### Questionnaire Assessment Form
**GI Therapeutic Endoscopy - 2002/2003**

**Endoscopy**

<table>
<thead>
<tr>
<th>Questionnaire No.</th>
<th>Sex</th>
<th>Age</th>
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- Was the endoscopy [ ] Therapeutic [ ] Diagnostic [ ] If Diagnostic please exclude

### Section A – Clinical Care

1. Was the endoscopic procedure appropriate for this patient? [ ] Yes [ ] No [ ] Undecided [ ] Insufficient information to assess

   1a. If No, please specify why, multiple answers may be given:
       - [ ] A Different endoscopic procedure was indicated.
       - [ ] B Surgery in the first instance would have been more appropriate.
       - [ ] C No endoscopic procedure was indicated.

2. Was the timing of the procedure appropriate in the clinical interest of the patient? [ ] Yes [ ] No [ ] Undecided [ ] Insufficient information to assess

   2a. If No, please specify why:
       - [ ] A Late, delayed referral
       - [ ] B Late, inappropriate prolonged resuscitation/optimisation
       - [ ] C Late, other – please state:

   3. Were appropriate investigations performed pre-endoscopy? [ ] Yes [ ] No [ ] Undecided [ ] Insufficient information to assess

4. Was the grade of the endoscopist performing this procedure appropriate for the condition of the patient? [ ] Yes [ ] No [ ] Undecided [ ] Insufficient information to assess [ ] Senior endoscopist present

5. Was the grade of the endoscopist performing this procedure appropriate for the complexity of the procedure in this case? [ ] Yes [ ] No [ ] Undecided [ ] Insufficient information to assess [ ] Senior endoscopist present

6. Was the experience of the endoscopist performing this procedure appropriate for the condition of the patient? [ ] Yes [ ] No [ ] Undecided [ ] Insufficient information to assess [ ] Senior endoscopist present
<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
<th>Undecided</th>
<th>Insufficient Information to Assess</th>
<th>Senior Endoscopist Present</th>
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<tbody>
<tr>
<td>7. Was the experience of the endoscopist performing this procedure</td>
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<td>appropriate for the complexity of the procedure in this case?</td>
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<td>8. Were two endoscopy assistants present during the procedure?</td>
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<td>9. Was the procedure performed under general anaesthesia?</td>
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<td>10. Was the patient already in receipt of intermittent positive</td>
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<td>pressure ventilation (e.g. in ICU)?</td>
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<td>11. Were there any deficiencies in monitoring during the procedure</td>
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<td>(equipment or personnel)?</td>
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<td>11a. If Yes, please specify why:</td>
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<tr>
<td>A No pulse oximetry</td>
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<td>B No ECG recording</td>
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<td>C No BP recording</td>
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<td>D No dedicated person to monitor the patient</td>
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<td>E Other, please specify:</td>
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<td>(e)</td>
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<td>12. Was sedation used?</td>
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<td>12a. If Yes, was the sedation appropriate to the condition of the patient?</td>
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<td>12b. If No, please specify why:</td>
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<tr>
<td>A Excessive opiate</td>
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<td>B Excessive benzodiazepine</td>
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<td>C Insufficient sedation</td>
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<td>D Other, please specify:</td>
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<td>(d)</td>
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<td>13. Was the patient recovered in a dedicated recovery area?</td>
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<td>13a. If Yes, did dedicated recovery nurses staff the recovery area?</td>
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</table>
14. Were there any deficiencies in monitoring during the patient’s recovery (equipment or personnel)?

☐ Yes  ☐ No  ☐ Undecided  ☐ Insufficient information to assess

14b. If Yes, please specify why:

☐ A No pulse oximetry  ☐ D No dedicated person to monitor the patient
☐ B No ECG recording  ☐ E Other, please state:
☐ C No BP recording  (e)

15. Were there any clinical deficiencies, other than those stated above?

☐ Yes  ☐ No  ☐ Undecided  ☐ Insufficient information to assess

15a. If Yes, please state:

________________________________________________________________________

________________________________________________________________________

Section B – Organisational Care

16. Were there any delays because of organisation issues?

☐ Yes  ☐ No  ☐ Undecided  ☐ Insufficient information to assess

16a. If Yes, please specify why:

☐ A Should have been performed as an emergency  ☐ D Delayed, for other emergency
☐ B Inappropriate as it was out-of-hours  ☐ E Delayed, reason unknown
☐ C Delayed, previous list overrun  ☐ F Other, please state:

________________________________________________________________________

________________________________________________________________________

17. Were there any deficiencies in communication within or between specialties in respect of this case?

☐ Yes  ☐ No  ☐ Undecided  ☐ Insufficient information to assess

17a. If Yes, please state:

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________
18. Were there any other deficiencies in the organisation of this case?  
   [ ] Yes  [ ] No  [ ] Undecided  [ ] Insufficient information to assess

18a. If Yes, please state:

__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________

Section C – Quality of data returned

19. Was the tick box information completed satisfactorily?  [ ] Yes  [ ] No

20. Was the case summary on page 2 completed?  [ ] Yes  [ ] No

21. Were the admission medical notes forwarded?  [ ] Yes  [ ] No

22. Were clinical notes relevant to the procedure forwarded?  [ ] Yes  [ ] No  This may be the admission notes

22a. If Yes, were they sufficient to allow assessment of the case?  [ ] Yes  [ ] No

23. Was a monitoring chart for the procedure forwarded?  [ ] Yes  [ ] No

24. Was an endoscopy report for the procedure forwarded?  [ ] Yes  [ ] No

25. Was a discharge summary forwarded?  [ ] Yes  [ ] No

26. Do you have any other comments on the quality of the data?  [ ] Yes  [ ] No

26a. If Yes, please state:

__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________

Section D – Endoscopic Histopathology

27. Was a histopathological examination performed on samples taken at the endoscopy?  [ ] Yes  [ ] No  [ ] Undecided  [ ] Insufficient information to assess

27a. If Yes, was the histopathology report forwarded?  [ ] Yes  [ ] No  If Yes, please pass the case to the pathologists

28. Was clinical information included on the histopathology report?  [ ] Yes  [ ] No

28a. If Yes, was this clinical information relevant to the clinical problem?  [ ] Yes  [ ] No

29. Are the sites of the biopsy tissue samples correctly indicated on the pathology report?  [ ] Yes  [ ] No
30. Are the samples described macroscopically on the pathology report?  Yes  No

31. Does the histopathology report address/answer the clinical questions posed?  Yes  No  Borderline  Insufficient information to assess

32. Does the diagnosis line (‘bottom line’) contain a clear statement of the site(s) evaluated?  Yes  No

33. Does the diagnosis line (‘bottom line’) contain a clear statement of the pathology diagnoses or processes?  Yes  No

Section E - Autopsy

34. Was an autopsy performed?  Yes  No

34a. If Yes, was the autopsy report forwarded?  Yes  No

34b. If Yes, did it explain the clinico pathological circumstances well?  Yes  No

34c. If No, in your opinion, should an autopsy have been performed?  Yes  No

Section F – Summary Assessment of Care

NCEPOD considers the quality of care, not specifically the cause of death.

35. How would you categorise the quality of care of this patient?

☐ 1  Good practice – a standard you would accept from yourself, your trainees and your institution.

☐ 2  Room for improvement – in general this is given when the advisors note two or more aspects of care that could have been better, whether clinical or organisational

☐ 3  Less than satisfactory – This is a case in which the advisor has serious concerns about the patient care, although recognising that NCEPOD has incomplete information and does not know fully the local circumstances.

☐ 4  Insufficient information submitted to assess the quality of care.

36. Are there any features that might be quoted in the NCEPOD report?  Yes  No

36a. If Yes, please state:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

Initials  Date