## CARDIAC ARREST PROCEDURES STUDY

National Confidential Enquiry into Patient Outcome and Death (NCEPOD)
Advisor Assessment Form (AF)

NCEPOD questionnaire number				
	NCEPOD questionnaire number			

## INSTRUCTIONS FOR COMPLETION

Please complete all questions with either block capitals or a bold cross inside the boxes provided. If you make a mistake, please "black-out" the box and re-enter the correct information. Unless indicated, please mark only one box per question.

SECTION 1: PATIENT DETAILS						
1.	Age (years):					
2.   	Gender:		у у	Male Female		
1   3.   	Day, date, time of arrival to hospital:	Time:	h h m m	Date: Day: Day:		
   4.     	Day, date, time of admission to first ward:	Time:	h h m m	Date: Day: Day:		
  5.     	Day, date, time of Cardiac Arrest:	Time:	h h m m	Date: Day: Day:		
6.	Day, date, time of 2222 call:	Time:	h h m m	Date: Day: Day:		
   7a. 	Mode of admission (please choose)		Elective	Emergency		
7b.	If the admission was what was the route of			Via emergency department Referral from GP		
I   8.   	Specialty admitted to (	•		Emergency transfer		
3	SECTION 2: AD	MISSI	ON, INITIA	L CLERKING & DIAGNOSIS		
-	NITIAL CLERKING (if patient was reviewed enior clinician) :	d in the ED	and then on ad	dmission to the ward, please use the review by most		
9a.	Data taken from:	Emerge assessn	ncy department nent	Clerking on admission to Both hospital bed		
9b.	Time/date of initial ass	essment:	Time:	Date:		
				hh mm dd mm y y III II I I I I I I I I I I I I I I		

9c.	Grade of clinician that carried out the i assessment (please use grade codes				Unable to answer
9d.	What was the specialty of the clinician the initial assessment?	that carried	out		Unable to answer
10.	Did the initial assessment cover:				
a.	The presenting complaint?	Yes	□ No □	Unable to answer	Present but incomplete
b.	The history of presenting complaint?	Yes	☐ No ☐	Unable to answer	Present but incomplete
C.	Past Medical History?	Yes	□ No □	Unable to answer	Present but incomplete
d.	Drug History?	Yes	□ No □	Unable to answer	Present but incomplete
e.	Social History?	Yes	☐ No ☐	Unable to answer	Present but incomplete
f.	Assessment of ADL?	Yes	☐ No ☐	Unable to answer	Present but incomplete
g.	Physical Assessment of the following systems (please mark all that apply):-		rdiovascular astro-intestinal	Respiratory Genito-urina	CNS
11.	Did the initial assessment provide:				
a.	Differential diagnosis?	Yes	☐ No ☐	Unable to answer	Present but incomplete
b.	Investigation plan?	Yes	☐ No ☐	Unable to answer	Present but incomplete
C.	Physiological Monitoring plan?	Yes	□ No □	Unable to answer	Present but incomplete
d.	Treatment Plan?	Yes	☐ No ☐	Unable to answer	Present but incomplete
12.	Did the doctor performing the initial clerking appreciate the severity of the situation?	Yes	□ No □	Unable to answer	
13.	Was the resuscitation status:	Cor	nsidered	Docum	ented
	Not Recorded	Dise	cussed	Unable	to answer
14a.	Was a decision made about the patient's resuscitation status at this time?	☐ Yes	□ No	o Unable	to answer
14b.	Was this appropriate?	☐ Yes	□ No	Unable	to answer

15.	Did the doctor performing the initial clerking escalate to a more senior doctor in a timely fashion?	Yes	☐ No		Unable to answer
16a.	To what level of care was the patient admitted?	Level 1	(ward)/ as	sessi	ment unit
		Level 2	2 (HDU) 3 (ICU)		Unable to answer
16b.	In your opinion, to what level of care should the patient have been admitted?	Level 1	(ward)/as	sessn	nent unit
	patient have been aumitted:	Level 2	2 (HDU) 3 (ICU)		Unable to answer
17a.	Were there any delays in admitting the patient?	Yes	☐ No		Unable to answer
17b.	If YES, please provide details:				
17c.	If YES, did they affect the outcome?	Yes	☐ No		Unable to answer
Que	stions 18-23 refer to the admission note:				
18a.	In your opinion, was an adequate/acceptable history taken at first contact with the patient?	Yes	☐ No		Unable to answer
18b.	If NO, please provide details:				
19a.	Was the clinical examination complete at first contact with the patient?	Yes	☐ No		Unable to answer
19b.	If NO, please state reasons:				
20a.	Was a diagnosis (or differential diagnosis reached in the initial review of the patient (up to but not including the post-take ward round?	Yes	☐ No		Unable to answer
20b.	If YES- was this correct (or the correct diagnosis included in the differential)?	Yes	☐ No		Unable to answer



21a.	. Was there a reasonable initial treatment plan in the notes?	Yes	☐ No	Unab	le to answer	
21b.	. If YES, was this followed?	Yes	☐ No	Unab	le to answer	
22.	appropriateness of the treatment for the condition of the patient (please select just one answer)?  Prompt therapy	t and appropr t but inapprop / priate but app	oriate	☐ Insuffi	ropriate and ed cient data	
23a.	In your opinion were there any deficiencies in the initial assessment?	Yes	☐ No	Unab	e to answer	
23b.	Examination T	Monitoring Treatment Pla	in S	iagnosis eniority of E ecision malegards resulatus	king	
Que	Questions 24-30 refer to the first consultant review:					
24a.	Can you identify the first consultant review?	Yes	s N	0		
24b.	If YES, please note the time and date  Time: Date:	d d m	m y y	Day: [		
25.	Following review, were there changes to:	stigations	Monit	oring	Diagnosis	
	Other (plese specify)		No evide	nce of any	changes	
	In your opinion, was the consultant review obtained in the appropriate timeframe?	Yes	☐ No	Unk	nown	
27a.	.At the first consultant review, was resuscitation status of	considered?	Yes	☐ No	Unknown	
	<b>b.</b> If YES to part a, was this with:  Answers may be multiple	e patient		The Rela	atives	
	c. If YES to part b, was this documented: In the Answers may be multiple	the medical n	otes	] with a pr	oforma	



27e.	If YES to part a, what grade of clinician discussed resuscitation status?	Consultant Other (please	Senior T	Γrainee [	Junior trainee
28.	Overall, how do you rate the admission pr	ocess? (please ind	dicate from bel	ow)	
a.	Admission to first consultant review	v: Good	Adequ	ate 🔲	Poor
	OR If no consultant review:				
b.	Admission and first 24 hours of car	e: Good	Adequa	ate 🗌	Poor
29.	In your opinion, was there sufficient time and information to make a decision on resuscitation status?	Yes	☐ No		Unable to answer
30.	In your opinion, should this patient have	been: For	resuscitation?		Not for resuscitation?
		Una	ble to answer		
SE	ECTION 3: 48 HOURS PRIOF	R TO CARDI	AC ARRE	ST	
Que	estions 31-38 rely on data extracted from th	e casenotes for th	e 48 hours prid	or to cardia	c arrest.
31.	Physiological Instability: Please indicate the date and time that the	e patient met the fo	ollowing criteria	a for the firs	st time.
	Insufficient case notes provided	to adequately ansv	wer this questi	on.	
a.	Respiratory Rate < 8 breaths/min:	Yes	☐ No	☐ Ins	ufficient data
		Time:	Dat	te:	
		h h	m m	d d	m m y y
b.	Respiratory Rate >30 breaths/min:	Yes	☐ No	Ins	ufficient data
		Time:	Dat		m m v v
c.	SaO2 <90% on Oxygen:	Time:h h	Dai m m  No	d d	m m y y cient data
c.	SaO2 <90% on Oxygen:	Yes [	m m No	d d	
c.	SaO2 <90% on Oxygen:	h h	m m	d d	
c. d.	SaO2 <90% on Oxygen:  Difficulty Speaking:	Yes Time:	m m  No  Date	d d  Insuffice  te: d d	cient data



31e	Pulse rate: < 40 beats/min:		Yes		No [	Insu	fficient	data
			Time:		Date:			
f.	Pulse Rate: > 130 beats/min:		Yes		No [	Insu	fficient	data
			Time:		Date:			
g.	Systolic BP <90mmHg:		Yes		No [	Insu	fficient	data
			Time:		Date:			
h.	Repeated/prolonged seizures:		☐ Yes		No [	Insu	fficient	data
			Time:		Date:			
i.	Any unexplained decrease in		Yes		No [	Insu	fficient	data
	consciousness:		Time:		Date:			
j.	Agitation/Delirium:		☐ Yes		No [	Insu	fficient	data
			Time:		Date:			
k.	Concern about patient status not		Yes		No [	Insu	fficient	data
	expressed above:		Time:		Date:			
32.	Patient Reviews: In chronological order, please provide arrest (this excludes the admission en PT and OT reviews etc. (please use of	itry) plea	ase include	nursing re	views, Critic	cal Care	Outrea	ıch,
	Time (24 hr clock) Date:	un-	Insufficient	Grade of	Specialty of reviewer	Contac recorde		of reviewer
	hh m m d d m m planned	planned	data	reviewer		Yes	No	Insufficient data
a.	piamed	Ш	Ш	Ш				
b.								
C.								
d.								
e.								
f.								
g.								
			6 of 13		2 <sup>11</sup> 4 4 8	263 3	0349	6 ll

	32. continued									
	(=	Pate:	planned	un- planned	Insuficiant data	t Grade of	Specialty of reviewer	Contact recorde		of reviewer
	hh m m d d	m m				reviewer			$\Box$	
h.										
i.										
j.			Ш	<u> </u>		Ш			Ш	Ш
33.	Concerns expresse	d in nurs	ing note	es:		Inst	ufficient data			
	,	Date: d m r	n			Summary	of note			
a.			 7 [							
b.			- - - -							
			- -, [-							
c.										
d.										
e.										
f.										
34.	Observations: Docu	mented r	equest 1	for type &	frequenc	y of physio	logical obse	rvations	to be m	nade
		Obser	vations	requeste		YES, freq	uency of obs	servation		
		Yes	No	Insuff da	icient ita	Hourly	4-hourly	Other		ufficient data
a.	Pulse									
b.	BP			[						
c.	Respiratory Rate			[						
d.	Urine output:									
e.	Fluid Balance:									
f.	CVP									
g.	SpO <sub>2</sub>									
h.	Other			[						
358	Are there instruction the medical staff in variables?	ns to the the even	nurses a	as to whe erioration i	n to alert in specific	Ye	es 🗌 N	0	Insuf data	ficient
b.	Was the patient mo	nitored o	n a stan	dardised	'Track an	nd 🗌 Ye	es 🗌 No		] Insu	ıfficient 1
	Trigger' chart?									
				7	of 13		8 <sup>  </sup> 448	263113	0366	7 <sup>11</sup>

36.	Number of times the following	ng observations we	ere recorded in	the 48 ho	urs prior to cardiac arrest:
00.	(these counts should be take	en from observation	n charts and m	nedical not	
		48-24 hours prior to cardiac arrest	to cardiac a		
a.	Pulse			Inst	ufficient data
b.	Blood Pressure			Inst	ufficient data
c.	Respiratory Rate			Inst	ufficient data
d.	Temperature			Inst	ufficient data
e.	Sats			Inst	ufficient data
	ORGANISATION OF CARE	:			
37.	In the 48 hours prior to card 1 = very poor and 3 = excel		grade the follo	wing on a	scale of 1-3, where
	a. Organisat	ional aspects of ca	are	☐ Insuff	icient data
	b. Clinicians	knowledge		Insuff	icient data
	c. Appreciat	on of clinical urge	ncy	Insuff	icient data
	d. Supervision	on of junior staff		Insuff	icient data
	e. Advice from	m senior doctors		Insuff	icient data
38.	Please grade the following cardiac arrest, on a scale of				
	a. Airway mana	gement	Not applicable		Insufficient data
	<b>b.</b> Breathing		Not applicable		Insufficient data
	c. Circulation		Not applicable		Insufficient data
	d. Oxygen thera	ру	Not applicable		Insufficient data
	e. Monitoring		Not applicable		Insufficient data
	f.   ICU requirem	ent 🔲	Not applicable		Insufficient data
0.5	CTION 4 DNAD 0	TATUO			
SE	ECTION 4: DNAR S	IATUS			
39.	Is there a record of Resusc at any point after admission of cardiac arrest?		Yes	☐ No	Insufficient data
	Please answer question	ns 40-44 if answer	ed YES to que	estion 39.	
40.	Was the patient for resusc	citation?	Yes	☐ No	Insufficient data
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41.	<u> </u>	cian documented the decision? for grade provided)	?		Insufficient data
42.	What grade of cliniuse codes for grad	cian made the decision? (please e provided)	se		Insufficient data
43a.	Was the decision	discussed with the patient?	Yes	☐ No	Insufficient data
b.	•	e of clinician had the se use codes for grade			Insufficient data
44a.	. Was the decision	discussed with the relatives?	Yes	☐ No	Insufficient data
b.		of clinician had the se use codes for grade			Insufficient data
45.	history, acute illnes likelihood of surviv	ng information about past ss, course of illness and al), during the 48 hours arrest, should the patient order?		they did they did not	<ul><li>No, but they did</li><li>No, and they did not</li></ul>
46.	Where a DNAR deci	sion has been made: In your o	pinion does it	comply with t	he following:
	•	n a form that will be recognise with the care of the patient?	d Nes	☐ No	Insufficient data
		ation & explanation of DNAR (where appropriate)?	Yes	☐ No	Insufficient data
(		ation & explanation of DNAR 's family, friends or other	☐ Yes	☐ No	Insufficient data
SE	ECTION 5: RE	SUSCITATION ATT	EMPT		
To a	nswer questions 47-	50, please use data from the c	ase notes and	the resuscita	ation data collection form.
17a. <sup> </sup>	In your opinion were	there warning signs that the deterioration and cardiac arres	□ Voc	☐ No	Insufficient data
	f YES, were these s	igns: Recognised well enough?  Insufficient data	Acted upo adequately		mmunicated to appropriate niority of doctor?
	In your opinion was this cardiac arrest:	<ul> <li>a. predictable? Yes</li> <li>b. avoidable? Yes</li> <li>c. If you think that this cardia</li> </ul>	☐ No☐ No ac arrest was a	Insuffic	cient data cient data s this because:
		Earlier recognition of prob prevented deterioration			answers may be multiple
		A DNAR decision should	have been ma	ade	

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49a. b.	In the case notes, is there an acceptable record of the resuscitation attempt?  If YES, is this?
	An entry in the medical notes
	Other (please specify)
50a.	In your opinion were there any problems in the following areas during the resuscitation attempt?  **answers may be multiple**  Speed of response of resuscitation team   Appropriateness of staff responding to cardiac arrest
	☐ Drugs ☐ Equipment ☐ Defibrillation
	Airway management Communication & teamwork
	Any other problems (please specify)
50c.	If YES to any of the above, in your opinion was the Unique Yes No Insufficient data outcome affected?
50d.	If YES, please provide details:
SE	ECTION 6: POST-CARDIAC ARREST
51.	Did the patient survive the cardiac arrest resuscitation attempt?  Yes  No
I	If YES, please continue to question 52; if NO, please go to SECTION 7 (question 72)
52.	Following successful resuscitation:
a.	Did the patient receive care in the appropriate location?
b.	If NO, please provide details:  Patient should have received higher level of care
	There was no ultimate benefit from the higher level of care that the patient received
I	Other (please specify)
53.	Was the patient referred for consideration of admission to:
a.	Critical care?
b.	Coronary care?
c.	If YES to part a or b, what was the grade of the clinician that made the referral? (please use grade codes provided)
d.	If not a consultant, was theresponsible consultant   Yes   No   Insufficient data aware of the referral?

54.	What was the outcome of the referral?	Admit Not admit
55a.	What was the grade of clinician that made the admiss decision (please use grade codes provided)	ion Insufficient data
b.	If not a consultant, was the consultant responsible for critical care aware of the decision?	Yes No Insufficient data
56.	Were the following carried out in the immediate post-arrest period:  answers may be multiple  12 lead E  Cooling	CG FBC Chest X-Ray U&E Blood Gas
57a.	In your opinion, was the aetiology of this arrest likely to be cardiovascular? (i.e. Myocardial ischaemia or primary rhythm problem)	Yes No Insufficient data
b.	If YES to part A, was consideration given to coronary angiography?	Yes No Insufficient data
C.	If YES to part A, Was discussion undertaken with cardiology?	Yes No Insufficient data
d.	If YES to part A, Was angiography +/- intervention performed?	Yes No Insufficient data
58.	In your opinion, was the clinical care in the immediate (up to the first hour) post-arrest period:	Good Adequate Poor
59a.	In your opinion, was the decision making in the immediate (up to the first hour) post arrest period:	Good Adequate Poor
b.	answers may be multiple	peed of decision making Seniority of decision making arity of care required
	Other (please state)	,
60.	Did the patient have obtunded cerebral function post-arrest?	Yes No Insufficient data
61a.	Was the patient actively cooled as part of post-cardiac arrest care?	Yes No Insufficient data
	n your opinion, should the patient have been cooled as part of post-cardiac arrest care?	Yes No Insufficient data
c.	please provide reasons for your answer to part B:	
62a.	Was the responsible consultant / on-call consultant aware that the patient had suffered cardiac arrest and resuscitation?	Yes No Insufficient data
b.	If YES, was this:	Delayed Insufficient data

2 4 4 8 2 6 3 3 0 3 9 0 8

63.	Can you identify the time of consultant review after cardiac arrest for:						
a.	Responsible consultant?	Yes	☐ No	Inst	ufficient c	data Timo	e:
b.	On-Call Consultant?	Yes	☐ No	☐ Not	Applicat	ole Time	e:
c.	ICU Consultant?	Yes	☐ No	☐ Not	Applicat	ole Tim	ne:
d.	Other Consultant?	Yes	☐ No	☐ Not	Applicat	ole Tim	e:
				_			
64a.	. Was the patient admitted to	o critical care	?		Yes	☐ No	Insufficient data
b.	If the patient was not admitted to critical care, was the decision made due to:						
	No need for admission. Patient expected to recover with lower level of care						
	No need for admission. Patient expected to die and therefore not for higher level of care						
	No critical care beds. The patient would have been admitted but no facility was available.						
	Other (please give details)						
	Questions 64-71 refer to p	atients that	WERE ad	mitted to	critical o	care post	-cardiac arrest
<b>65a.</b> In your opinion, was it appropriate to admit this patient to critical care?							
<b>b.</b> If NO, please give details:							
	No need for admission. Patient expected to recover with lower level of care						
	No need for admission. Patient expected to die and therefore not for higher level of care						
	Other (please give deta	ails)					
66a.	In your opinion, was appr provided?	opriate organ	support		Yes	☐ No	Insufficient data
b.	if NO where were the defi	ciencies?	Cardio	ovascular	☐ R	espiratory	Renal
		,	Neuro	ological	□ N	utritional	
	Other (please give detail	s)					
67a.	Were active life sustaining	therapies wit	hdrawn?		Yes	☐ No	Insufficient data
b.	If YES, in your opinion was this the correct decision?				Yes	☐ No	Insufficient data
68a.	this on the basis of predicted poor neurological					Insufficient data	
b.	If YES, what was the time to					minutes	



69.	How was likely neurological outcome determined	? Clinical EEG Evoked potentials				
	Other (please give details)					
70.	Was a diagnosis of brain stem death made?	Yes No Insufficient data				
71.	If active life sustaining therapies were withdrawn	1:				
a.	Was organ donation considered?	Yes No Insufficient data				
b.	In your opinion was the patient a potential organ donor?	Yes No Insufficient data				
SE	ECTION 7: OVER ALL QUALITY	OF CARE				
72.	How would you categorise the quality of care for this patient?					
1.	Good Practice:- A standard that you would expect from yourself, your trainees and your institution					
2.	Room for improvement: Clinical aspects of clinical care that could have been better					
3.	Room for improvement: Organisational aspects of organisation of care that could have been bette					
4.	Room for improvement: Clinical AND Organisational aspects of organisation of care AND clinical care that could have been better					
5.	Less than satisfactory- this is a case in which the advisor has serious concerns about the patient care, although recognising that NCEPOD had incomplete information and does not know fully the local circumstances					
6.	Insufficient information submitted to assess	the quality of care				
73a.	Did this patient survive to discharge from hospit	ital? Yes No Insufficient data				
b.	If NO, and if the overall quality of care was rated than good practice (Q72), do you think that defining care may have contributed to the patient's definition.	iciencies Tes Tho Thisamelent data				
74.	than satisfactory when it is felt that further feedbadue to an area of concern particular to the hospital across the body of case-notes. This process has GMC. The medical director of the trust is written to concerns. This process has been in operation for	D will refer cases that have been identified as "5" – less ack to the trust concerned is warranted. This is usually tal or clinician involved, and not for issues highlighted been agreed by the NCEPOD Steering group and the to by the Chief Executive of NCEPOD explaining our or ten years and the responses received have always a concerns in the most appropriate manner. If you feel on, please cross:				
75.	Are there any issues that you feel should be highlighted in the report? If YES, please specify	☐ Yes ☐ No				
76.	Would this case form the basis of a good case-s theme in the report? (If YES, please give brief ca					

