologists covering a wide range of cases was professionally very helpful to them. The consultant pathologists realised what a wide range of approaches other consultant status colleagues utilise, some poorer and some better than their own practice; and all indicated that they would change their autopsy examination and report standards immediately, to improve their own practice. The SpR pathology advisors learned how varied their seniors could be when examining a range of death scenarios. The coroners observed autopsy reports that had been accepted and acted upon by other coroner colleagues, of a wide range in quality, and learned that there are many ways of producing results that support (or not) the given causes of death; they indicated they would be more questioning of their local pathologists in future. Thus reviewing this material is an excellent form of training and of continuing professional development. It should become part of pathologists' and coroners' training exercises and, once in post, regular mutual audits within departments or between jurisdictions carried out, in order to maintain the critical attitude and high professional standards.

This supports one principal recommendation; that regular audit of autopsy reports should be introduced nationally. With agreed national standards of what should be contained in such reports, this will both reduce the great variation and raise the mean standards of quality.

### Autopsy training and histopathology

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With the decline of the consented autopsy, the bulk of autopsy experience for those in pathology training comes through coronial work. During training, the numbers of autopsy cases actually performed by trainees is variable; the RCPATH sets a minimum of 100 cases in the guidelines for those preparing to sit its part 2 (final) MRCPATH. It is uncommon for trainees to have sole responsibility for a coronial autopsy case, yet once they have achieved consultant status, they may be expected to undertake a variety of cases, and may have a sharp learning curve.

A joint statement from the Coroners' Society of England and Wales, and the Royal College of Pathologists summarised the need for autopsy experience through coronial work and made particular note of the responsibility of the supervising consultant who is accountable for the case<sup>8</sup>. Most autopsy training is now, of course, based on coronial autopsies, as consented autopsy numbers have declined. Reports from the histopathology training committees indicate that in most of England and Wales, access to such cases is satisfactory. However, in London and the south-east there are significant problems, mainly because of the preponderance of public mortuaries which are not affiliated to hospitals, and therefore not used for training.

A related issue is taking tissue for histopathological examination when it is critical for diagnosis. As the study showed, many cases could have been better evaluated had histopathology been performed. Factors acting against the taking of samples include:

- cost;
- lack of need if an acceptable registerable medical cause of death can be stated, even if it is not the most accurate cause of death;
- the workload implication for the coroner's office in communicating with the next of kin.

The practical outcome is that, as demonstrated in the study and contemporary surveys, there is less tissue analysis being done. In consented autopsies there is usually permission given for microscopic examination of all relevant organs; but such cases are much less common than they were. How are trainees going to get experience in the detailed analysis of tissues from autopsy, so that when it matters, they can make a considered opinion on the findings and therefore on the cause of death? This also needs a more widespread consultation, including the public who should be informed of the importance of taking tissue samples, and retaining organs when appropriate (whether for diagnostic, academic research or future teaching purposes), so that the results of the examinations on their relatives can be as complete as possible. Accuracy and quality may be compromised by not taking tissue samples; and when this is made clearer, NCEPOD believe that the public would support more such investigations.

### **Impact of existing autopsy practice guidelines on performance**

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Since there have been concerns about coronial autopsy practice going back to at least 1970 as highlighted in the Brodrick Report<sup>9</sup> (long before 1989 when NCEPOD started reporting), why is it that there has not been a systematic improvement in the quality of autopsy reports and diagnoses?

The Royal College of Pathologists is an advisory body, not a statutory regulatory body like the General Medical Council. For more than a decade it has produced guidelines on autopsy practice and autopsy report depiction. They are descriptions of an idealised best practice, aimed towards fulfilling the stated objective of addressing all issues raised by a death; they have never been endorsed by the coronial system of England and Wales. There is no statutory obligation for pathologists in the UK, some of whom will not be members or fellows of the RCPATH anyway, to read, let alone follow these recommendations. There is no obligation on the part of coroners to demand autopsy performance and report quality to these levels. All this reflects a lack of audit of the product of the autopsy. Pathologists (in the UK at least) pass a difficult exam, of which the autopsy component has become more stringent in recent years<sup>8</sup>. With reinforcement they maintain standards, but without a defined set of quality demands and standards against which to match practice, it is easy to cut corners in diagnostic accuracy. UK based pathologists will doubtless wish to maintain the high standards to which they were trained, but extraneous factors including the lack of an agreed set of quality standards, an absence of audit, poor resources and limits imposed by others makes it all too easy to allow standards to fall so that the fullest outcomes from autopsies are not realised.

This NCEPOD report goes beyond all the previous reports that have considered autopsy reports, from both coronial and consented autopsies. It has a wider remit in considering all deaths, not just those in hospitals. For 15 years, the NCEPOD reports have exhorted the performance of more autopsies, better communications with clinicians, better consideration of medical interventions in leading to death, better examination of the body and organs, more histopathology studies, more clinicopathological correlation evaluation, and better statements on causes of death. There have been some notable improvements documented, from analysis of in

hospital perioperative deaths: a trend of following the guidelines on autopsy reports after 1993, and the greater inclusion of operation data in cause of death statements. But the numbers of reports that are categorised as poor or unacceptable does not seem to have changed, and the restrictions on diagnostic organ retention and taking tissue samples have increased judging from the current sample.

It is NCEPOD's belief that at least some of the reasons for this include the lack of unitary oversight on death certification and coroners, with no single department or body taking responsibility and accountability. Indeed, the service is part local and part national. Pathologists, for the most part, operate within the NHS. Coroners are appointed by local authorities, answerable to the Lord Chief Justice and, to the extent that they are managed at all, come under the Department of Constitutional Affairs. The Registrars of Death answer to the Office of National Statistics which in turn comes under the aegis of Her Majesty's Treasury. With such disparate and fragmented systems, it is perhaps small wonder that bringing about effective change is so difficult. However, perhaps a more fundamental issue concerns the role of the autopsy in health care and the reasons for the decline in the consented autopsy. Issues such as the Bristol and Alder Hey inquiries, the Shipman case and a general diminution of trust in medical professionals by the general public have all played their part in refusals of consent for autopsy (or is it that clinicians are now too timid to ask for a consented autopsy?). If the future of the consented autopsy is a bleak one, and the sole means of audit of death certification is to be the coroner's autopsy, it is important for the necessary statutory provisions to be enacted to make this 'fit for purpose' and effective. What is not appropriate is to criticise the present coroner's system for failing to provide that for which it was never intended or designed.

## 4. Overview and discussion

### Final conclusion

NCEPOD has reviewed a medical process - the coronial autopsy - which affects more than one fifth of the population when they die. This process has not been reviewed on this scale and in this systematic way before, and the conclusions are evidence-based. A significant proportion of the process is well done in terms of the quality of the autopsy reports, but it is imperative that improvements be made so that the service becomes high quality. It is evident that the coronial system, as presently constituted, cannot bear many of the expectations placed upon it.

The recommendations, if implemented, will effect positive changes for the coronial system, the pathologists working within it, and bereaved families. Since about half of all deaths referred to a coroner result in an autopsy, these changes are central to any reform of the whole system. Public money is going to continue being spent on the investigation of death, and probably the total costs will increase. The public deserves value for money.

Reform requires a consideration of the purpose for which these autopsies are performed, as well as an overhaul of the whole system, with the introduction of audit and accountability. The incorporation of pathology training needs to be more formally addressed since this is critical for future practice. Such changes involve a national debate among all the interested parties and will necessitate statutory requirements: fundamentally, what level of quality in the coronial autopsy service does the public want? Is it right that the coroner's autopsy should have a broader purpose than at present and, in effect, take over the role of the consented hospital autopsy? If so, can the system be made to work efficiently if there is not a single system of oversight to replace the present disparate and fragmented operations? Is the public willing to accept the necessity for autopsy as a means of audit, research and teaching? And what is the public prepared to pay for this through taxation? Improvements to health and other services usually cost money, and the recommendations in this report, which particularly imply more time spent on each case by a pathologist, have resource implications.

Reform of the coronial system is promised. NCEPOD hope that this report will be widely read and make a significant contribution to the debates that will ensue with the passage of legislation through Parliament. It is critical that the broadest possible discussions take place as death affects all families.



## 4. Overview and discussion

### Principal recommendations

*Government should consider and agree the fundamental purposes of the coronial autopsy. An ideal opportunity exists to do this during the passage through Parliament of the Bill for reform of the coroner's system as recently announced.*

*There should be nationally uniform criteria and standards for investigation of reported deaths. This includes the diagnostic level of investigation at autopsy and the definition of what a postmortem examination comprises.*

*There should be regular (independent) peer review of coronial autopsy reports and processes to maintain consistency of agreed standards and accountability, and all pathologists and coroners - in training and as continuing professional development - should review the autopsy reports and related documents of their peers.*

## 4. Overview and discussion

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# Appendices

## Mortuary facilities

Each mortuary contact was sent an organisational questionnaire for completion. The aim of this questionnaire was to provide NCEPOD with information on the facilities available for pathologists performing postmortem examinations. A copy of this questionnaire can be found as an appendix.

Two key issues that emerged from the organisational questionnaire were related to health and safety and clinical pathology accreditation (CPA) and these are discussed in detail throughout this section.

### Age of mortuaries

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The median age of the mortuaries was 25 years (in terms of time between the mortuaries being built and refurbished). The median length of time between 2005 and the date of the last refurbishment was seven years (Table 1).

<b>Table 1: Age of mortuaries</b>		
	<b>Age of mortuaries, between being built and being refurbished (years)</b>	<b>Length of time from last refurbishment (years)</b>
Median (range)	25 (1-131)	5.5 (0-26)

The age of the mortuary was only calculated where both the date of being built and refurbished was given (73/193); these data do not include sites that did not supply a date of refurbishment (and/or newly built mortuaries). The length of time from last refurbishment was calculated in the 59% (114/193) of mortuaries that supplied the data.

### Facilities

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The mortuary contacts were asked to provide details about the facilities available to them. Seventy five percent (144/193) of the mortuaries were said to have access, or ready access, to x-ray equipment. Of the 49 mortuaries that were reported as not having access to this equipment, 22% (11/49) were local authority mortuaries. Assessment of skeletal injuries may require such examinations, but from this study it was not possible to assess whether lack of radiology impacted on the quality of the autopsies and reports.

All mortuary contacts reported that pathologists had access to an electric skull saw. In 95% (182/192) of mortuaries lighting was described as adequate (one questionnaire remained unanswered) and in only 67% (128/192) of mortuaries were additional spotlights available for use. The median number of tables available for eviscerating bodies was three, (range 1 - 11).

Most mortuaries, 93% (179/192) had separate organ dissecting tables and 92% of mortuary contacts (177/193) reported that there was a separate office area to write up or dictate notes.

Moving air ventilation with temperature control was reported to be present in 79% (151/191) of mortuaries (two questionnaires unanswered). This is of concern as good ventilation is an essential requirement for safe working in a mortuary. The NHS Estates publication, 'Facilities for mortuary and post-mortem [sic] room services', states that adequate ventilation is essential to minimise offensive odours and the risk of infection by contaminated airborne droplets, with air movement from clean to dirty areas where possible<sup>1</sup>. The process of clinical accreditation can assist in addressing these structural issues, and is a further reason why all mortuaries should be accredited, which is discussed in detail later in this section.

Only 65% (125/193) of mortuaries were reported as having viewing facilities for clinicians. The NHS Estates 'Facilities for mortuary and post-mortem room services' handbook<sup>1</sup> describes an observation area as being physically separate from the autopsy room, which is for clinical staff to observe an autopsy. This is not to be confused with the body viewing suite, which is used by relatives.

When examined by mortuary type, only 38% (6/16) of local authority mortuaries had viewing facilities for clinicians compared with 67% (118/175) hospital/combined mortuaries. Obviously, if the pathologist wishes to demonstrate and discuss an autopsy with the relevant clinician (or coroner), adequate viewing facilities are essential. As mortuaries are upgraded, making provision for this should be part of the refurbishment.

### Protocols

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NCEPOD requested information on whether written protocols were in place for autopsy performance. Ninety three percent (178/192) of mortuaries were said to have written protocols in place (one questionnaire unanswered). Of those mortuaries, 84% (149/177) reported they applied to both pathologists and APTs; 15% (26/177) to APTs only; and 1% (2/177) to pathologists only (one questionnaire unanswered).

The study did not investigate further into what the protocols contained and how detailed they were.

### Workload

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Mortuary contacts were asked how many coronial autopsies were performed in the mortuary between 1st April 2004 and 31st March 2005.

<b>Table 2: Number of coronial autopsies performed in the mortuaries</b>	
	<b>Total</b>
Median (range)	472 (4-2017)

These data were then recoded to give an estimate of the workload of the mortuary. Mortuaries were assigned to one of four groups depending on the number of autopsies performed over a one year period. The groups were less than 52 a year, (less than one a week); 53 - 520 a year, (10 a week); 521 - 999 a year; and more than 1000 a year (Figure 1).

**Figure 1: Mortuary workload**



These data show that on average 10 autopsies a week were performed in mortuaries, (98/193); however there were 4% (8/193) where less than 52 a year were performed.

Further analysis compared mortuary workload and mortuary type. It was reported that in 92 of the 175 (52%) hospital/combined mortuaries, between 53 and 520 autopsies were performed per annum; this was closely followed by 66 of the 175 (38%) hospital mortuaries where it was reported between 521 - 999 autopsies were performed per annum. It was stated in a majority of local authority mortuaries, 50% (8/16), between 521 - 999 autopsies were performed per annum. Interestingly it was stated in 13% (2/16) of local authority mortuaries more than 1000 autopsies are performed per annum.

### Age of cases accepted for examination

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It was stated that in 32% (62/193) of mortuaries autopsies were conducted on all age groups, in 24% (47/193) on adults only and 21% (40/193) on those aged six or over only. In the remainder of mortuaries a number of different combinations of age groups were autopsied.

### Staffing

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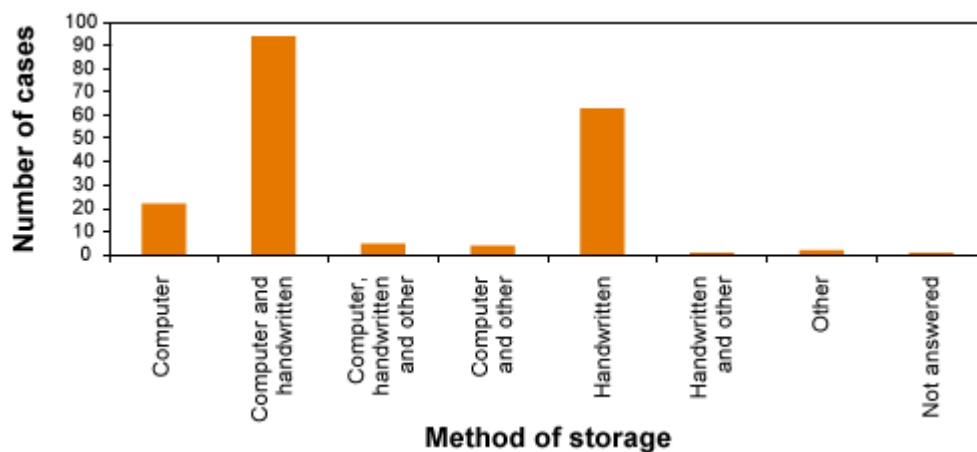
The organisational questionnaire reviewed staffing within mortuaries (Table 3). As the table shows, the number of coroners using the mortuary ranged between 1 and 30. Thirty is thought to be a high number and when the median is examined, it shows a much lower figure. It may have been that the mortuary accepting requests from thirty coroners accepted specialist autopsies, which would account for the much higher than average number of coroners who used the mortuary. In terms of missing data, 100% of respondents gave data on the number of pathologists using the mortuary, 97% (187/193) gave data for the number of coroner's using the mortuary, and 99% (192/193) gave data for the number of APTs assisting with autopsy examinations.

Table 3: Staffing			
	No. of pathologists using mortuary	No. of coroners using the mortuary	No. of APTs and other mortuary staff (whole time equivalent) assisting with examinations
Median (range)	10 (1-45)	2 (1-30)	2.3 (0.1-9)

### Record keeping

All but one mortuary contact reported that they kept a record of the autopsies performed in their facility. Of these, in most mortuaries, 49% (94/191) of records were stored on both a computer and as a handwritten record (Figure 2). Other modes of storage included copies of the autopsy report; a log book or mortuary register; CD Rom; photocopy of cause of death, or the pathologist kept a record of the report.

**Figure 2: Storage of records**



### Health and safety

Health and safety concerns over the care of the deceased and the autopsy feature prominently in mortuary management. There are infectious hazards in bodies, from those which are known to harbour a serious communicable disease as well as the others that might do so but have never been tested in life. Protection of health care workers - pathologists and APTs - is a prime concern. This is reflected in the many guidelines and protocols provided by professional bodies and government related bodies on appropriate clothing and personal protective equipment to be used in the examination of the dead<sup>2,3</sup>. The organisational questionnaire provided information on some aspects of such protection and also gave insight into how mortuaries perceive risk of infection, and what they do about it.

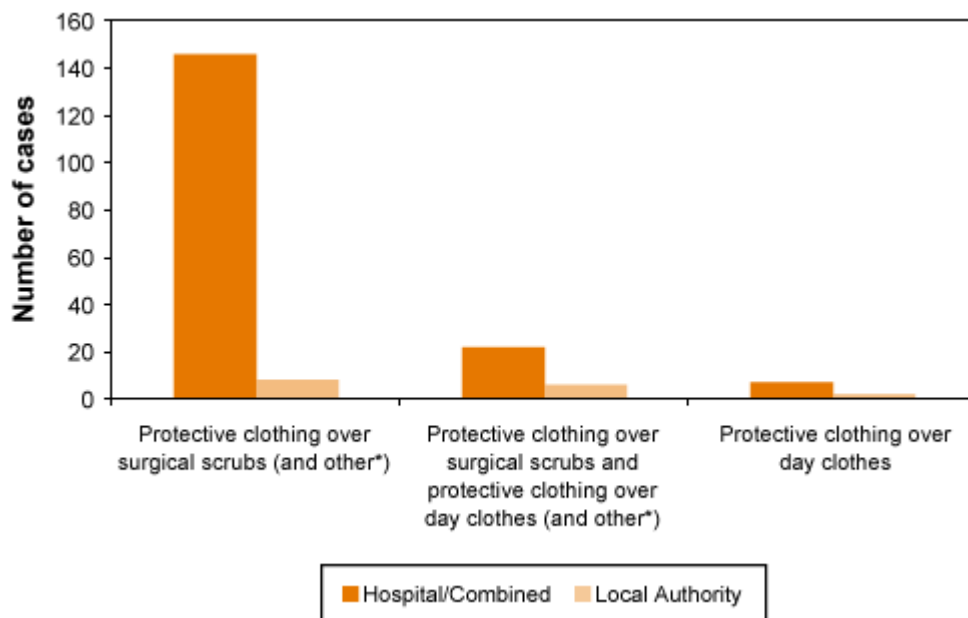
### Pathologists' clothing

What pathologists and APTs wear whilst at work in mortuaries has changed significantly over the last 30 years. Once it was traditional to wear a cotton gown (not waterproof) over ordinary

day clothes, and replace shoes with rubber boots. As hygiene has improved across all aspects of medicine, so have standards of protective dress in mortuaries been modified. Mortuary contacts were asked what clothing the pathologists wear when performing autopsies. Options were protective clothing over surgical scrubs (i.e. cotton shirt and trousers, after removing day clothes), protective clothing over day clothes, or other. The study did not enquire into the protection of hands, face and eyes whilst performing autopsies.

In 81% (156/193) of mortuaries, the pathologists wore protective clothing over surgical scrubs. That is not 100% and it is not thought to be due to lack of facilities as in 99.5% (192/193) of mortuaries, it was reported there was on-site shower facilities and changing rooms. When looking at the breakdown between hospital/combined mortuaries and local authority mortuaries, in 38% (6/16) of local authority mortuaries the pathologist sometimes wore protective clothing over day clothes, and in 13% (2/16) of local authority mortuaries, always protective clothing over day clothes (Figure 3). Autopsies are often dirty, infective and odorous, and so it is important that all workers are protected (and their outside day clothes) by adopting appropriate standard dress.

**Figure 3: Mortuary type and clothing worn by pathologists**



\*Other includes face masks, disposable suits, full face visors and comments indicating that it "varies with pathologists".

### Recommendation

*Pathologists should wear protective clothing over appropriate scrub suits, not over their day clothes.*

### High risk autopsies

Since 1991 there has been national guidelines from the Health Services Advisory Committee on protection against infection risks from bodies<sup>3</sup>. The RCPATH Guidelines on Autopsy Practice (2002) include an extensive section on risk reduction when examining bodies with serious communicable diseases, particularly tuberculosis (TB), Hepatitis C (HCV), Human

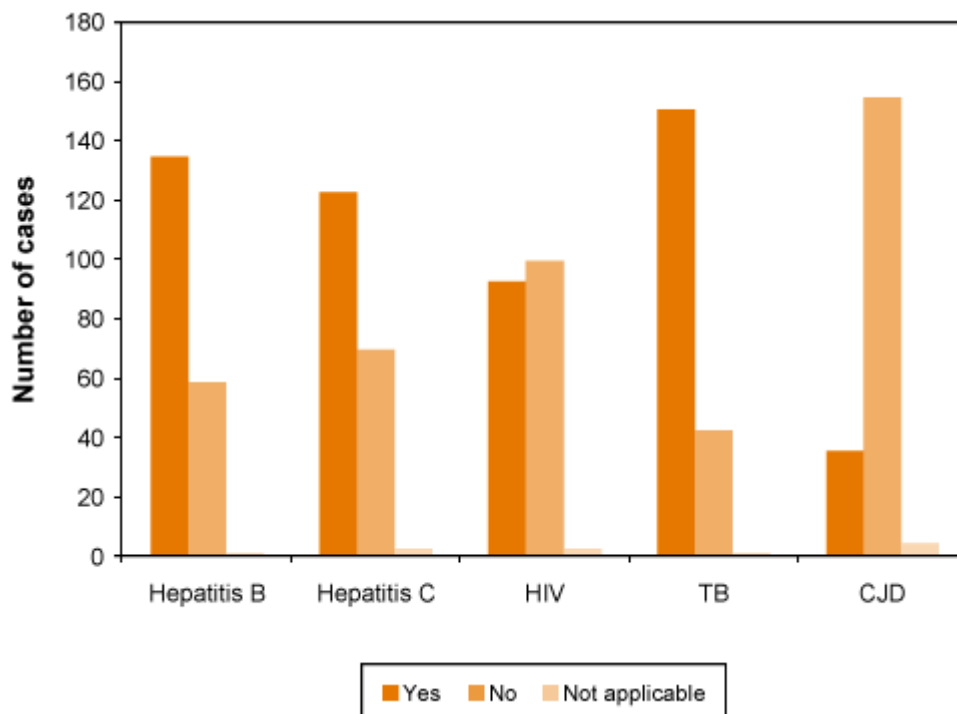
Immunodeficiency Virus (HIV) and suspected Creutzfeldt-Jakob Disease (CJD); risk reduction because it is impossible completely to eliminate such hazards in practice.

It is realised that mortuaries vary widely in their capacity and willingness to undertake autopsies on bodies that pose a real or perceived infection risk. The coroner advisors were aware that within their jurisdictions some mortuaries will not accept high risk cases, and this causes problems when such a case needs an autopsy, with contacts needing to be made with other mortuaries and pathologists that will accept the case.

Many mortuaries accept all such cases, the only exception being known or suspected Hazard Group 4 infections (viral haemorrhagic fevers) which, fortunately, so rarely present in the UK as not to be a significant problem<sup>4</sup>. However, the pathology advisors were aware of mortuaries that refuse to examine bodies that are possibly infected including hepatitis B infection (HBV). This is paradoxical since all health care workers are vaccinated against HBV and are required to demonstrate a satisfactory immune response (and the vaccine is truly protective). Coroners are aware of this variation and often resort to sending bodies to a mortuary outside their jurisdiction in order to obtain an autopsy examination on an infected body (which is in fact contrary to the requirement outlined in Section 22 of the Coroners Act 1988, which states that a coroner may order removal of the body to any place wither within his district or within an "adjoining" district). There appears to be no standard governance approach to whether mortuaries accept or refuse work on infected bodies.

NCEPOD asked whether mortuaries accepted cases for autopsy that were considered to be infected with a range of infectious risks, and whether they had protocols to cover the autopsy process (Figure 4).

**Figure 4: High risk infectious autopsies**



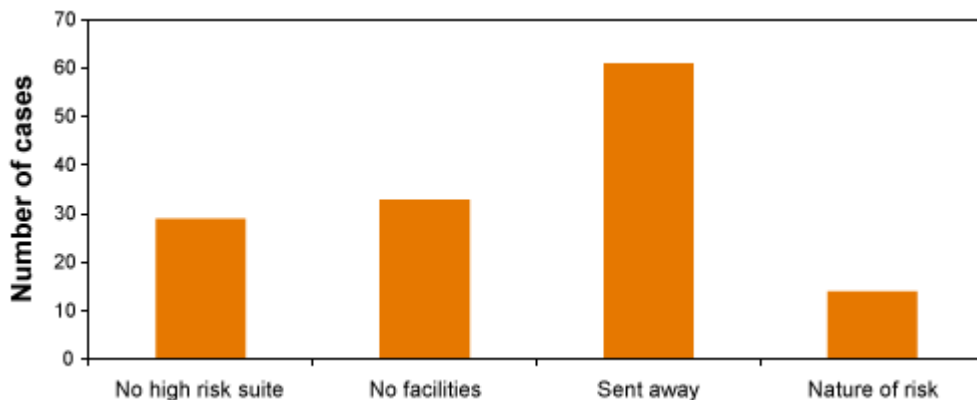
These data are remarkable. Only three quarters of the mortuaries (150/192) would perform autopsies on persons suspected or known to have tuberculosis; for hepatitis B and C infections, the proportions are only two thirds (134/192 and 122/191 respectively). Under half of mortuaries (92/191) would examine those with HIV, and only one fifth (35/189) would examine a person



with known or suspected CJD. Of those mortuaries that do carry out infectious autopsies, a majority had written protocols in place, (Hepatitis B 96% (122/127); Hepatitis C 96% (111/122); HIV 95% (83/92); TB 94% (136/150); CJD 97% (34/35).

The organisational questionnaire examined why high risk infectious autopsies were not performed. This was a free text field; however answers could easily be grouped into four categories: No facilities in general, specifically no high risk suite, and the nature of the risk (Figure 5). The fourth response as to why high risk autopsies were not performed was "all infected bodies sent away to another mortuary". It was not possible to elicit why this was the case. One hundred and thirty-seven respondents provided data for this field.

**Figure 5: Why were high risk autopsies not performed?**



Case study 24 indicates the effect of a perceived risk of infection

#### Case study 24

*Young adult, a 'known drug addict'. Found dead at home. In the supporting documents, the statement (to coroner from his officer, presumably): "please authorise post mortem[sic] so we can also test for HIV, Hep B, Hep C" [these turned out to be negative].*

*The autopsy was limited; no organs were removed separately or weighed. No gross disease in heart, lungs or abdomen was found. There was no skull fracture or bruise of scalp and, "in view of the available history, it was elected not to examine the brain".*

*Toxicological studies showed significant blood levels of alcohol and methadone, which were given as the cause of death. The advisor noted that fear of infection has drastically and unnecessarily limited the scale of the autopsy, and that the brain could have shown significant pathology.*

In 45% (61/137) of the mortuaries that did not accept high risk autopsies, high risk cases were sent away to another site to be performed. Of those autopsies sent away, it was found that 66% (40/61) made a specific reference to CJD. Under the 'nature of risk' category, it appeared to be a fear of contracting an infection that was the driver, these being typical comments as free text:

- "risk to staff";
- "these cases are considered to be too high risk";
- "unnecessary risk";

- "pathologist does not do infectious autopsies".

The implications are interesting. It means that the mortuary staff - pathologists and APTs - have an option to refuse to do certain requested work on grounds of risk to health. There is the expectation that other units will pick up the work, even if it means transporting a body across more than one coronial jurisdiction boundary (which is not permitted under section 22 of the Coroners Act), with the inconvenience and expense entailed. In certain cases, there will be good reasons for such transfers, the main one being suspected CJD as the brain histopathology will have to be examined by a neuropathologist for confirmation of the disease. But for HCV and HIV infections there is no such requirement. For HBV it is extraordinary that mortuaries can refuse this work: all health care workers likely to be exposed to HBV are vaccinated. Does it indicate that they do not believe that the vaccine works, and that the managers of the mortuary do not feel empowered to enforce the employees to undertake requested work that is risky?

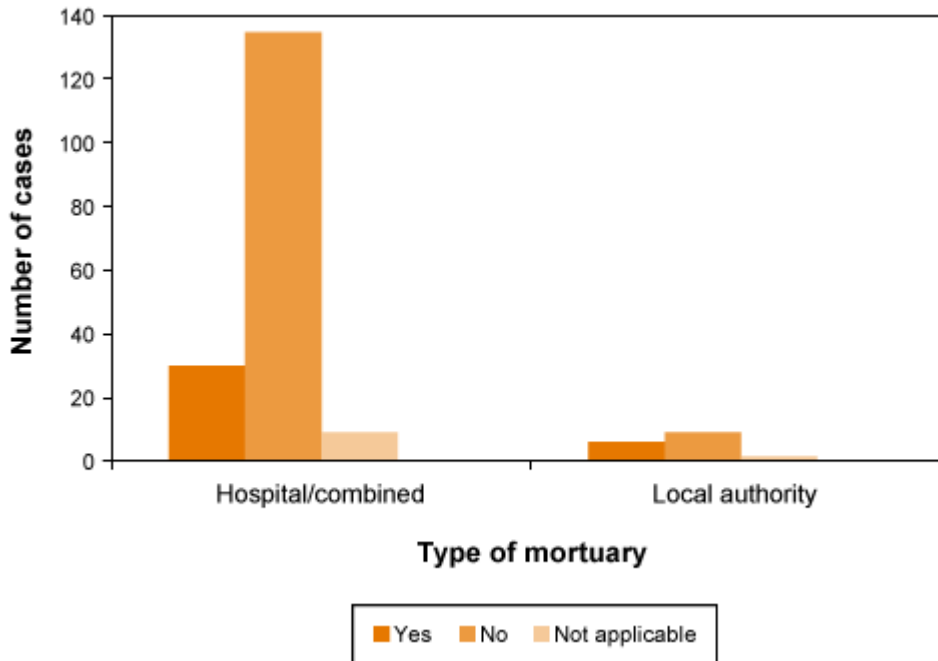
The actual risk to staff in the mortuary of acquiring these infections in the course of work is, from all observational reports, minute and orders of magnitude smaller than the daily risks of travelling to and from work<sup>5</sup> There are well publicised protocols for risk reduction and for post exposure prophylaxis where this is appropriate. It thus appears that pathologists do not feel obligated under the same GMC requirements for good medical practice as surgeons are: a surgeon cannot refuse to undertake an operation on a person with known or suspected HIV or HCV infection for fear of infection; he/she takes appropriate precautions and does the procedure. It further implies that coroners do not feel empowered to insist that the pathologists and mortuaries use or adopt appropriate precautions, which may also involve some upgrading of the mortuary, and take infectious cases. Finally, it is always noted that the infectious cases that are known to be such are only a fraction of the actual infected cases since many significant infections are not diagnosed in the life time of a patient, and postmortem testing for hazardous infections is not routine.

The study did not ask about pre-autopsy blood testing for possible serious communicable diseases (the usual protocol includes hepatitis B, hepatitis C, and HIV). That there is considerable variation in practice regarding the need for pre-autopsy testing is known to the advisors. The subject is discussed in the RCPATH 2002 Guidelines, where the recommendation is that pre-mortem testing is not necessary; universal precautions are the best practice, with infectious disease tests following where appropriate to identify the correct cause of death, or exclude a significant infection. It is time that this issue is grasped at the national level and a uniform approach followed.

The organisational questionnaire examined whether mortuaries had separate suites or rooms for high risk infectious autopsies. In comparison between hospital/combined mortuaries and local authority mortuaries and the availability of a high risk suite/room, 38% (6/16) of local authority mortuaries had access to a separate high risk autopsy suite compared to only 17% (17/174) of hospital/combined mortuaries. In both local authority and hospital/combined mortuaries, a majority did not have access to separate high risk autopsy suites, (56% (9/16) and 77% (135/174) respectively) (Figure 6).

The Health and Safety Advisory Committee (HSAC) 'Safe working' document<sup>3</sup> notes that, for infectious bodies, a separate high risk suite is 'ideal' but it is not essential, as there are many protocols in place to cover pathologists performing high risk autopsies, as well as many precautions that can be taken, such as wearing appropriate hand, face and respiratory protection.

**Figure 6: Type of mortuary and availability of high risk infectious autopsy suites**



These data are interesting in the light they shine on variation in practice across mortuaries. Some of this is due to strictly inadequate mortuary facilities, i.e. the premises require refurbishment to modern standards (and failure to obtain clinical pathology accreditation (CPA) in a hospital pathology department is frequently due to the poor condition of the mortuary there). But a strong factor is the unwillingness of staff - APT and pathologist - to work on bodies of patients with known or suspected serious communicable diseases. To what extent this matters in terms of quality overall is not certain. The study identified only a little evidence of altered practice because of fear of risk, and the sending away of infected cases to other mortuaries may well have a positive effect as they will be done in centres that are experienced in the type of case.

Because the study did not inspect the protocols that govern individual mortuary management, it is not known how formal are the arrangements for accepting or not accepting infected bodies for individual mortuaries. NCEPOD would suggest that each mortuary review its practices for dealing with such cases in the context of local demand and resources, and make formal agreements with all the interested parties, which includes the coroners and the other units to which cases may be sent.

It is evident from the data in this study, supporting previously known information, that there is no rational national approach to known or suspected serious communicable diseases in cadavers that are scheduled for an autopsy examination. The issues of pre-mortem infection testing, acceptance by mortuaries of infected cases, and the protocols for performing the autopsies need addressing, so that a national standard operates. This can include referring certain cases to specialist centres. Coroners and their officers are central to the process because they request the majority of autopsies on infected bodies.

## Recommendation

*The approach to infectious disease management in mortuaries should be reviewed and standardised*

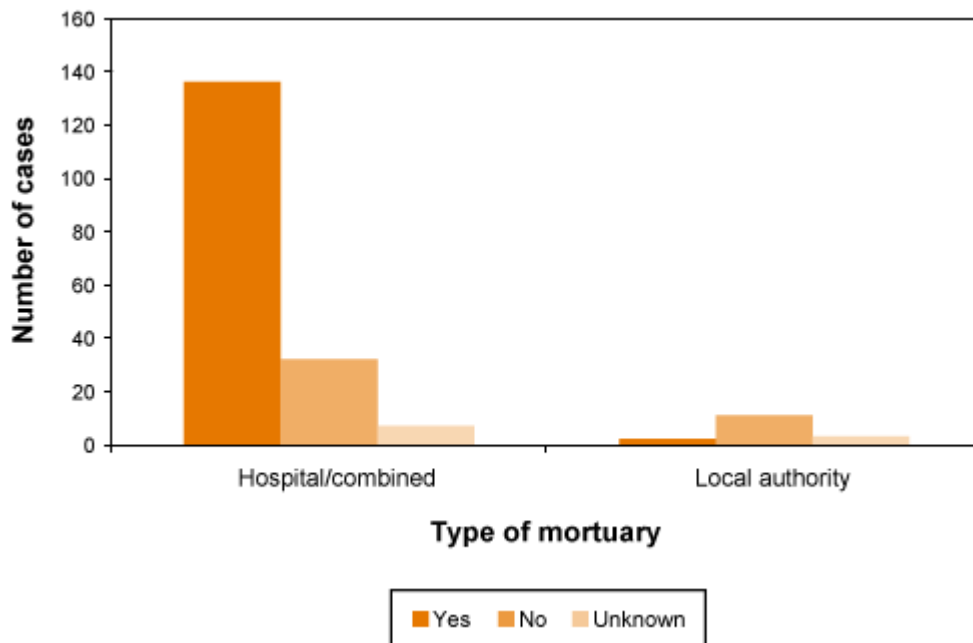
### Clinical pathology accreditation (CPA)

All laboratory facilities in health services, and this includes mortuaries, should function to certain standards of operation, and the process of inspecting and certifying that these standards operate is through a clinical pathology accreditation organisation. The standards cover all aspects of the work undertaken in the facility. In the UK, the most well known such organisation is Clinical Pathology Accreditation (UK) Ltd.

Seventy seven percent (140/183) of mortuary managers reported having clinical pathology accreditation (CPA). Twenty three percent (43/183) reported not having CPA and the remaining 10 did not know whether or not the facility had CPA. Although reasons as to why mortuaries did not have CPA were not requested, some comments were given as additional information, these included, "CPA accreditation pending" and "in the process of applying for CPA".

NCEPOD also examined whether there was a difference in having CPA between hospital/combined mortuaries and local authority mortuaries (Figure 7). As the graph demonstrates there were more cases of local authority mortuaries 69% (11/16) that did not hold clinical pathology accreditation or equivalent than in hospital mortuaries 18% (32/175). It was acknowledged that CPA Ltd (UK) presently do not accredit non-NHS mortuaries.

**Figure 7: Mortuary type by whether or not the mortuary is accredited with CPA**



Mortuary workload and CPA were also examined (Table 4).

Table 4: Workload by whether or not the mortuary has CPA				
	Mortuary size			
	<=52	53-520	521-999	>=1000
Yes	7	70	55	8
No	1	24	15	3
Unknown	0	4	6	0
<b>TOTAL</b>	<b>8</b>	<b>98</b>	<b>76</b>	<b>11</b>

Over 70% of mortuaries in each workload category (<52, (7/8); 53-520, (70/98); 521-999, (55/76); >1000 (8/11) had CPA but 27% (3/11) of mortuaries performing over 1000 autopsies per annum did not have accreditation.

The point about accreditation is that to attain the status, a unit (laboratory or mortuary) has to investigate, document and audit all its procedures against a set of generic standards in eight sections<sup>6</sup>:

- Organisation and quality management system;
- Personnel;
- Premises and environment;
- Equipment, information systems and material;
- Pre-examination process;
- Examination process;
- The post examination phase;
- Evaluation and quality assurance.

The standards against which units are measured are not generated by the clinical accreditation organisation but are derived from widely available best practice, health and safety, staffing levels, management, and many other standards. This is an intensive process that forces the management and health care workers within the unit to see whether they match up to the appropriate best standards. Inevitably it carries a financial cost, which may inhibit some mortuaries unattached to histopathology departments in hospitals from applying for accreditation. In the context of the hitherto unaudited process of the coronial autopsy process, systematic mortuary accreditation can only raise standards across several of the areas that have been highlighted in this report.

In future, all mortuaries, hospital and local authority, that perform autopsies and store human tissues will be required to have a licence from the Human Tissue Authority (HTA)<sup>7</sup>. There will be governance requirements particularly concerned with documenting tissues removed from bodies and their ultimate fate and disposal. This is a narrower remit than the standards for clinical pathology accreditation. It is not yet clear how the accreditation and licensing processes will interact; but the accreditation general standards will remain important and relevant to autopsy practice.

## Recommendation

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*All mortuaries should be quality accredited.*

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# Appendices

## Glossary

### Pink Form part A

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If a case is referred to a coroner and the coroner does not consider it to require further investigation (including autopsy), as it is evidently a natural cause of death, the referring doctor formulates the cause of death on a standard death certificate form to be presented to the Registrar of Births & Deaths. The coroner issues a Pink Form part A to notify the Registrar of the discussion, and this form usually re-states the agreed cause of death.

### Pink Form part B

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If a referred death is accepted for investigation by a coroner and the autopsy provides a natural cause of death (i.e. an inquest is not required), the resulting cause of death is presented by the coroner for the Registrar of Births and Deaths on Pink Form part B. The coroner, in these cases, adopts the pathologist's formulation of the cause of death without amendment.

### Natural cause of death

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This does not have a statutory definition (and nor does 'unnatural' cause of death) but is a major focus of coronial activity since a natural cause of death, once identified, does not require further investigation on the part of the coroner. By tradition and from the Coroners Act 1988, a natural cause of death follows natural disease and it excludes trauma or violence, third party involvement, accident, suicide, death following industrial disease or poisoning.

A significant problem lies with deaths following medical interventions (e.g. drug therapy, surgery) - are they natural or unnatural, since the medical intervention may be considered a third party involvement? For elective and emergency operation the mortality rates that are acceptable as a reasonable (i.e. natural) consequence, requiring no further investigation on the part of a coroner needs to be considered. And finally, questionable lack of, or delay in, instituting treatment for natural diseases, is a problem area. There is no consistency across the coronial system in the interpretation of these issues.

### Medical certificate of cause of death

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This is a written statement of the cause of death. By convention it is structured into two parts, Part 1 and Part 2. Part 1 depicts the sequence of clinico-pathological events that resulted in death, starting (line 1a) with the final event (e.g. pneumonia; pulmonary embolism), then presenting in lines 1b and 1c (if used) the underlying pathological condition (e.g. cancer of the lung; heroin toxicity). The last line used in Part 1 is the main cause of death for national statistical purposes. Part 2 is used to indicate other conditions that were not the main cause of death but contributed to the timing of death, i.e. brought it forward or exacerbated the main condition (e.g. ischaemic heart disease).

## Coroners Act 1988

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This is a consolidation of hundreds of years of legislation concerning the role and function of Her Majesty's Coroners in England & Wales. It is to be replaced in its entirety by legislation promulgated in 2006 with the Draft Coroners Bill (June 2006).

## Coroners Rules 1984

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This is a consolidation of hundreds of years of coronial practice rules. A recent amendment (June 2005) concerned the disposal of organs and tissues taken at coronial autopsy. When the new Coroners bill is enacted, the rules will be revised.

## RCPATH Guidelines

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'Guidelines for Autopsy Practice', issued by the Royal College of Pathologists in 2002 is a consolidation of old and revised guidelines concerning all aspects of autopsy practice, including coronial autopsies.

## Autopsy

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This, also known as 'necropsy', 'postmortem examination' or often simply 'postmortem', is the external and internal examination of a deceased person by a medically qualified practitioner (usually a pathologist), by opening the body and systematic dissection of the internal organs. In addition to gross (eyeball inspection), it may include analysis of tissues from the body by microscopic examination (i.e. histopathology), and chemical and microbiological analysis of body fluids and tissues.

Consented autopsy: consent for the autopsy can be given by a close relative or next of kin or other relevant person. Usually this takes place at the request of a clinical doctor who is interested in understanding better the disease process in the deceased person. Families also may directly request a pathologist to perform an autopsy.

Coronial autopsy: an autopsy performed at the request of a coroner, to determine a cause of death in circumstances outlined in section 8 of the Coroners Act 1988. Families cannot prevent the autopsy taking place. There are three types of coronial autopsy:

- when the deceased is suspected to be the victim of homicide [these cases were not considered in the current study];
- a standard autopsy examination under section 19 of the Coroners Act 1988, where the cause of death is unknown, but the coroner is of the opinion that the autopsy may result in a natural cause of death, and so render an inquest unnecessary;
- a special examination under section 20 of the Coroners Act 1988, whereby a medically qualified person, often with special qualifications, performs an autopsy and makes further analysis of tissues or fluids to identify the cause of death. Strictly, this type of autopsy is requested only after the coroner has decided to hold an inquest into the case. In practice, the decision whether or not to take tissue samples is often made during the autopsy process, when it is evident that without such analysis, a cause of death cannot be made.



## Death in the community

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This is a death outside hospital. This includes deaths at home, in public places, at work and in nursing homes. Persons arriving dead at a hospital Accident & Emergency department are also considered as deaths in the community.

## Tissues and organs

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'Tissue' is defined, by the Human Tissue Authority, as 'any constituent part of the human body formed by cells'. The body comprises distinct organs (e.g. liver, heart, brain, skin). Thus 'tissue' includes whole or part whole organs, organ samples of any size, and blood.

## Financial aspects of coronial autopsy work

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These are the fees that may be charged to a coroner for performing an autopsy and related analytical work. References are the BMA committees and Home Office notices indicated in 'Tissue Retention' section.

	Pre June 2005	From June 2005
Standard autopsy examination under section 19 Coroners Act; to perform and prepare report	£87.70	£87.70
Special autopsy examination under section 20 Coroners Act; to perform and prepare report	£251.15	£251.15
Histopathology examination of tissues	£25 per block; maximum of £228.50	£31.50 per block; maximum of £286.50
Toxicological examination of tissue or fluid samples	Not exceeding £625.50	Not exceeding £785.00
Microbiological examination of tissue or fluid samples	£25 per direct examination or culture, maximum of £228.50	£31.50 per direct examination or culture, maximum of £286.50

# Appendices

## Abbreviations

APT	Anatomical pathology technologist
BMA	British Medical Association
BMI	Body mass index
CJD	Creutzfeldt-Jakob disease
CNS	Central nervous system
CPA	Clinical pathology accreditation
CPC	Clinicopathological correlation
CPD	Continuing professional development
CT	Computed tomography
DCA	Department of Constitutional Affairs
GMC	General Medical Council
GRO	General Registrar's Office
HBV	Hepatitis B
HCV	Hepatitis C
HIV	Human immunodeficiency virus
HSAC	Health and Safety Advisory Committee
MRCPath	Member Royal College of Pathologists (qualification)
ONS	Office for National Statistics
PM	Postmortem
RCPPath	Royal College of Pathologists
SADS	Sudden adult death syndrome
SIDS	Sudden infant death syndrome
SpR	Specialist registrar
TB	Tuberculosis



( Lung parenchyma:

Thoracic cavity ( *Cardio-vascular system*

( Heart:

( Weight:

( Valves:

( Myocardium:

( Pericardium:

( Coronary arteries:

( Great vessels:

*Alimentary system*

Mouth:

Tongue:

Oesophagus:

( Stomach and contents:

( Duodenum

( Intestines:

( Liver and gall bladder

Abdominal ( Pancreas:  
cavity

( Peritoneum:

( *Genito-urinary system*

( Kidneys and ureters:

( Bladder and urine:

( Generative organs:

*Reticulo-endothelial system*

Spleen:

Lymph nodes:

Thymus:

*Endocrine system*

Thyroid:

Pituitary:

Adrenals:

In my opinion the cause of death was:

I

I

Disease or condition directly leading (a)..... due to  
to death\*\*\* (or as a consequence of)

Antecedent causes. (b)..... due to  
(or as a consequence of)

Morbid conditions, if any, giving rise (c).....  
to the above cause (stating the  
underlying condition last)

II

II

Other significant conditions  
*contributing to the death* but NOT  
related to the disease or condition  
causing it\*\*\*\*

Morbid conditions present but in the pathologist's opinion *NOT contributing to the death*:

Is any further laboratory examination to be made which may affect the cause of death? YES/NO

Comments:

To the best of my knowledge no cardiac pacemaker remains in the body.

Signature and qualifications

Name (in block letters)

NOTES:

\*Descriptions of injuries or of complex pathology may be attached on a separate sheet, provided it is properly identified and signed.

\*\*In cases of suspected pneumoconiosis (or one of the other occupational diseases affecting the lungs) see "Notes on completing the post-mortem examination report form" obtainable from the coroner.

\*\*\* This does not mean the mode of dying, such as (eg) heart failure, asphyxia, asthenia, etc. It means the disease, injury or complication which caused death.

\*\*\*\* Conditions which did not in the pathologist's opinion contribute materially to the death should NOT be included under this heading, but under "Morbidity conditions present but in the pathologist's opinion NOT contributing to the death".

Coroners Rules (1984) Legislation Direct UK Sis SI 1984/522. Butterworth Direct.



# CORONIAL AUTOPSY STUDY

## ASSESSMENT FORM

### CONFIDENTIAL

#### Instructions for completion

Please complete all question marked: **Advisor Question**.

Please mark questions with either BLOCK CAPITALS or a bold cross **inside** the boxes provided.

If you make a mistake please "black-out" the box and re-enter the correct information. E.g.

Is a history provided?

Yes

No

Unless indicated, please mark only one box per question.

**Definitions** <sup>(def)</sup> are provided at the end of the questionnaire. An **Advisor Manual** is also available.

#### To be completed by NCEPOD

NCEPOD number  Gender (M/F)  Age (years)

1. Location of autopsy
- a.  Local Authority Mortuary (i.e. public mortuary not attached to a hospital)
  - b.  Hospital mortuary
  - c.  Joint mortuary (i.e. public mortuary located within a hospital that operates under hospital governance)
  - d.  Unknown

Organisational Questionnaire Number (Mortuary Site I.D.)



















## DEFINITIONS

Additional definitions and guidance for the completion of this questionnaire are available in the **Advisor Manual**.

Question	Definition / example
<b>Section A</b> Supporting documentation	This section relates to information from the coroner's office that was presumably available to the pathologist at the time of the autopsy.  Additional information may have been sought by the pathologists prior to, during or after the autopsy. However, this information can not be assessed by the advisors, unless it forms part of the 'supporting documentation'.
<b>5 f.</b> Date of death	This could be the known date of death or an estimation of the date of death
<b>5 g.</b> Time of death	This could be the known time of death or an estimation of the time of death
<b>5 p.</b> Mode of identification of cadaver	The autopsy report comments on the mode of identification of the cadaver. E.g. wrist / leg written label.
<b>5 q.</b> Deceased identified by	Was the cadaver identified to the pathologist by an authorised person as noted in the autopsy report? E.g. Coroner's Officer.
<b>5 t.</b> Pathologist's appointment	Examples: Consultant Histopathologist, Specialist Registrar, Consultant Paediatric Pathologist, Locum Consultant Pathologist etc.
<b>5 u.</b> Pathologist's qualifications	Please use the following codes for the listed qualifications below. You can use more than one code.  <ol style="list-style-type: none"> <li>1 MRCPath (Member Royal College of Pathologists)</li> <li>2 FRCPath (Fellow – Royal College of Pathologists)</li> <li>3 DRCPath (Diploma – Royal College of Pathologists)</li> <li>4 FFPPath (Fellow – Faculty of Pathology)</li> <li>5 DFM (Diploma in Forensic Medicine)</li> <li>6 DPath (Diploma in Pathology)</li> <li>7 Other</li> </ol>
<b>18 a</b> Unascertainable	In some cases, a cause of death is unascertainable or unascertained, in which case, this should be stated here.  In general, an <b>unascertainable</b> death would be where the pathologist is <u>unable</u> to establish a cause of death. This may be because the cadaver is autolysed, or the pathologist only has part of the body to autopsy. It may also be because the death is caused by probable natural causes that cannot be proven (e.g. cardiac arrhythmias or epilepsy with no pathological findings etc.)  A cause of death can also be recorded as <b>unascertained</b> either because it is truly unascertainable (for the reasons above) or because the cause of death was unable to be established at autopsy and had not since been established at the time the autopsy report was prepared.
<b>25.</b>	To meet the Statutory Requirements of Schedule 2 of the Coroners' Rules 1984, the following questions on this questionnaire must be completed in the affirmative:  <p style="text-align: center;"><b>5 a, b, d, f, g, i, j, k, l, m, o, q, r, s, u</b>  <b>7 c</b>  <b>8 a</b>  <b>9 a – h</b>  <b>18 a</b></p> <p>If autopsy meets all requirements except <b>5 o</b> (other persons present), mark as YES.</p> <p>If autopsy meets all requirements except <b>5 q</b> (deceased identified by), but indicates <b>5 p</b>, mark as YES.</p> <p>If autopsy meets all requirements except <b>5 b</b> (age), but indicates both the date of birth and date of death, mark as YES.</p>





## **CORONIAL AUTOPSY STUDY Advisor Manual**

### **PURPOSE**

This document aims to assist the Advisors in completing the Coronial Autopsy Study Assessment Form. It is designed to provide definitions for the questions asked in the Assessment Form, and to eliminate any ambiguities in the way they are interpreted.

### **ABOUT THE ASSESSMENT FORM & THIS MANUAL**

The Assessment Form is a structured questionnaire, designed specifically for this study by NCEPOD. The Assessment Form is based on the 2002 The Royal College of Pathologists '*Guidelines on Autopsy Practice*', and Schedule 2 of the Coroners Rules 1984. Schedule 2 outlines the statutory autopsy reporting requirements of the pathologist.

Many of the questions in the Assessment Form are dichotomous, which require simple 'Yes' / 'No' responses. Accordingly, the definitions for some of the straightforward dichotomous questions contained within the Assessment Form have been excluded from this manual.

Other questions on the Assessment Form are subjective and require consideration of the quality of certain aspects of the autopsy report. For example, Advisors are asked to grade the description of external appearances / identification features presented in the autopsy report as: 'Good', 'Satisfactory' or 'Unsatisfactory'. The point at which grades change from Good to Satisfactory to Unsatisfactory can be a grey area. Therefore, this document provides examples of each grade to guide the Advisors in their decisions.

Definitions marked throughout this document in quotations have been extracted from the following reference:

Report of a working group of The Royal College of Pathologists (2002) Guidelines on Autopsy Practice, The Royal College of Pathologists, September 2002. Available at:  
[http://www.rcpath.org/resources/pdf/main\\_document.pdf](http://www.rcpath.org/resources/pdf/main_document.pdf)

## SECTION A - SUPPORTING DOCUMENTATION

This section relates to information from the coroner's office that was presumably available to the pathologist at the time of the autopsy.

Additional information may have been sought by the pathologists prior to, during, or after the autopsy. However, this information cannot be assessed by the Advisors, unless it forms part of the 'supporting documentation' supplied to NCEPOD by the coroners.

### 2. What supporting documentation do you have alongside the autopsy report?

Possible responses	Definition
a. Formal written instruction from a coroner to a pathologist to perform an autopsy	Any document that explicitly requests or directs a pathologist to perform an autopsy.
b. Sudden Death Report (or equivalent <u>police</u> report / scene examination)	Any document prepared <u>by the police</u> that contains information about the deceased. This may be titled: 'Sudden Death Report' or 'Scene Examination'.
c. Coroner's summary report	Any document prepared <u>by or on behalf of a coroner</u> containing information about the deceased. This may include demographic details, next of kin or general practitioner details, and clinical history. This is usually titled: Coroner's Officer's Report.
d. Extracts from the deceased's medical records (from hospital or general practitioner)	Any documents that were clearly part of the deceased's medical records when he/she was alive. E.g. discharge summary, operation note, correspondence between health service providers etc.
e. Ambulance service form(s) (including certification of fact of death).	Any document prepared <u>by an ambulance service</u> .
f. None (go to question 5)	-
g. Other	-

### 3. Does the supporting documentation contain the following details?

Sub-question	Possible responses	Definition
a. General / treating practitioner contact details (or an indication that no such details exist)	Yes	Contact details must include the name of the practitioner <u>and at least</u> the address or a contact phone number; <u>OR</u> an indication that no such details exist
	No	None of the above
b. Information about the deceased's occupation (past or present)	Yes	-
	No	-
c. Date of birth of deceased (as opposed to only age in years)	Yes	-
	No	-
d. Specific clinico-pathological questions relating to the death. E.g. ?P.E.; ?M.I.; ?Ca.	Yes	The coroner or any other person has provided the pathologist with questions relating to the death. For example, a query about the cause of death - ?P.E.; ?M.I.; ?Ca.
	No	The supporting documentation requests that the pathologist establishes the cause of death, and does not contain additional clinico-pathological questions (like those above) relating to the cause of death.
e. Specific requests / investigational instructions, e.g. Do / do not take histology / toxicology	Yes	Investigational requests or instructions are provided by the coroner to the pathologist. For example: 'Do not take histology', or 'Please take toxicology'.
	No	The supporting documentation requests that the pathologist establishes the cause of death, and does not contain additional investigational instructions (like those above) relating to the cause of death.

#### 4 a. Overall, how would you rate this supporting documentation, presuming that no additional information was sought by the pathologist?

Possible responses	Example
Good	The supporting documentation provides good background information about the deceased. It may include many of the details outlined in question 3 above, as well as basic demographic data about the deceased.
Satisfactory	The supporting documentation provides some background information about the deceased and/or the circumstance of death.
Unsatisfactory	The supporting documentation provides very limited, useless or no background information.

## SECTION B – REPORT PREAMBLE / DEMOGRAPHIC DETAILS

This section relates to all demographic and background details provided by the pathologist in his / her autopsy report. It may include much of the information that was provided by the supporting documentation, as well as additional background information / history that the pathologist has sought prior to the autopsy taking place.

The RCPATH 2002 Guidelines state that:

“All autopsy reports must include a clinical history to make clear the context of the autopsy. The history comprises a summary of present illness in chronological order, and the circumstances of death. The past history often explains the findings. It is the pathologist’s responsibility to be satisfied that a reasonable account has been obtained, and mere references to notes or letters is not an adequate substitute. Absences of, or difficulty in obtaining, clinical information should be recorded. The source of clinical information (medical records, Coroner’s Officer only, etc) should be recorded. Pre-mortem clinical and laboratory investigations should be quoted where relevant, including significant negative results.” Page 20.

### 6 a. Is a history provided?

Possible responses	Definition
Yes	At least some history is provided, which may include past medical history, relevant background information or circumstances about the death.  A reference to the supporting documentation is not adequate. E.g. ‘History – see coroner’s summary.’
No	No history, relevant background information or circumstances about the death is provided at all; <u>OR</u>  The only comment provided about the deceased history is a reference to some other document, e.g. ‘History – see coroner’s summary.’

### 6 b. If yes, is it essentially identical to that provided by the supporting documentation?

The reasoning behind this question is to determine whether or not the pathologist has sought additional history about the deceased to that provided by the supporting documentation.

Possible responses	Definition
Yes	The history provided in the autopsy report is essentially identical to that provided by the coroner / supporting documentation. It is obvious to the reader that <u>NO</u> additional history (e.g. laboratory data, radiological results etc.) has been sought by the pathologist.
No	The history provided in the autopsy report contains information that was not provided in the supporting documentation.  A ‘No’ answer to this question may imply that additional history (e.g. laboratory data, radiological results etc.) has been sought by the pathologist.
Not applicable	Question 6a (above) was marked ‘Yes’ but no history or relevant background information was provided by the coroner.

**6 c. In your opinion, would have additional history been useful to make clear the context of the autopsy?**

This question is to be answered where 1) a history is provided; and 2) the history is essentially identical to that provided by the supporting documentation.

Possible responses	Definition
Yes	Additional history to that provided in the autopsy report would have been useful to make clear the context of the autopsy.
No	Additional history would not have been useful to make clear the context of the autopsy because the history already provided in the supporting documentation (and therefore the autopsy report) sufficiently makes clear the context of the autopsy.

**6 d. Does the history indicate clinical questions to be addressed at autopsy?**

Possible responses	Definition
Yes	The history contains clinical questions that the pathologists might address during the autopsy. For example ?Pulmonary embolus ?Myocardial infarction ?Lung cancer.
No	The history does not contain any clinical questions.
Not applicable	In the Advisor's opinion, no clinical questions were apparent or sufficiently relevant to be included in the 'report preamble' or 'history' section of the autopsy report.

**6 e. Overall, how would you rate the history as presented in the autopsy report?**

Possible responses	Example
Good	The history provides sufficient background information about the deceased to make clear the context of the autopsy. It closely adheres to the guidelines specified by RCPATH (quoted above).
Satisfactory	The history provides some background information about the deceased and makes the context of the autopsy somewhat clearer - compared to having no history at all. Some aspects of the guidelines specified by RCPATH may be included.
Unsatisfactory	The history provides little background information about the deceased or the circumstance of the death. It does not make clear the context of the autopsy. It may leave the reader wondering where the deceased was at the time of death and in what circumstances they died.

## SECTION C – EXTERNAL EXAMINATION

### 7. Were the following recorded?

Sub-questions	Possible responses	Definition
a. Deceased's height	Yes	-
	No	-
b. Deceased's weight	Yes	-
	No	-
c. Nourishment	Yes	The report includes a comment about the overall nourishment of the deceased, e.g. well nourished; slim; obese etc.
	No	No comment is provided about the deceased's nourishment. The deceased's height and weight is not a sufficient marker for nourishment.

### 8 a. Is there a description or mention of external appearances and identification features?

The RCPATH 2002 Guidelines state that the external appearances and identifications features should (where possible) include:

“External appearances – sex, age, weight (kg), height (cm); weight and height are essential for perinatal and paediatric autopsies and best practice for adult cases.

“Ethnicity – e.g. Caucasian, African, Afro-Caribbean, Indian subcontinent, Chinese, Japanese, South American Indian; if uncertain, describe the skin and hair.

“Measurements of significant surface features, scars, operation sites, bruises, etc. with a clear description of the site, including diagrams or photography if necessary. The presence or absence of injuries to the eyes, genitalia and anus should be recorded.

“Infant/neonatal/fetal deaths required additional measurements, studies of dysmorphism, placental studies and X-ray.” Page 20

Possible responses	Definition
Yes	A description of the deceased's external appearances and identification features is given. It may include some of the features identified in the RCPATH quotation above.
No	No description of external appearances and identification features is given at all.

**8 b. If yes, is the description of external appearances / identification features:**

<b>Possible responses</b>	<b>Example</b>
Good	<p>The external description is sufficiently detailed for the reader to imagine how the body appeared prior to autopsy. It closely adheres to the guidelines specified by RCPATH (quoted above). It may detail identification features such as tattoos, old scars and/or injuries, which are all noted examples of identification features outlined in Schedule 2 of the Coroners Rules 1984.</p> <p>A good description may contain negative features – e.g. ‘there was no bruising’; ‘no petechial haemorrhages are evident’; ‘no significant external abnormalities were noted’.</p>
Satisfactory	<p>The external description provides some information about the appearance of the deceased. It may contain some of the features identified in the RCPATH quotation above.</p>
Unsatisfactory	<p>The description provides little detail about the deceased’s external appearance. It provides no or little comment about negative findings.</p>

## SECTION D – INTERNAL EXAMINATION

### 9. Does the internal examination include mention or description of the following systems? If yes, please grade:

The Advisor is asked to document whether or not the autopsy report contains a description of each of the following systems.

- a. Central nervous
- b. Cardiovascular
- c. Respiratory
- d. Gastrointestinal / Alimentary
- e. Genitourinary
- f. Lymphoreticular (reticulo-endothelial)
- g. Endocrine
- h. Musculoskeletal

For each system marked 'Yes', the Advisor is asked to grade the description as 'Good', 'Satisfactory' and 'Unsatisfactory'. Examples are provided below.

Possible responses	Example
Good	The internal description is sufficiently detailed for the reader to understand how that particular system appeared during the autopsy macroscopically. A good description may contain negative features – e.g. 'no focal lesions were seen on sectioning the brain'; 'the tricuspid, pulmonary, mitral and aortic valves appeared unremarkable'.
Satisfactory	The pathologist has made some effort to describe the internal organs.
Unsatisfactory	Very little effort has been made to describe the internal organs. It provides no or very little comment about negative findings, implying a lack of critical observation.

### 10 b. If some organ(s) was/were NOT weighed, is there any documentation in the autopsy report indicating that the organ(s) were previously removed? For example due to organ donation or a previous autopsy.

Possible responses	Definition
Yes	The autopsy report explicitly states that some organ(s) had previously been removed. It may state the reasons for their removal (e.g. organ donation or a previous autopsy). The pathologist has made an effort to account for 'absent' organs that were not available to weigh.
No	The autopsy report does not account for 'absent' organs.
Not applicable	All organs listed in question 10a were weighed (i.e. brain, lung, heart, liver, spleen, kidney) and therefore this question is not applicable, because all organs were accounted for in the autopsy report.



**11 a. Overall, the gross description of the internal organs is:**

This question is asking the Advisor to make an overall decision about the gross description of the internal organs, considering his / her responses to question 9.

Possible responses	Example
Good	Overall, the autopsy report provides a sufficiently detailed gross description of the deceased's internal organs. It appears complete and comprehensive to the reader.
Satisfactory	Overall, the autopsy report provides some detail about the gross internal organs as they appeared macroscopically to the pathologist.
Unsatisfactory	Overall, the report does not provide a sufficient or relevant description of the gross internal organs.

**12 a. Does the report state whether or not whole organs or major parts were retained?**

The RCPATH 2002 Guidelines state that pathologists should:

“Record organs retained for further study or other purposes, with reference to the person giving consent, and a note of how ultimate disposal is to be effected”. Page 21

Possible responses	Definition
Yes	The report states (or implies in its description of the organs) that whole organs or major parts were retained; <u>OR</u> The report explicitly states that no organs / major parts were retained.
No	The report does not state either of the above.

**13 a. Does the report state whether or not histology samples were taken?**

Possible responses	Definition
Yes	The report states (or implies in its description of the organs) that histology was taken; <u>OR</u> The report explicitly states that no histology was taken.
No	The report does not state either of the above.

**14 a. Are any separate reports (i.e. whole organ retention or histology) included with the autopsy report?**

Possible responses	Definition
Yes	Whole organ(s) or major parts, or histology was / were taken AND the report(s) is / are included with the autopsy.
No	Whole organ(s) or major parts, or histology was / were taken and the report(s) is / are NOT included with the autopsy.
Not applicable	Based on the evidence provided, it appears that the pathologist <u>has not retained organs or histology sections</u> and therefore no separate report should be available.

**14 b. If the report(s) is/are included, is it**

Possible responses	Example
Good	The whole organ or histology descriptions are focused on the issues raised by the death.
Satisfactory	The whole organ or histology descriptions are relatively unfocused on the issues raised by the death.
Unsatisfactory	The whole organ or histology descriptions are incomprehensible or contradictory.

**15 a. If whole organ retention or histology did not occur, did the lack of tissue retention in any way detract significantly from the autopsy report in determining the cause of death?**

This question is asking the Advisor to consider whether the inclusion of organ retention or histology could have assisted the pathologist in determining or fully elucidating the cause of death.

Possible responses	Example
Yes	The absence of an examination of tissue (other than macroscopic examination) detracted from the autopsy report in determining OR fully elucidating the cause of death.
No	The cause of death was justifiably determined from the information provided in the autopsy report. Tissue retention would not have impacted on the cause of death.
Would have been informative	Tissue retention may have assisted in elucidating particular features of death, but may not necessarily be required in determining the cause of death.
Not applicable	Tissue retention (whole organ or tissue retention for histology) did occur and therefore this question is not applicable.

**16 a. Were other samples taken e.g. toxicology, virology / microbiology, immunology? (E.g. for screening of drug overdose, hepatitis, tuberculosis etc.)**

Possible responses	Example
Yes	The autopsy report explicitly states or implies in the content of the report that 'other' samples were taken (e.g. blood).
No	The autopsy report explicitly states that no 'other' samples were taken.
Not stated / unknown	The autopsy report does not state whether or not 'other' samples were taken and the advisor is unable to deduce from the content of the report whether 'other' samples were taken.

**17 a. If other samples were not taken, did the lack of samples detract significantly from the report in its account of answering the questions raised by death?**

This question is essentially identical to question 15a, but is referring to samples other than tissue, e.g. blood.

### 18 a. Is a cause of death given?

Possible responses	Example
Yes	-
No	-
Unascertainable	<p>In some cases, a cause of death is unascertainable or unascertained, in which case, this should be stated here.</p> <p>In general, an <b>unascertainable</b> death would be where the pathologist is unable to establish a cause of death. This may be because the cadaver is autolysed, or the pathologist only has part of the body to autopsy. It may also be because the death is caused by probable natural causes that cannot be proven (e.g. cardiac arrhythmias or epilepsy with no pathological findings etc.)</p> <p>A cause of death can also be recorded as <b>unascertained</b> either because it is truly unascertainable (for the reasons above) or because the cause of death was unable to be established at autopsy and had not since been established at the time the autopsy report was prepared.</p>

**18 b. If yes, does it follow the usual manner prescribed by the Office of National Statistics (ONS)?**

The Royal College of Pathologists Guidelines state that:

“The cause of death, for adults and children over 28 days of age, must be given in the standard form required by the Office of National Statistics (ONS).

“The underlying cause of death should be the lowest completed line in Part I, such that conditions placed above it are ‘due to’ that pathology. Part II includes pathology, unrelated to that in Part I, which contributed toward death, but should not be used as a basket for all the minor pathologies found at autopsy.

“For stillbirths and live-born children dying within 28 days of birth, there is a different standardised format for the cause of death. The form of the statement is:

1. Main diseases or conditions in fetus/infant.
2. Other diseases or conditions in fetus/infant.
3. Main maternal diseases or conditions affecting fetus/infant.
4. Other maternal disease or conditions affecting fetus/infant.
5. Other relevant causes.

“If the patient dies following an operation and that procedure was directly or indirectly contributory to the death of the patient, the fact and type of the operation must be included in the cause of death (under Part I or II, according to relevance) and the date of the operation given (date/month/year)

“If the autopsy is limited, it may not be possible to give an ONS cause of death, and this must be made clear in the report.” Page 22

Schedule 2 of the Coroners Rules 1984 states that the pathologist shall note:

In my opinion the cause of death was:

<p style="text-align: center;">I</p> <p>Disease or condition directly leading to the death*.</p> <p>Antecedent causes. Morbid conditions, if any, giving rise to the above cause (stating the underlying condition last).</p>	<p>(a) .....I.....</p> <p style="text-align: center;">Due to (or as a consequence of)</p> <p>(b) .....</p> <p style="text-align: center;">Due to (or as a consequence of)</p> <p>(c) .....</p>
<p style="text-align: center;">II</p> <p>Other significant conditions contributing to the death but NOT related to the disease or condition causing it. **</p>	<p style="text-align: center;">II</p> <p>.....</p>

Morbid conditions present but in the pathologist’s opinion *NOT contributing to the death*

\*this does not mean the mode of dying, such as (e.g.) heart failure, asphyxia, asthenia, etc. It means the disease, injury or complication which caused death.

\*\* Conditions which did not in the pathologist’s opinion contribute materially to the death should NOT be included under this heading, but under “Morbid conditions present but in the pathologist’s opinion NOT contributing to death”.

**19 a. Does the cause of death take into appropriate account the clinical course and autopsy findings as presented in the report and in the supporting documentation?**

This question is asking the Advisor to consider whether the cause of death ‘makes sense’ given the information contained within the autopsy report and the supporting documentation provided to the pathologist.

Possible responses	Example
Yes	The cause of death appears reasonable and / or justified given the information provided in the autopsy report.
No	The cause of death appears unreasonable and / or unjustified given the information provided in the autopsy report.

**20 a. Does the report contain clinicopathological commentary, correlation and/or summary?**

Possible responses	Example
Yes	The autopsy report provides some kind of commentary, correlation or summary that makes reference to the deceased’s past medical history and/or circumstances of death and cause of death.
No	The autopsy report does not provide any commentary, correlation or summary.

**21. In your opinion, what was the category of death?**

Possible responses	Definition
a. Natural cause of death (in hospital)	This category can be used where the deceased was clearly under the care of a hospital at the time of death. If an Accident and Emergency Department received a patient that was ‘dead on arrival’, this case can be classified as 21 b ‘Natural cause of death in community’. If a patient was alive when admitted to a hospital but was <u>not</u> in the active and ongoing care of a hospital for more than about one hour prior to death, the case can also be classified as 21 b ‘Natural cause of death in community’.
b. Natural cause of death (in community)	Any natural death reported to the coroner where the deceased died in the community – i.e. not in a hospital, prison or police custody. This category includes deaths in nursing or residential homes. If the death occurred in prison or police custody, please specify in ‘Other’.
c. Natural cause of death (location not stated)	Any natural death where the Advisor is not able to determine from the information contained within the autopsy report and supporting documentation where the death occurred.
d. Related to anaesthetic / medical intervention	The death occurred while the person was under the influence of an anaesthetic, or the death was a result of an anaesthetic, or the anaesthetic is considered to have substantially contributed to death; <u>OR</u> The death occurred during a medical intervention, or the death was a result of a medical intervention. Medical intervention means surgery, drug therapy, radiotherapy, interventional radiology, endoscopy etc.

e. 'Mishap' in hospital (e.g. fall)	The death occurred in hospital and was due to a mishap or accident, which was not directly related to a particular medical intervention, e.g. a patient fell whilst in hospital which directly contributed to the death.
f. Associated with a road traffic collision	The deceased was involved in a road traffic accident, which directly or indirectly caused the death.
g. Associated with fire	The death was directly or indirectly attributable to the effects of fire or smoke.
h. Industrial (e.g. asbestos-related cause of death)	The death was directly or indirectly caused by an industrial-related disease or workplace accident (e.g. mesothelioma).
i. Intentional self harm	The death occurred as a result of intentional self harming actions by the deceased. This category includes suspected suicide.
j. Associated with illicit drug overdose/poisoning	The death was caused by the presence of illicit drugs (drugs of abuse) or their effects. <b>i</b> above can also be marked where applicable.
k. Associated with alcohol	The death was caused by the effects of chronic or acute alcohol abuse.
l. Associated with immersion	The death was caused by immersion, or was related to immersion. This usually means immersion in water. <b>i</b> above can also be marked where applicable.
m. Maternal death	Direct and indirect maternal deaths.
n. Sudden infant death syndrome (SIDS)	The cause of death was attributed to Sudden infant death syndrome
o. Cause of death unascertained	'Unascertained' was noted as the cause of death.
p. Other, please specify:	-

**22. My overall score for this autopsy report is:**

Possible responses	Definition
a. Excellent	<p>The autopsy report meets <u>MANY</u> of the specifications outlined in the Royal College of Pathologists 2002 Guidelines for Autopsy Practice.</p> <p>The autopsy report reads well and the cause of death takes into appropriate account the findings presented in the autopsy report.</p> <p>The autopsy report is a standard that you would expect of yourselves and/or your colleagues.</p>
b. Good	<p>The autopsy report meets <u>MANY</u> of the specifications outlined in the Royal College of Pathologists 2002 Guidelines.</p> <p>The cause of death takes into appropriate account the findings presented in the autopsy report.</p> <p>It may not be as detailed as an autopsy report that would be classified as excellent.</p>
c. Satisfactory	<p>The autopsy report sufficiently explains the cause of death given by the pathologist.</p> <p>It may meet some of the specifications outlined in the in the Royal College of Pathologists 2002 Guidelines.</p> <p>The autopsy report fulfils its main purpose of documenting the cause of death but lacks additional details that distinguishes a Good or Excellent autopsy report. There is obvious room for improvement.</p>
d. Poor	<p>The autopsy report does not sufficiently explain the cause of death given by the pathologist.</p> <p>It contains few, if any, specifications outlined in the Royal College of Pathologists' 2002 Guidelines.</p> <p>The cause of death may appear to be incorrect based on the information presented in the autopsy report.</p> <p>An Advisor considers that the autopsy report is at a standard below what would expect from his / her colleagues.</p>
e. Unacceptable (laying the pathologist open to serious professional criticism)	<p>The autopsy report does not sufficiently explain the cause of death given by the pathologist OR the cause of death is incorrect.</p> <p>It may be carelessly drafted.</p> <p>The Advisor considers that the autopsy report fell below an acceptable standard that the profession would expect, which indicates that the pathologist is likely to prepare misleading or incorrect autopsy reports in the future, if not addressed.</p>

**24. If you feel that this case is 'Cause for Concern' please indicate here.**

Cases that have been marked above in question 22 as **e. Unacceptable** may be deemed a 'cause for concern'. For these cases, a letter will be sent from the Chief Executive of NCEPOD to the coroner who requested the autopsy identifying the specific case and pathologist implicated and explaining our concerns. The letter from NCEPOD will suggest to the coroner the manner in which he / she might like to respond. This process has been agreed by the NCEPOD Steering Group. A similar process has been in operation for two years with generic approval from the General Medical Council, and the responses received have always been positive in that it is felt that NCEPOD are dealing with concerns in the most appropriate manner.

**25. Does the autopsy report meet the statutory requirements outlined in Schedule 2 of the Coroners Rules 1984?**

To meet the requirements of Schedule 2, the following questions must be completed in the affirmative:

- 5 a, b, d, f, g, i, j, k, l, m, o, q, r, s, u
- 7c
- 8 a
- 9 a – h
- 17 a

If the autopsy report meets all requirements except **5 o** (other persons present at autopsy), mark as YES. This may be because no other person was present at the autopsy.

If the autopsy report meets all requirements except **5 q** (deceased identified by), but indicates **5 p** (mode of identification of cadaver), mark as YES.

If autopsy meets all requirements except **5 b** (age), but indicates both the date of birth and the date of death, mark as YES.

If the autopsy report meets all requirements except **5 d** (address), mark as YES. The pathologist is only required to note the address of the deceased on the autopsy report if it is known.

**5m** can be marked if the autopsy report states the jurisdiction for which the autopsy was performed (i.e. it does not need to explicitly state the coroner's name).

**9 h** musculoskeletal system can be classified as 'external features' for this purpose (the Coroner's Rules states the following must be included in the autopsy report: '*Body surface and musculoskeletal system, including injuries*'). I.e. if the autopsy report does not contain a section on the 'musculoskeletal system', but includes a description of identification features, it would meet the statutory requirements outlined by the Rules.





## CORONIAL AUTOPSY STUDY

National Confidential Enquiry into Patient Outcome and Death (NCEPOD)

### ORGANISATIONAL QUESTIONNAIRE

CONFIDENTIAL

Location of Mortuary \_\_\_\_\_

Who completed this questionnaire?

Name: \_\_\_\_\_

Position: \_\_\_\_\_

#### What is this study about?

NCEPOD will be assessing the quality of coronial autopsy reports requested by coroners under the England, Wales, Northern Ireland, Guernsey & Isle of Man coronial systems. Autopsy reports will be assessed using a pre-designed questionnaire, based on the Royal College of Pathologists Guidelines for Autopsy Practice (2002), and the where applicable the Coroners Rules 1984

As part of the study, we are interested in identifying the mortuary facilities available to pathologists, and how they might impact on the quality of autopsy reports.

Data will be collected retrospectively over a one week period from England, Wales, Northern Ireland, Guernsey, Jersey and the Isle of Man. Both hospital and public mortuaries will be included.

The work is supported by the Coroners' Society of England and Wales and The Royal College of Pathologists.

#### Who should complete this questionnaire?

The manager responsible for the mortuary and its facilities should complete this questionnaire. If necessary, he/she may wish to consult the pathologists in answering some of the questions.

Each mortuary will be required to complete one organisational questionnaire. If you do not feel able to complete this questionnaire, please pass it on to the most appropriate person and inform NCEPOD.

Please return the completed questionnaire in the envelope provided.

#### How to complete this questionnaire

This form will be electronically scanned. **Please use a black or blue pen.** Please complete all questions with either block capitals or a bold cross inside the boxes provided e.g.

Does the mortuary keep a record of autopsies?

Yes       No       Unknown

If you make a mistake, please 'black-out' the box and re-enter the correct information, e.g.

Does this mortuary accept paediatric cases?

Yes       No       Unknown

**Unless indicated, please mark only one box per question.** Where <sup>(def)</sup> is indicated, a definition is provided on the back of the questionnaire.

Free space is provided on the last page for your comments.

Incomplete questionnaires may be followed up.

#### Questions or help

If you have any queries about the study or this questionnaire, please contact

Tel: 0207 920 0999 or email:  
[coronialautopsy@ncepod.org.uk](mailto:coronialautopsy@ncepod.org.uk)

## A – The mortuary

1. a. Is the mortuary within hospital premises?  Yes  No
- b. Is it run by the hospital  Yes  No
- c. Is it a Local Authority public mortuary?  Yes  No
- d. Is it a combined hospital and public mortuary?  Yes  No

*(Answers may be multiple)*

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2. How many coronial autopsies<sup>(def)</sup> were performed in the mortuary between 1<sup>st</sup> April 2004 and 31<sup>st</sup> March 2005? *(All ages)*

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3. a. Does the mortuary keep a record of autopsies?  Yes  No
- b. If yes, how is this stored? *(Answers may be multiple)*
- Computer
- Hand written (e.g. log book)
- Other *(please specify)*

--

Unknown

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4. a. How are instructions for autopsy usually given to the pathologist?
- Written
- Orally
- Combination of oral and written
- Unknown
- b. By whom is the usually instruction given? *(Please specify)*

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5. Which of the following age groups are autopsied in the mortuary? *(Answers may be multiple)*
- Under 1 year old
- 1 – 5 years old
- 6 – 15 years old
- Adult (16 and over)

6. a. When was the mortuary originally built?      Unknown  
y y y y
- b. When was the mortuary last refurbished? (If applicable)      Unknown  
y y y y

7. a. Does the mortuary have on site shower facilities?  Yes  No
- b. Does the mortuary have an on site changing room?  Yes  No

8. What clothing do pathologists wear when performing autopsies? (Answers may be multiple)  Protective clothing over surgical scrubs  
 Protective clothing over day clothes  
 Other (please specify)

**B – Protocols and procedure**

9. a. Are there written protocols in place for autopsy performance?  Yes  No  Unknown
- b. If yes, who does this apply to?  Pathologists  
 Anatomical pathology technicians  
 Both

10. a. Please indicate if any of the following high risk infectious autopsies<sup>(def)</sup> are performed within the mortuary, and whether written protocols are in place for performing these?

	Autopsy performed		Written protocol in place	
Hepatitis B	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Hepatitis C	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Human Immunodeficiency Virus	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Tuberculosis	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Creutzfeldt-Jakob Disease	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No

b. If the answer to any of the above autopsy's performed is no, please specify why.

11. Does the mortuary have Clinical Pathology Accreditation (CPA)<sup>(def)</sup> or equivalent?  Yes  No  Unknown

12. Is it mandatory in your mortuary that a pathologist sees a body before evisceration takes place?  Yes  No  Unknown

**C – Staffing**

13. a. How many pathologists used the mortuary in the last calendar year? (*April 1<sup>st</sup> 2004 – March 31<sup>st</sup> 2005*) (*All grades*)

b. Is this an estimate? (*If applicable*)  Yes  No

14. a. How many coronial jurisdictions used this mortuary in the last calendar year?) (*To include coroners, deputies and assistant deputies*) (*April 1<sup>st</sup> 2004 – March 31<sup>st</sup> 2005*)

15. How many whole time equivalent anatomical pathology technicians (APT) or other personnel<sup>(def)</sup> (e.g. MLA, ATO) assist with autopsy examinations?   .

16. Who does evisceration of most of the bodies?  Pathologist  
 Anatomical pathology technician  
 Other (*Please specify*)

## D – Equipment

17. Are bodies measured for height?  Yes  No
- 
18. Are all bodies weighed?  Yes  No
- 
19. Does the mortuary have organ scales?  Yes  No
- 
20. Does the mortuary have access to an electric skull saw?  Yes  No
- 
21. Does the mortuary have, or have ready access to, X-ray equipment on site?  Yes  No

## E – Facilities

22. a. Does the mortuary or pathologist have, or have ready access to, facilities to process:
- Histology<sup>(def)</sup>  Yes  No
- Toxicology<sup>(def)</sup>  Yes  No
- Other Laboratory investigations<sup>(def)</sup>  Yes  No
- b. If yes to any of the above, is there a documented chain of custody for samples as they are processed for the pathologist?  Yes  No
- c. If yes, please give details.

d. If there are any problems with the chain of custody, please give details. *(If applicable)*

- 
23. a. Does the mortuary have formalin for fixation?  Yes  No
- b. Does the mortuary have storage facilities for fixed tissues and organs?  Yes  No

- 
24. Is there a separate suite/room for high risk infectious autopsies?  Yes  No  
 Not applicable

- 
25. Is there an office area for pathologists to write up or dictate notes?  Yes  No

- 
26. a. Is lighting in the mortuary adequate?  Yes  No  Unknown  
*(As judged by the majority of users)*
- b. Are there additional spotlights for more detailed examination?  Yes  No

- 
27. a. What is the total number of tables for eviscerating bodies in the mortuary?
- b. Are there separate organ dissecting tables?  Yes  No

- 
28. Does the mortuary have moving air ventilation with temperature control?  Yes  No

- 
29. Does the mortuary have viewing facilities for clinicians?  Yes  No
-

**PLEASE WRITE CLEARLY ANY ADDITIONAL INFORMATION THAT YOU FEEL IS RELEVANT ABOUT YOUR MORTUARY**

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**DEFINITIONS**

<p><b>Coronial autopsy</b></p>	<p>An autopsy conducted at the direction of the coroner, to identify the cause of death, and determine, or assist in determining, whether the death was natural or unnatural. This will include forensic post mortems. Consent of the family is not required.</p> <p>(<a href="http://www.the-shipman-inquiry.org.uk">http://www.the-shipman-inquiry.org.uk</a> July 2003)</p>
<p><b>High risk infectious autopsy</b></p>	<p>For example, HIV, Hepatitis B, Hepatitis C, Tuberculosis, and Creutzfeldt-Jakob Disease.</p>
<p><b>CPA accredited</b></p>	<p>The mortuary has undergone an external audit of the ability to provide a service of high quality by declaring a defined standard of practice, which is confirmed by peer review.”</p> <p>(<a href="http://www.cpa-uk.co.uk/">http://www.cpa-uk.co.uk/</a> 2005)</p>
<p><b>Other Personnel</b></p>	<p>Examples include:  MLO – medical laboratory assistant  ATO – Assistant Technical Officer</p>

<p><b>Histology</b></p>	<p>‘The study of the minute structure of the tissues.’ (Black’s Medical Dictionary. 36<sup>th</sup> Ed. 1990)</p> <p>‘As best practice, sampling of all major organs for histology in all autopsies is recommended’ (The Royal College of Pathologists; <i>Guidelines on Autopsy Practice. Report of a working group of the Royal College of Pathologists.</i> September 2002)</p>
<p><b>Toxicology</b></p>	<p>‘The science dealing with poisons’ (Blacks Medical Dictionary. 36<sup>th</sup> Ed. 1990)</p> <p>The sampling of fluids or tissue samples for the evaluation of medical drugs and drugs of substance abuse</p>
<p><b>Other Laboratory investigations</b></p>	<p>To include microbiology, virology and immunology.</p> <p>Microbiology: ‘The branch of biology concerned with the study of micro-organisms.’ (Blacks Medical Dictionary. 36<sup>th</sup> Ed. 1990)</p> <p>Virology: ‘The study of virus’s and viral disease’ (<a href="http://www.amfar.org">http://www.amfar.org</a>. 2005)</p> <p>Immunology: ‘The study of the bodies immune system and it’s functions and disorders.’ (<a href="http://www.mccq.org">http://www.mccq.org</a>. 2004)</p> <p>For example, blood tests for viral infections and mast cell tryptase.</p>

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